

European Journal of Breast Health

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Aims and Scope

The European Journal of Breast Health (Eur J Breast Health) is an international, scientific, open access periodical published by independent, unbiased, and double-blinded peer-review principles journal. It is the official publication of the Turkish Federation of Breast Diseases Societies, and the Senologic International Society (SIS) is the official supporter of the journal.

The European Journal of Breast Health is published quarterly in January, April, July, and October. The publication language of the journal is English.

EJBH aims to be a comprehensive, multidisciplinary source and contribute to the literature by publishing manuscripts with the highest scientific level in the fields of research, diagnosis, and treatment of all breast diseases; scientific, biologic, social and psychological considerations, news and technologies concerning the breast, breast care and breast diseases.

The journal publishes original research articles, reviews, letters to the editor, brief correspondences, meeting reports, editorial summaries, observations, novel ideas, basic and translational research studies, clinical and epidemiological studies, treatment guidelines, expert opinions, commentaries, clinical trials and outcome studies on breast health, biology and all kinds of breast diseases, and very original case reports that are prepared and presented according to the ethical guidelines.

TOPICS within the SCOPE of EJBH concerning breast health, breast biology and all kinds of breast diseases:

Epidemiology, Risk Factors, Prevention, Early Detection, Diagnosis and Therapy, Psychological Evaluation, Quality of Life, Screening, Imaging Management, Image-guided Procedures, Immunotherapy, molecular Classification, Mechanism-based Therapies, Carcinogenesis, Hereditary Susceptibility, Survivorship, Treatment Toxicities, and Secondary Neoplasms, Biophysics, Mechanisms of Metastasis, Microenvironment, Basic and Translational Research, Integrated Treatment Strategies, Cellular Research and Biomarkers, Stem Cells, Drug Delivery Systems, Clinical Use of Anti-therapeutic Agents, Radiotherapy, Chemotherapy, Surgery, Surgical Procedures and Techniques, Palliative Care, Patient Adherence, Cosmesis, Satisfaction and Health Economic Evaluations.

The target audience of the journal includes specialists and medical professionals in surgery, oncology, breast health and breast diseases.

The editorial and publication processes of the journal are shaped in accordance with the guidelines of the International Committee of Medical Journal Editors (ICMJE), World Association of Medical Editors (WAME), Council of Science Editors (CSE), Committee on Publication Ethics (COPE), European Association of Science Editors (EASE), and National Information Standards Organization (NISO). The journal conforms with the Principles of Transparency and Best Practice in Scholarly Publishing (doaj.org/bestpractice).

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Original Articles: This is the most important type of article since it provides new information based on original research. The main text of original articles should be structured with "Introduction", "Materials and Methods", "Results", "Discussion and Conclusion" subheadings. Please check Table 1 for the limitations for Original Articles.

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Case Report	1000	200	15	No tables	10 or total of 20 images
Letter to the Editor	500	No abstract	5	No tables	No media
Current Opinion	300	No abstract	5	No tables	No media

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Book Section: Suh KN, Keystone JS. Malaria and babesiosis. Gorbach SL, Barlett JG, Blacklow NR, editors. *Infectious Diseases*. Philadelphia: Lippincott Williams; 2004.p.2290-308.

Books with a Single Author: Sweetman SC. *Martindale the Complete Drug Reference*. 34th ed. London: Pharmaceutical Press; 2005.

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REVISIONS

When submitting a revised version of a paper, the author must submit a detailed "Response to the reviewers" that states point by point how each issue raised by the reviewers has been covered and where it can be found (each reviewer's comment, followed by the author's reply and line numbers where the changes have been made) as well as an annotated copy of the main document. Revised manuscripts must be submitted within 30 days from the date of the decision letter. If the revised version of the manuscript is not submitted within the allocated time, the revision option may be cancelled. If the submitting author(s)

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The Use of Intralesional Corticosteroids in Idiopathic Granulomatous Mastitis: A Systematic Review

Asel Wijesinghe¹, Kasun Lakmal², Jeewantha Senevirathna², Bhanu Wijetilake¹, JLTK. Fernando¹, Umesh Jayarajah³, Ajith De Silva⁴, Kanchana Wijesinghe¹

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ABSTRACT

Idiopathic granulomatous mastitis (IGM) is a debilitating, chronic, inflammatory condition of the breast. Several studies have emerged evaluating intralesional steroid (ILS) injection and topical steroid administration as a treatment for IGM. However, there is a dearth of international consensus with regards to the management of IGM. Therefore, we have systematically reviewed the effectiveness of ILS in the management of IGM. A systematic search was conducted in PubMed and Cochrane Library databases, the Google Scholar website and by citation searching up to June 15th, 2023. Eight articles were selected and analyzed. A total of 397 IGM patients were included in the review. The mean patient age was 35.7 years, ranging from 23–62 years. The mean pre-treatment diameter of lesions was 27.5 mm. A total of 184 patients were treated with ILS. The mean complete clinical response time was 2.6 months. The overall complete response rate was 92.8%. Complications following ILS were minor, with hematoma, skin atrophy and hyperemia being commonly described, while avoiding the systemic side effects of oral steroid use, such as weight gain and hirsutism, which were the most commonly reported side effects with oral steroids. The recurrence rates in the ILS group (6.6%) appear to be lower than in the oral steroid group (25.8%) and surgery group (26.3%). ILS seem to show a favorable outcome in terms of complete response rate, complete clinical response time and has a lower recurrence rate and complication rate when compared to other intervention strategies. However, more comparative studies with standardized protocols are necessary to ascertain the optimum type, dosage and frequency of ILS regimens.

Keywords: Idiopathic granulomatous mastitis; corticosteroids; intralesional steroid

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Key Points

- Idiopathic granulomatous mastitis (IGM) is a debilitating chronic inflammatory condition of the breast.
- Intralesional steroid injection has become a promising treatment option for IGM.
- However, there is a dearth of international consensus with regards to the management of IGM.
- This study is a systematic review of the effectiveness of intralesional steroids in the management of IGM to help understand the usage and efficacy of intralesional steroids.

Introduction

Idiopathic granulomatous mastitis (IGM) is a rare, chronic, benign inflammatory condition of the breast which commonly affects women of childbearing age with a history of breastfeeding (1). Infrequently, IGM has been reported in nulliparous women (2) and in men (3). The condition was first described in 1972 (4). Women from Southeast Asia and the Middle East may have a higher incidence of IGM than those of European descent (5). It has also been shown that IGM is commoner in those of Hispanic ethnicity (6).

Despite being described in the literature for over 50 years, the possible etiology for IGM remains elusive. Pregnancy, hyperprolactinemia (7),

Corynebacterium infections (8), reactions caused by oral contraceptives and autoimmune reactions (9) seem to be associated with IGM. The strong link between IGM and lactation may be due to micro-trauma caused by milk stasis and breastfeeding (1).

Patients with IGM commonly present with a breast mass, pain, redness, peau d'orange appearance and axillary lymph node enlargement (10, 11). Radiologically, ultrasound features include circumscribed heterogeneous hypoechoic masses with tubular formations, while the commonest mammography findings are focal or diffuse asymmetrical density (12, 13). Magnetic resonance imaging is not routinely used in the workup of IGM (12, 13). Importantly, IGM is indistinguishable

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from malignancy both clinically and radiologically (14) and can only be reliably diagnosed by histopathological examination of a biopsy (1).

There is a dearth of international consensus with regards to the management of IGM. Although it may be self-limiting, with observation alone leading to complete resolution within 5–20 months (15), the morbidity, persistence and progression of the condition in some, especially those with large (>5 cm), bilateral lesions or lesions complicated by abscesses and fistulae may necessitate intervention (16). Etiology-specific treatment, such as bromocriptine for hyperprolactinemia and antibiotics for *Corynebacterium* infection, have been described (17). Surgical measures, though effective, are plagued with adverse outcomes, such as scarring, poor wound healing, recurrence, fistula formation and mastectomy (18) and is generally limited to those with refractory or recurrent disease (17).

Oral steroid (OS) use in the management of IGM was first described in 1980 and acts by mitigating inflammation and autoimmune reactions that may be a causative factor in IGM (19). Oral steroids have been shown to reduce the extent of surgery, or even alleviating the need for surgery in selected cases (17). Therefore, OS is generally considered a first line treatment option. However, its use is associated with side effects such as Cushing syndrome, weight gain, hyperglycemia and opportunistic infections (1).

Methotrexate (MTX) has also been described as a steroid sparing agent in the treatment of IGM, but its efficacy is controversial and its adverse effect profile, especially among women of reproductive age, amongst whom this disease is commonest, has resulted in limited use of this treatment modality (17).

Intralesional steroid (ILS) use was first described for the management of IGM in 2012 by Munot et al. (19) amongst a cohort of four subjects, all of whom showed a complete response, with no local or systemic side effects and no recurrence within a year of treatment. This initial success sparked an interest in the use of this novel method, and several studies have emerged evaluating ILS injection and topical steroid administration as a treatment for IGM. The results seem promising but there is heterogeneity within the published studies.

Therefore, this systematic review was conducted to assess the efficacy of this treatment, as it potentially mitigates the adverse effects of surgery and OS use. We have systematically reviewed the effectiveness of intralesional corticosteroids in the management of IGM.

This study has been registered in PROSPERO on 10.08.2023. ID: CRD42023449788.

Materials and Methods

Search Strategy

The Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) 2020 guideline was used for the study design, search strategy, screening, and reporting. A systematic search was conducted using MeSH keywords as follows: (All available MeSH terms for “steroids”) AND “idiopathic granulomatous mastitis” AND “intralesional” in the PubMed and Cochrane Library databases, the Google Scholar website and by citation searching up to June 15th, 2023. Only publications in English and human interventional studies were included.

Study Selection Criteria

Studies were independently selected by two members of the research group. In case of disagreement, a discussion was held between the two and the third member until the matter was resolved. The following criteria were used to include studies in this systematic review: (1) human studies which used intra-lesional corticosteroids to treat IGM, (2) studies confirming IGM by histopathological diagnosis, and (3) studies reporting complete clinical response rates. Studies were excluded if they were case reports or case series without individual outcome data, review articles, conference abstracts, letters, animal studies, or *in vitro* studies; duplicate publications; or if the desired parameters such as complete clinical response rate were not reported.

The literature search protocol is summarized in Figure 1.

Data Extraction and Quality Assessment

Two members of the group independently assessed the quality of each selected study and extracted data from the papers and results were compared. Any conflicts were discussed and resolved with a third investigator. The data extraction checklist included the name of the first author, period of data collection, year of publication, country where the study was performed, type of study, number of patients in each intervention, mean age, location of lesion (s), clinical presentation, the type, dose, frequency and duration of intralesional and/or OS use, evaluation frequency and mean follow-up time, complete clinical response rate, mean complete response time period, the number and types of adverse effects and the complication rate of each intervention.

Quality Assessment

The modified Downs and Black scale (20) was used to assess the quality of the included studies. A 27-point scale was used and was categorized as follows; Excellent (26–27), Good (20–25), Fair (15–19) and Poor (≤ 14). All studies achieved a “Fair” or greater score and were included in the systematic review (Table 1).

Data Analysis

Data were analyzed based on subgroups of patients classified according to treatment modalities used and were classified as the ILS group (Group 1), OS group (Group 2), Surgery Group (Group 3) and Combined Therapy Group (Group 4). In addition, patients who were given OS in addition to ILS for only a short duration and for whom individual outcome data was not published were included in the ILS group.

Complete response was defined >90% clinical resolution, based on a previous study (21).

Recurrence was defined as clinical re-emergence of lesions following complete or partial response.

Continuous variables are presented as mean with minimum and maximum values, and categorical variables as numbers and percentages. All missing information, including outcome data in patients lost to follow-up was considered as such, and no assumptions were made. Patients with missing data for a specific variable were not included in the statistical analysis.

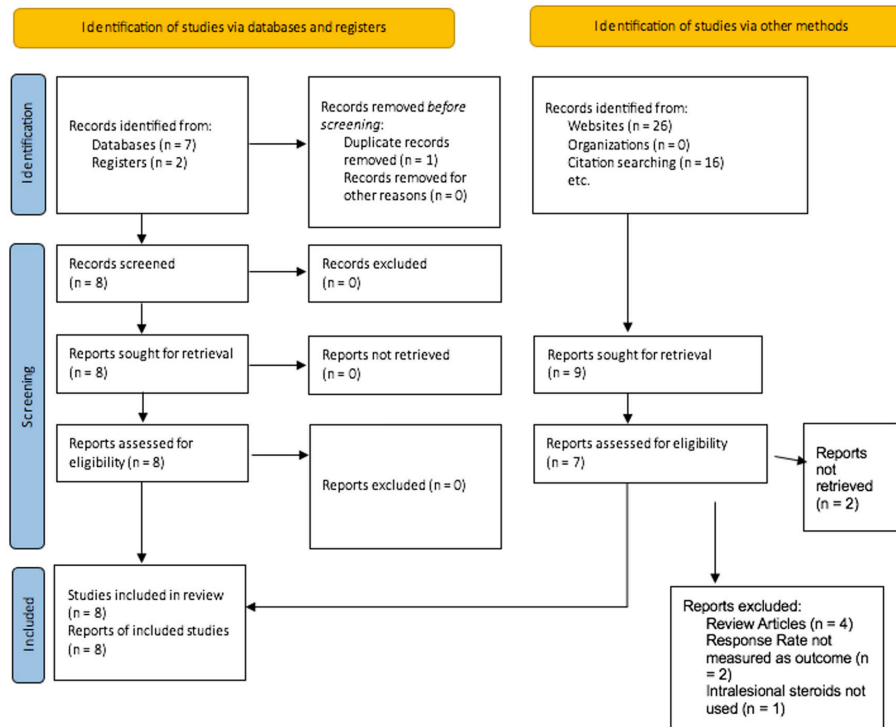


Figure 1. PRISMA flowchart

Table 1. Characteristics of studies included in systematic review

Study	Country	Type of study	Number of patients	Number treated with ILS	Number treated with OS	Number treated with surgery	Number treated with combination/ observation	Quality assessment*
Alper et al. (22) 2020	Turkey	Prospective cohort	28	28	0	0	0	Fair (15/27)
Ertürk et al. (23) 2022	Turkey	Retrospective descriptive	86	38	0	48	0	Fair (19/27)
Karami et al. (21) 2022	Iran	Randomized clinical trial	99	31	30	0	38 (Combination)	Good (23/27)
Kim et al. (24) 2016	South Korea	Retrospective descriptive	15	15	0	0	0	Fair (16/27)
Tang et al. (25) 2020	USA	Retrospective descriptive	49	12	0	9	28 (Observation)	Fair (17/27)
Toktas et al. (26) 2021	Turkey	Retrospective descriptive	78	46	32	0	0	Good (20/27)
Toktas and Toprak (27) 2021	Turkey	Retrospective descriptive	6	6	0	0	0	Fair (15/27)
Yildirim et al. (28) 2021	Turkey	Randomized clinical trial	36	17	19	0	0	Good (23/27)

*Downs and black scale was used for quality assessment; ILS: Intralesional steroid; OS: Oral steroid

Results

Description of Studies

Eight studies were selected that included 397 IGM patients and were analyzed for this review. The mean (range) patient age was 35.7 (23–62) years. The mean pre-treatment diameter of lesions was 27.5 (22.2–37.2) mm. Bilateral or multifocal disease was noted in only a

minority (11.9%). The mean duration of symptoms upon presentation was 7.8 months. The majority presented with a painful mass, with or without features of inflammation. Other notable presentations included firmness of skin and soft tissue changes, such as purulence, abscesses, ulceration, and fistulation. The mean follow-up frequency was 4.7 weeks while the mean follow-up time was 12.4 months. The characteristics of studies included in this review are given in Table 1.

Intra-Lesional Steroid Group (Group 1)

All studies (21–28) contained an ILS subgroup. A total of 193 (48.6%) patients were treated with ILS of which 9 were lost to follow-up in one study (26), hence the outcome data was not available, and was thus calculated for 184 patients with outcome data. The number of ILS dosages ranged from 1–7 injections. The frequency of dosing was 1-weekly in two studies, 2-weekly in two studies, 4-weekly in three studies, while one study had a single dosage regimen only. Most studies (5/8; 62.5%) used triamcinolone as the ILS, while two studies used methylprednisolone, and a single study used betamethasone disodium phosphate. In one study (24), oral prednisolone (10 mg daily) was combined in 5 patients with multiple, large, or painful abscesses in the early period, before ILS was an established treatment modality. This heterogeneity of ILS regimens was based on common denominators such as the severity, number, and size of the lesions. Additionally, three studies used topical steroids for one month, of which two used triamcinolone and one used prednisolone. A summary of ILS treatment regimens used in the studies included in this review is given in Table 2.

The mean complete clinical response time was 2.6 months. The overall complete response rate was 92.8% ($n = 171$), while the partial response rate was 6.0% ($n = 11$). There were only 2 non-responders in this group. The recurrence rate during the respective periods of follow-up was 6.6% ($n = 11$) (21-27). In one study (23), the two partial responders were followed up without active intervention and the lesions remained stable throughout the follow-up period. In another study (26), one non-responder underwent total mastectomy due to diffuse multifocal disease. The outcomes of the remaining partial responders, non-responders and recurrences were not reported. Seven patients (3.8%) reported minor complications following local steroid therapy. Three patients (0.8%) reported skin atrophy, 2 patients (0.5%)

reported hematoma and two patients (0.5%) reported skin hyperemia as adverse effects. These side effects were observed in study groups prescribing topical and ILS as well as ILS-only group and were only observed in groups using Triamcinolone as the intra-lesional steroid.

Oral Steroid Comparative Group (Group 2)

Three studies contained a comparative OS subgroup, which provided outcome data (21, 26, 28). Accordingly, 81 (20.4%) patients who were treated solely with OS were included in this subgroup.

Two studies used oral methylprednisolone (26, 28), while the third study used prednisolone (21). The third study (21) also used oral MTX 10 mg per week for 1 month then 15 mg weekly until prednisolone was discontinued. In addition, daily Calcium-D and folic acid supplements were given to all patients in the third study.

The dosage of OS was heterogenous, with one study giving a fixed dose of 32 mg, the second study dosing based on the size, number of lesions and bodyweight (Unilateral, single lesions less than 5 cm: 0.5 mg/kg/day; bilateral, multiple or lesions exceeding 5 cm or with ulceration: 1 mg/kg/day), while the third study gave a tapering OS dose (50 mg/day for two weeks followed by 25 mg/day for 1 month, then 12.5 mg/day 1 month, then 10 mg/day for 1 month and 5 mg/day for 1 month for a total of 4 months, 2 weeks). All three studies had daily dosing regimens.

The total duration of dosage was 1 month in the first and second studies (with an additional 1 month of dosage in 5 patients with no response in the second study), and 4 months and 2 weeks in the third study.

A summary of OS treatment regimens used in the studies included in this review is given in Table 3. The mean complete response time was

Table 2. Intra-lesional steroid regimes used in studies included in the systematic review

Study	ILS type	ILS single dose (mg)	Dosage range	Total dosage range (mg)	Frequency of dosage	Topical steroid use
Alper et al. (22) 2020	Methylprednisolone acetate	40	2–7	80–280	3–4 weekly	No
Toktas and Toprak (27) 2021	Methylprednisolone acetate	40	1–2	40–80	2-weekly	0.125% prednisolone twice a day, EOD for 1 month
Ertürk et al. (23) 2022	Triamcinolone acetonide	40–80	1–5	40–400	4-weekly	Triamcinolone Daily - 1 month (after ILS)
Kim et al. (24) 2016	Triamcinolone acetonide	40	2–6	80–240	1–2 weekly	No
Tang et al. (25) 2020	Triamcinolone acetonide	80–160	1	80–160	Single dose	No
Toktas et al. (26) 2021	Triamcinolone acetonide	20 mg	1–3	20 mg up to 3 times	4-weekly	Triamcinolone acetonide 0.1%, twice a day, EOD for 1 month
Yildirim et al. (28) 2021	Triamcinolone acetonide	40	1–5	40–200	1 weekly	No
Karami et al. (21) 2022	Betamethasone disodium phosphate + betamethasone acetate	6	1–4	6–24	1 weekly	No

ILS: Intralesional steroid

reported as 6.36 months (range; 6–9) in one study (21). The overall complete response rate was 86.4% ($n = 70$), with 4 patients (4.9%) showing a partial clinical response. The non-response rate was 8.6% ($n = 7$). Recurrence data was available in two studies (21, 26), with the overall recurrence rate in complete and partial responders in the two studies being 25.8% ($n = 16$). Notably, 93.8% ($n = 15$) of recurrences occurred in the study not using MTX (26). In one study (26), 5 patients with complete response who then developed recurrence were treated with successive doses of oral steroids, while surgery was performed on 4 patients with no response or recurrent disease including lumpectomy ($n = 3$) and mastectomy ($n = 1$) for diffuse disease. The final outcome of partial responders, non-responders and recurrences were not reported in the other two studies. The overall complication rate was 9.9% ($n = 8$) following OS therapy, with systemic side effects such as weight gain ($n = 3$) and hirsutism ($n = 2$).

Surgery Group (Group 3)

Two studies had cohorts that were treated exclusively with surgery (23, 25). A total of 57 (14.4%) patients were treated with surgery only. The majority underwent local excision (91.2%, $n = 52$) and only 5 (8.8%) patients required mastectomy. Only one study (23) reported a recurrence rate after surgery, which was 31.2% ($n = 15/48$), and this was reported at a 12-month follow-up after surgery. The same study (23) reported a complication rate of 8.3% ($n = 4$), of which three were surgical site infections and one was a hematoma. This study also noted that post treatment median pain score was significantly higher in patients who underwent surgery compared to those who underwent ILS therapy ($p < 0.001$). Notably, the aesthetic outcome of surgery was not assessed in either study.

Combined Group (Group 4)

A single study described a cohort with a combination of oral and ILS with outcome data (21). In this study, patients received intralesional betamethasone acetate (3 mg) and betamethasone disodium phosphate (3 mg/mL) in a weekly dosage between 1–4 times, combined with a tapering dose of oral prednisolone (50 mg/day for two weeks, followed

by a taper to 5 mg/day in 4 months: 25 mg/day for 1 month followed by 12.5 mg/day 1 month, then 10 mg/day for 1 month and 5 mg/day for 1 month) and weekly doses of oral MTX (10 mg per week for 1 month then 15 mg per week until prednisolone was discontinued).

A total of 38 (9.6%) of patients were treated with combined therapy. The mean complete response time was 4.33 months (range: 1–6). The complete clinical response rate was 89.5% ($n = 34$). Two patients (5.3%) had a partial clinical response, while 2 more patients were non-responders. Five patients (13.2%) were documented to have recurrence in the combined subgroup. Four patients (10.5%) had systemic complications following combined therapy.

Comparison of Outcomes in the ILS Group

Due to the heterogeneity of the studies, as discussed below, a comprehensive meta-analysis of the efficacy of the ILS regimens is not feasible. However, preliminary comparisons were carried out in this study.

The complete response rates of studies using Methylprednisolone (91.2%), Triamcinolone (94.1%) and Betamethasone (90.3%) appear to be similar. The recurrence rate of the single study (21) that used Betamethasone (19.4%) appears to be higher than that of studies that used Methylprednisolone (2.9%) and Triamcinolone (3.4%). Also, studies that used Triamcinolone were the only studies that reported local complications ($n = 7$). Three patients (42.9%) reported skin atrophy, 2 patients (28.6%) reported hematoma and 2 patients (28.6%) reported skin hyperemia as adverse effects. The comparison of each type of ILS is summarized in Table 4.

The comparison of the outcomes of each group are detailed in Table 5.

Discussion and Conclusion

In this systematic review, we analyzed eight studies that used ILS. Methylprednisolone, Triamcinolone, Betamethasone and Prednisolone were the steroids used. ILS use is defined as the administration of

Table 3. Oral steroid regimes used in studies included in systematic review

Study	Number of patients treated with Oral steroid	Oral steroid type	Oral steroid dose	Frequency of dosage	Duration of treatment (months)
Karami et al. (21) 2022	30	Prednisolone	Tapering dose of 50 to 5 mg	Daily	4.5
Toktas et al. (26) 2021	32	Methylprednisolone	32 mg	Daily	1
Yildirim et al. (28) 2021	19	Methylprednisolone	0.5–1 mg/kg/day based on lesion characteristics	Daily	1–2

Table 4. Comparison of outcomes of intralesional steroid group

Steroid type	Total treated	Complete response no	Complete response rate (%)	Recurrence no	Recurrence rate (%)	No of complications	Complication rate (%)
Methylprednisolone	34	31	91.2	1	2.9	0	0
Triamcinolone	119	112	94.1	4	3.4	7	5.9
Betamethasone	31	28	90.3	6	19.4	0	0
Total	184	171	92.9	11	6.0	7	3.8

steroids directly into a lesion, thereby bypassing the metabolic first pass effects and reducing the well-known systemic adverse effects of steroids, such as hypertension, osteoporosis, gastrointestinal disturbances, weight gain and diabetes mellitus (29), and allowing higher doses to be used (30). This technique creates a subepidermal depot which bypasses the superficial barrier zone (31). The use of ILS was first described in the management of dermatoses in 1961 (32). Since then, a variety of dermatological, rheumatological and surgical uses have been described.

ILS has a wide range of applications in dermatology and the dose per session generally depends on the size of the skin lesions, while the number of treatments depends on many clinical factors, including the disease, site of lesions, age of the patient and response to previous injections. The duration between treatment sessions is around 3–6 weeks (33). A similar rationale to that used in dermatological conditions was observed in the dosing regimens of the studies that used ILS in the management of IGM.

Comparison of Efficacy

In all eight studies, we noted a heterogeneity in the prescription of steroids with varying potencies, dosage, and frequencies. The

basis for steroid regimes differed, with some studies (24, 28) citing regimes used in other inflammatory conditions in which ILS use is established, such as acute and chronic skin lesions and capsulitis (34), while others based on the number, size and distance of lesions (23), and on the clinical experience of the treating clinician (25, 26). In the ILS group the complete response rates of studies were 91.7% for Methylprednisolone, Triamcinolone (94.1%) and Betamethasone (90.3%). This shows that all three types of steroids have similar efficacy when used intralesionally. In comparison, the studies that used OS regimens, showed an 80% complete response rate in the prednisolone group and 90.1% in the methylprednisolone group (26, 28). The single study that used a combined treatment with both oral and ILS also showed a complete response in 89.5%.

The dosage or the frequency of injection did not show a correlation with the complete response rate. The main determinants of these factors were the severity of the disease.

Similar observations were noted in the complete response time. In the ILS group this ranged from one to six months with a mean of 2.6 months whereas, in the OS group it ranged from one to nine months with a mean of 6.4 months. The oral Prednisolone group also appears to have had a longer mean clinical response time of 6.4 months (21)

Table 5. Comparison of outcomes of each group

Study	Steroid used	Complete response rate	Mean complete response time (months)	Recurrence rate
Group 1 (ILS)				
Alper et al. (22) 2020	Methylprednisolone	25 (89.3%)	NAD	0 (0%)
Toktas and Toprak (27) 2021	Methylprednisolone	6 (100%)	1.2	1 (16.7%)
Ertürk et al. (23) 2022	Triamcinolone acetonide	36 (94.5%)	Large lesions-3 Small lesions-2 (Median) Range: 1-5	0 (0%)
Kim et al. (24) 2016	Triamcinolone	15 (100%)	3.8	0 (0%)
Tang et al. (25) 2020	Triamcinolone	12 (100%)	2 (Median)	0 (0%)
Toktas et al. (26) 2021	Triamcinolone acetonide	34 (91.2%)	NAD	4 (10.8%)
Yildirim et al. (28) 2021	Triamcinolone acetonide	15 (88.2%)	NAD	NAD
Karami et al. (21) 2022	Betamethasone disodium	28 (90.3%)	3.17 Range: 1–6	6 (16.3%)
Group 2 (OS)				
Karami et al. (21) 2022	Prednisolone	24 (80%)	6.37 Range: 6–9	1 (3.3%)
Toktas et al. (26) 2021	Methylprednisolone	29 (90.6%)	2.1 Range: 1–3	15 (48.4%)
Yildirim et al. (28) 2021	Methylprednisolone	17 (89.5%)	1.82 Range: 1–3	NAD
Group 3 (Surgery)				
Ertürk et al. (23) 2022	N/A	48 (100%)	N/A	15 (31.2%)
Tang et al. (25) 2020	N/A	9 (100%)	N/A	0 (0%)
Group 4 (Combined)				
Karami et al. (21) 2022	IL betamethasone + OS prednisolone	34 (89.5%)	4.33 Range: 1–6	5 (13.2%)

NAD: No available data; N/A: Not applicable; OS: Oral steroid; ILS: Intralesional steroid

compared to the studies using Methylprednisolone with response times of 1.8 (28) and 2.1 months (26). The combined group showed a mean complete response time of 4.3 months.

Therefore, the efficacy of ILS use in IGM was comparable to the oral and combined steroid groups.

Comparison of Complications Related to Treatment

The overall complication rate also appears to be lower in the ILS Group (3.8%) compared to the OS (9.9%), surgery (8.3%) and combined treatment (10.5%) groups. Most importantly, complications following ILS were minor, with hematomas, skin atrophy and hyperemia being commonly described. Three patients treated with ILS had skin atrophy, of which two were from groups that did not concurrently use topical steroids. The ILS group avoided systemic side effects of OS use such as weight gain and hirsutism, which were the most widely reported side effects in the OS and combined group. These systemic side effects have significant medical and psychological impacts in the demographic that is affected by IGM.

Post-operative pain is a significant complication of surgical excision, with the study done by Ertürk et al. (23) demonstrating significantly higher pain scores in the surgical group as compared to the ILS group. In addition, the inherent poorer cosmetic outcomes of surgery add to the unfavorable outcomes of that intervention. However, aesthetic outcome has not been described in any of the selected studies. Combined therapies, such as those with MTX have the highest complication rate, with other factors such as problems with compliance making this modality questionable, more so considering the non-inferiority of ILS monotherapy in terms of complete response rates, response times and minimal complications

Comparison of Recurrence

Within the ILS group, the recurrence rate of the single study (21) that used Betamethasone (19.35%) appears to be higher than that of studies that used Methylprednisolone (2.94%) and Triamcinolone (3.36%). Possible causes for this discrepancy could be due to the heterogeneity of dosage and frequency, and further comparative studies would be useful to establish a significant difference.

In comparison the recurrence rates in the ILS Group (6.6%) and Combined Group (13.2%) appear to be lower than in the OS Group (25.8%) and Surgery Group (26.3%). The recurrence rate of oral steroids appears to be similar in other studies focusing on recurrence with OS use, which highlighted patient age, radiological residual disease, and non-compliance as independent risk factors (35, 36). One possible explanation for this discrepancy could be because intralesional steroids achieve persistently high therapeutic levels of steroid concentration at the target site compared to oral steroids alone, resulting in prolonged resolution. The high recurrence rates of surgical intervention are also comparable to other reported studies (37, 38). The higher recurrence rates in surgery have mainly been attributed to residual disease post excision, which can be mitigated with repeated ILS use, which is less invasive.

Study Limitations

A major limitation of the studies included was that the distributions of principal confounders in each group of subjects to be compared were not clearly described. Furthermore, there was also a lack of adequate adjustment for confounding factors in the analyses from which the

main findings were drawn. Factors, such as severity of the disease condition, the presence of complications such as abscesses and fistulae (and the additional management of such complications), the use of ultrasound to guide intralesional injections, the exact formulation of intralesional injections (diluent, etc.), the use of other treatment modalities such as MTX and antibiotics, as well as the variability of patients' perception of the efficacy of each modality of treatment and clinical reasoning which led to selection of treatment modalities were not clearly defined. The statistical power of individual studies was also limited as the sample sizes were limited, and the required sample size to detect a significant difference was not calculated in most studies. Other limitations included the lack of randomization and blinding of patients and evaluators.

In conclusion, ILS seem to show a favorable outcome in terms of complete response rate, complete clinical response time and have a lower recurrence rate and complication rate as compared to other intervention strategies and may be considered as first-line therapy in the management of IGM. However, more comparative studies with standardized protocols are necessary to ascertain the optimum type, dosage, and frequency of ILS regimens.

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The Predictive Role of Mammography, Dynamic Contrast-Enhanced Breast Magnetic Resonance Imaging and Diffusion-Weighted Imaging in Hormone Receptor Status of Pure Ductal Carcinoma *In Situ* Lesions

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ABSTRACT

Objective: The aim of this retrospective study was to analyze the predictive capabilities of preoperative mammography, dynamic contrast-enhanced-magnetic resonance imaging (DCE-MRI), and diffusion-weighted imaging (DWI) in determining hormone receptor (HRc) status for pure ductal carcinoma *in situ* (DCIS) lesions.

Materials and Methods: The study included a total of 79 patients who underwent preoperative mammography (MG) and MRI between December 2018 and December 2023 and were subsequently diagnosed with pure DCIS after surgery. The correlation between MG, DCE-MRI, and DWI features and estrogen receptor (ER) and progesterone receptor (PR) status was examined.

Results: Among the lesions, 44 were double HRc-positive (ER and PR-positive), 13 were single HRc-positive (ER-positive and PR-negative or ER-negative and PR-positive) and 22 were double HRc-negative (ER and PR-negative). The presence of symptom ($p = 0.029$), the presence of comedo necrosis ($p = 0.005$) and high histological grade ($p < 0.001$) were found to be associated with ER and PR negativity. Amorphous microcalcifications were more commonly observed in the double HRc-negative group, while linear calcifications were more prevalent in both double and single HRc-positive groups ($p = 0.020$). Non-mass enhancement (NME) with a linear distribution was significantly more common in double HRc-negative lesions (38%), and NME with a segmental distribution in both double (43%) and single (50%) receptor-positive lesions ($p = 0.042$). Evaluation of DWI findings revealed that a higher lesion-to-normal breast parenchyma apparent diffusion coefficient (ADC) ratio statistically increased the probability of HRc positivity ($p = 0.033$).

Conclusion: Certain clinicopathological, mammography, and MRI features, along with the lesion-to-normal breast parenchyma ADC ratio, can serve as predictors for HRc status in DCIS lesions.

Keywords: Ductal carcinoma *in situ*; mammography; magnetic resonance imaging; diffusion-weighted MRI; estrogen receptor; progesterone receptor

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Key Points

- Ductal carcinoma *in situ* is a heterogeneous disease in terms of its histopathological features, which is a precursor to invasive breast cancer.
- Evaluation of hormone receptor status is important for preoperative treatment planning.
- The presence of symptoms, the presence of comedo necrosis, histological grade, microcalcification morphology, the distribution pattern of non-mass enhancement, and tumor-to-normal parenchyma apparent diffusion coefficient ratio may be considered valuable in preoperatively predicting hormone receptor status in cases of ductal carcinoma *in situ*.

Introduction

Ductal carcinoma *in situ* (DCIS) is recognized as a precursor to invasive breast cancer, comprising approximately 25-30% of all breast cancers today (1, 2). DCIS is a heterogeneous disease depending on its histopathological and biological features (2, 3). Molecular subtyping primarily relies on the analysis of hormone receptors (HRc), such as

estrogen receptor (ER) and progesterone receptor (PR) (2). The few published studies exploring the impact of molecular characteristics on prognosis in DCIS indicate that HRc-negative lesions tend to be associated with local recurrence (4, 5). The assessment of prognostic factors holds significance in guiding treatment management. Based on these evaluations, appropriate treatment strategies for DCIS

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are determined, encompassing surgical options (mastectomy/lumpectomy), radiation therapy, and adjuvant hormone therapy (1, 2, 5).

Core needle biopsies (CNBs) are regarded as the gold standard for preoperative breast tumor diagnosis. However, under sampling during CNBs and the highly heterogeneous internal pattern of DCIS lesions can contribute to pathologically uncertain interpretations (6). Radiological imaging methods play a crucial role in characterizing the entire tumor. Mammography (MG) is the primary imaging modality for diagnosing DCIS, with calcification being the dominant reported feature (7). ER-positive DCIS commonly present as fine pleomorphic and fine-linear branching calcifications (6). Additionally, the literature defines other findings, such as architectural distortions, masses, and focal densities (8). Dynamic contrast-enhanced-magnetic resonance imaging (DCE-MRI) provides high sensitivity for breast lesions (7). Preoperative MRI can provide essential data to reveal the extent of disease and assist in surgical management planning for DCIS cases (9). DCIS lesions typically manifest as clumped nonmass enhancement (NME) in a segmental or linear distribution, with plateau or washout kinetic curves (7, 10). While DCE-MRI reveals the morphology and vascularization of lesions, diffusion-weighted imaging (DWI) provides insights into tissue cellularity and the integrity of cell membranes. Quantitative evaluation of DWI features involves obtaining apparent diffusion coefficient (ADC) values from DWI images. DCIS lesions generally exhibit lower ADC values compared to normal breast tissue and benign lesions (11).

The potential heterogeneous distribution of antigens within DCIS lesions raises concerns about the accuracy of HRc profiling based on samples obtained via CNB, as they may not fully represent the complete tumor tissue (12). A non-invasive, biopsy-complementary method capable of assessing the entire lesion is thus important for predicting the presence of ER and PR in DCIS. Integrating preoperative MG and DWI into DCE-MRI protocols holds promise for differentiating the HRc status of DCIS lesions (13). However, it is noteworthy that there are fewer reports evaluating DCE-MRI and DWI findings according to HRc status in pure DCIS lesions compared to investigations focusing on MG findings (14, 15).

The aim of this study was to assess whether findings from MG, DCE-MRI and DWI can predict the HRc status in cases of pure DCIS.

Materials and Methods

This retrospective study received approval from the Non-Interventional Clinical Research Ethics Committee of Health Sciences University Turkey, Dr. Abdurrahman Yurtaslan Ankara Oncology Health Education Application and Research Center (no.: 2023-12/123, date: 14.12.2023), and informed consent was obtained from each participant.

Patients

Data from 489 patients histopathologically diagnosed with pure DCIS following breast-conserving surgery or mastectomy at our institute between December 2018 and December 2023 were retrospectively accessed from the electronic medical record archive. From this cohort, 124 patients with preoperative MG, DCE-MRI, and DWI images were identified in our radiology image archive. Exclusion criteria were applied to ensure the study's integrity, resulting in the exclusion of 45 patients. Reasons for exclusion included receiving

neoadjuvant chemotherapy or endocrine therapy ($n = 35$), having DWI images unsuitable for measuring the ADC value due to artifacts ($n = 6$), or having lesions smaller than 5 mm where region of interest (ROI) measurements were not feasible ($n = 4$). The final participant count in the study stood at 79. Clinical characteristics such as age, symptoms, risk factors, and histopathological features of the lesions were meticulously extracted from the patients' medical records.

Mammography Technique

MG was conducted using a digital MG system (LORAD, Hologic Company, Selenia Mammography System, Danbury, USA). Craniocaudal and mediolateral oblique views were acquired as part of the routine MG imaging process. For a more detailed assessment of low-density microcalcifications with ambiguous morphology and distribution in standard MG, a magnification view was employed, using a magnification factor of 1.8. A spot compression view, employing a compression paddle, was conducted to discern focal asymmetric densities or mass lesions visible in routine MG, distinguishing them from superpositions with surrounding tissue and enhancing visualization of lesion boundaries. The resulting images were presented on a pair of high-resolution 5-megapixel 21-inch LCD monitors (Coronis MDMG-5121, Barco, Belgium).

Mammography Findings

A radiologist with 13 years of experience in breast imaging conducted retrospective review of the MG images without access to the clinical information or pathological outcomes of the cases. Lesions were categorized into four groups based on mammographic findings: occult, mass, calcifications, and mass with microcalcifications. The morphological features of calcifications and masses, as well as the distribution of calcifications, were meticulously assessed using the American College of Radiology Breast Imaging Reporting and Data System (BI-RADS) lexicon (American College of Radiology, 2013) (16). The shape of the mass was described as either oval/round or irregular, with its margin defined as either circumscribed or indistinct/spiculated. Calcifications were morphologically classified as amorphous, coarse heterogeneous, fine pleomorphic, and fine linear/branching. The distribution of calcifications was subgrouped as regional, grouped, linear, and segmental. Following the comprehensive evaluation of the MG views, an MG-BI-RADS category was assigned to each case.

MRI Technique

MRI examinations were conducted using a 1.5-Tesla MR scanner (SignaHDx; GE Healthcare, Wisconsin, USA) with the patient positioned prone and with a dedicated breast coil. The MRI sequences and corresponding image parameters were as follows: Axial short tau inversion recovery [repetition time/echo time (TR/TE): 6500/45, inversion time: 150 ms, field of view (FOV): 320 mm, matrix: 416 × 224, number of excitations (NEX): 1, and slice thickness (ST): 5 mm]; axial T1-weighted (T1W) (TR/TE: 400/8.8, FOV: 320 mm, matrix: 448 × 224, NEX: 1, and ST: 5 mm); dynamic axial fat-saturated T1W (before and after contrast injection) (TR/TE: 4/1.5, flip angle: 10°, FOV: 320 mm, matrix: 350 × 350, NEX: 1, and ST: 2.8 mm); and echo-planar imaging-based DWI (TR/TE: 1000/83, FOV: 320 mm, matrix: 192 × 192, NEX: 4, ST: 5 mm, with b-values of 0 and 800 s/mm²). Each patient underwent one pre-contrast scan, and dynamic series comprising five post-contrast scans following intravenous administration of a contrast agent injection (0.1 mmol/kg gadobutrol/gadopentetate dimeglumine) at a dose of 0.1 mmol per kilogram

of body weight, followed by a 20 mL saline flush. Subtraction, multiplanar reconstruction, and maximum-intensity projection images were automatically generated on a dedicated workstation. Applying ROI drawing, ADC values were obtained. The ROI area was adjusted based on the lesion size, with a mean ROI size of 54 mm² (range, 35–110 mm²).

MRI Findings

The preoperative breast MRI images for all cases underwent retrospective review on a workstation by a radiologist with 13 years of experience in breast imaging. The radiologist conducted the analysis in a blinded manner, without access to clinical information or pathologic outcomes. MRI findings for each lesion were systematically analyzed following the BI-RADS MRI lexicon, encompassing morphological and enhancement features (16).

Lesion morphology was differentiated into mass and NME. For mass lesions, shape features were characterized as oval/round or irregular, while margin features were defined as circumscribed or not circumscribed (irregular and spiculated), in accordance with the BI-RADS MRI lexicon. NME lesion distributions were classified as focal, linear, segmental, or regional.

Internal enhancement patterns were categorized as homogeneous, heterogeneous, or rim for mass lesions, and as homogeneous, heterogeneous, clumped, or clustered ring for NME lesions. A time-intensity curve was automatically generated by placing the cursor on the most intensely and suspiciously enhanced areas of the lesions on postcontrast images. The obtained kinetic curves were scrutinized, and the contrast enhancement patterns were determined for both the initial phase (slow, medium, or rapid) and the delayed phase (persistent, plateau, or washout).

ADC value measurements were conducted in areas corresponding to the lesions identified in DCE-MRI images on ADC maps resulting from the processing of DWI images. Oval or round ROIs were drawn

on ADC maps for both the lesion and normal breast parenchyma (in the same quadrant as the lesion in the contralateral breast or in the ipsilateral breast in cases with contralateral mastectomy/lumpectomy) (Figure 1). Minimum ADC values were computed for the lesion and maximum ADC values for the normal tissue. The measured minimum ADC values of the lesions and the ratio of lesion ADC to normal parenchyma ADC were documented.

Pathological Evaluation

Lumpectomy or mastectomy materials underwent evaluation by a pathologist with 22 years of expertise in breast pathology. The assessment included determining tumor tissues through ER and PR staining, evaluating tumor viability, and ensuring the presence of a sufficient tumor area. Under light microscopy, nuclear ER and PR expression in areas of DCIS were examined in tissue samples. Tumors with $\geq 10\%$ nuclear staining were deemed receptor-positive. DCIS lesions were further categorized into three groups based on their immunohistochemical profile: Double hormone receptor-positive (ER-positive, PR-positive), single hormone receptor-positive (ER-positive, PR-negative or ER-negative, PR-positive), and double hormone receptor-negative (ER-negative, PR-negative). Furthermore, DCIS was stratified into low-, intermediate-, or high-grade. In addition, and following the College of American Pathologists protocol, the presence of comedo necrosis was defined. The pathology reports, encompassing the aforementioned information, were retrospectively obtained from the electronic medical archive of our hospital.

Statistical Analysis

Statistical analyses were executed using IBM SPSS software, version 20.0 (IBM Corporation, Armonk, NY, USA). Clinicopathological and radiological data were stratified based on the hormone receptor status of DCIS lesions, delineated as double positive (ER-positive/PR-positive), single positive (ER-positive/PR-negative or ER-negative/PR-positive), and double negative (ER-negative/PR-negative). Descriptive statistics, including mean, standard deviation, median, minimum,

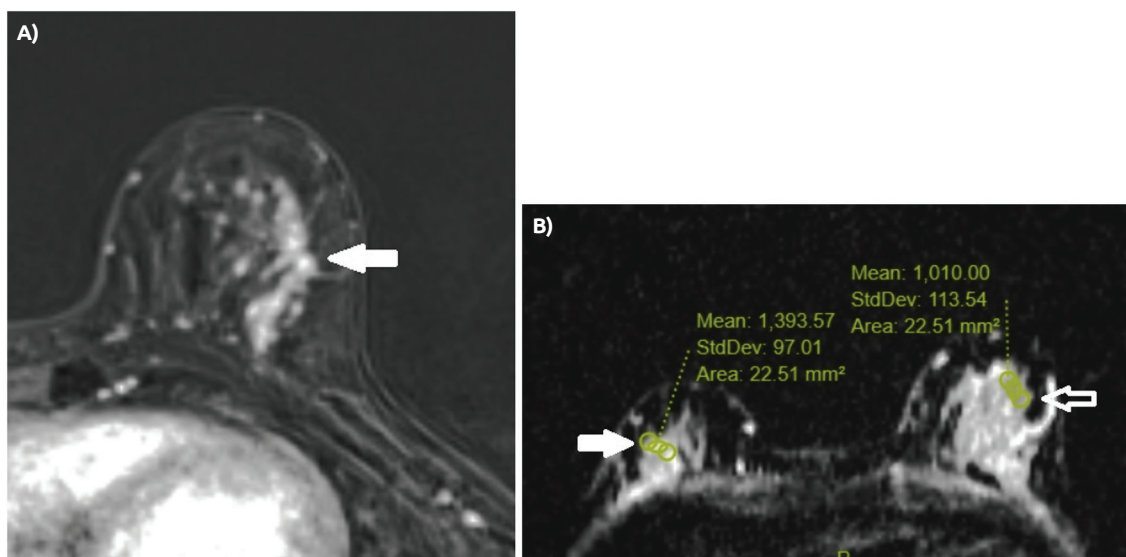


Figure 1. A 54-year-old woman with high-grade pure DCIS containing foci of comedo necrosis. Immunohistochemical analysis established that ER was positive and PR was negative. **A)** Axial postcontrast subtraction image showed a heterogeneous nonmass enhancement with segmental distribution in the left breast (arrow). **B)** ADC measurements were made from the lesion (empty arrow) and from the same quadrant as the lesion in the contralateral breast parenchyma (arrow) in the ADC map. The minimum lesion ADC value was $1010 \times 10^{-6} \text{mm}^2/\text{second}$, the maximum normal breast parenchyma ADC value was $1393 \times 10^{-6} \text{mm}^2/\text{second}$, and the lesion- normal breast parenchyma ADC ratio was 0.72

maximum, and percentages, were produced. For categorical variables, such as clinicopathological data, MG, and DCE-MRI findings, the chi-square test or Fisher exact test, if necessary, was employed to assess their association with the hormone receptor status of DCIS lesions. Normality analyses were conducted for continuous variables, including patient age, lesion size, lesion ADC value, and lesion-to-normal breast parenchyma ADC ratio, using the Kolmogorov-Smirnov goodness-of-fit test and Shapiro-Wilk test. To evaluate significant differences in continuous dependent variables between groups, the Kruskal-Wallis H test was used for lesion size, ADC value, and lesion-to-normal breast parenchyma ADC ratio, while One-Way ANOVA was employed for the patient age variable. A *p*-value less than 0.05 was considered statistically significant. The threshold value of the lesion/normal breast parenchyma ADC ratio was determined using receiver operating characteristic (ROC) analysis. The optimal cut-off for the lesion/normal parenchyma ADC ratio was determined with reference to the Youden index.

Results

Clinicopathological Features

In the histopathological assessment of 79 DCIS lesions, 44 were found to be ER and PR-positive, 13 were ER-positive and PR-negative or ER-negative and PR-positive, and 22 were ER and PR-negative. The mean age of the study participants was 50.96±12.14 years (range 24 - 79 years). Upon comparing the groups, no significant relationship was identified between the HRc status and patient age (*p* = 0.150). Patients over the age of 50 were distributed in the double HRc-positive, single HRc-positive, and double HRc-negative groups at rates of 45%, 46%, and 63%, respectively (*p* = 0.356). The rate of symptomatic patients in the ER and PR-negative group was 63%, which was significant. Specifically, the rate of symptomatic patients was 63% in the ER and PR-negative group, 29% in the ER and PR-positive group, and 38% in the single HRc-positive group (*p* = 0.029). No significant difference was observed between the groups regarding the presence of breast cancer risk factors (*p* = 0.556) (Table 1). The median histopathologically confirmed size of DCIS lesions was 25 mm (range 5 - 85 mm). The lesion size, even when subgrouped by 20 mm, did not exhibit statistically significant differences in intergroup comparisons (*p* = 0.556). Comedo necrosis was identified in 77% of ER and PR-negative lesions, 76% of single HRc-positive lesions, and 40% of ER and PR-positive lesions, showing a significant relationship with the HRc status of the DCIS lesions (*p* = 0.005). Moreover, DCIS with a high histological grade was predominantly found in the ER and PR-negative group (95%), followed by the single receptor-positive group (46%), and the ER and PR-positive group (43%) (*p*<0.001).

Mammography Findings

In each group, DCIS lesions predominantly manifested as microcalcifications on MG, with rates of 38% for the double HRc-positive group, 69% for the single HRc-positive group, and 31% for the double HRc-negative group (*p* = 0.348). The intergroup distribution of shape and margin characteristics of lesions in mass morphology is detailed in Table 2, revealing no statistically significant differences (*p* = 0.494, *p* = 1.000, respectively). Examining the distribution of microcalcification morphology between groups, fine pleomorphic microcalcifications were detected in 50% and 60% of the double and single HRc-positive groups, respectively, while amorphous microcalcifications were observed in 50% of the HRc-negative group (*p* = 0.020) (Figure 2). However, no significant correlation was found

between the distribution patterns of microcalcifications and the HRc status of the lesions (*p* = 0.856). MG BI-RADS category 4C was identified in 31% of double HRc-positive and HRc-negative lesions and 38% of single HRc-positive lesions, with no significant difference found between the groups (*p* = 0.998).

DCE-MRI Findings

In MRIs, the predominant lesion morphological types in the double HRc-positive, single HRc-positive, and double HRc-negative groups were NME in 84%, 92%, and 81%, respectively (*p* = 0.831). A single mass lesion was identified in the single HRc-positive group with an irregular shape and margin. For both ER and PR-positive and -negative groups, the dominant mass shape was round/ovoid (71% and 75%, respectively), while the predominant margin feature was irregular/spiculated (71% and 100%, respectively). There were no significant differences in the shape and margin characteristics of mass lesions between the groups (*p* = 0.463 and *p* = 0.576, respectively). While NME with a segmental distribution was commonly observed in both double (43%) and single (50%) HRc-positive lesions, NME with a linear distribution was more frequent in HRc-negative lesions (38%) (Figure 3). Statistically significant differences were found in the distribution of NME lesions between the groups *p* = 0.042. Regarding the internal enhancement pattern of NME, the clumped pattern was predominant in both double HRc-positive (51%) and negative (33%) lesions, while the heterogeneous enhancement pattern prevailed in single HRc-positive lesions (50%) (*p* = 0.186). The distribution of

Table 1. Clinicopathological features of the cases according to hormone receptor subgroups

	ER/PR positive (n) (%)	Single positive (n) (%)	ER/PR negative (n) (%)	<i>p</i> -value
Age (grouped)				
≤50 years	24 (54.5)	7 (53.8)	8 (36.4)	0.356
>50 years	20 (45.5)	6 (46.2)	14 (63.6)	
Symptom				
No	31 (70.5)	8 (61.5)	8 (36.4)	0.029
Yes	13 (29.5)	5 (38.5)	14 (63.6)	
Risk factors				
No	33 (75)	11 (84.6)	15 (68.2)	0.556
Yes	11 (25)	2 (15.4)	7 (31.8)	
Size (grouped)				
≤20 mm	20 (45.5)	4 (30.8)	9 (40.9)	0.638
>20 mm	24 (54.5)	9 (69.2)	13 (59.1)	
Comedo necrosis				
No	26 (59.1)	3 (23.1)	5 (22.7)	0.005
Yes	18 (40.9)	10 (76.9)	17 (77.3)	
Histological grade				
Low	6 (13.6)	1 (7.6)	0 (0)	<0.001
Intermediate	19 (43.2)	6 (46.2)	1 (4.6)	
High	19 (43.2)	6 (46.2)	21 (95.4)	

ER: Estrogen receptor; PR: Progesterone receptor

Table 2. Mammographic findings of the lesions according to hormone receptor subgroups

	ER/PR positive (n) (%)	Single positive (n) (%)	ER/PR negative (n) (%)	p-value
Mammography findings				
Occult	9 (20.5)	0 (0)	6 (27.3)	0.348
Mass	11 (25)	3 (23.1)	6 (27.3)	
Microcalcification	17 (38.6)	9 (69.3)	7 (31.8)	
Microcalcification+mass	7 (15.9)	1 (7.6)	3 (13.6)	
Mass shape				
Round/ovoid	4 (22.2)	0 (0)	3 (33.3)	0.494
Lobular/irregular	14 (77.8)	4 (100)	6 (66.7)	
Mass margin				
Smooth circumscribed	1 (5.6)	0 (0)	1 (11.1)	1.000
Indistinct/spiculated	17 (94.4)	4 (100)	8 (88.9)	
Microcalcification morphology				
Amorphous	8 (33.4)	1 (10)	5 (50)	0.020
Course heterogeneous	2 (8.3)	2 (20)	2 (20)	
Fine pleomorphic	12 (50)	6 (60)	0 (0)	
Fine linear/fine linear branching	2 (8.3)	1 (10)	3 (30)	
Microcalcification distribution				
Regional	1 (4.2)	1 (10)	0 (0)	0.856
Grouped	12 (50)	4 (40)	4 (40)	
Linear	0 (0)	0 (0)	0 (0)	
Segmental	11 (45.8)	5 (50)	6 (60)	
MG-BI-RADS				
Category 4A	5 (14.3)	2 (15.4)	2 (12.6)	0.998
Category 4B	11 (31.4)	3 (23.1)	5 (31.2)	
Category 4C	11 (31.4)	5 (38.4)	5 (31.2)	
Category 5	8 (22.9)	3 (23.1)	4 (25)	

ER: Estrogen receptor; PR: Progesterone receptor; MG: Mammography; BI-RADS: Breast imaging reporting and data system

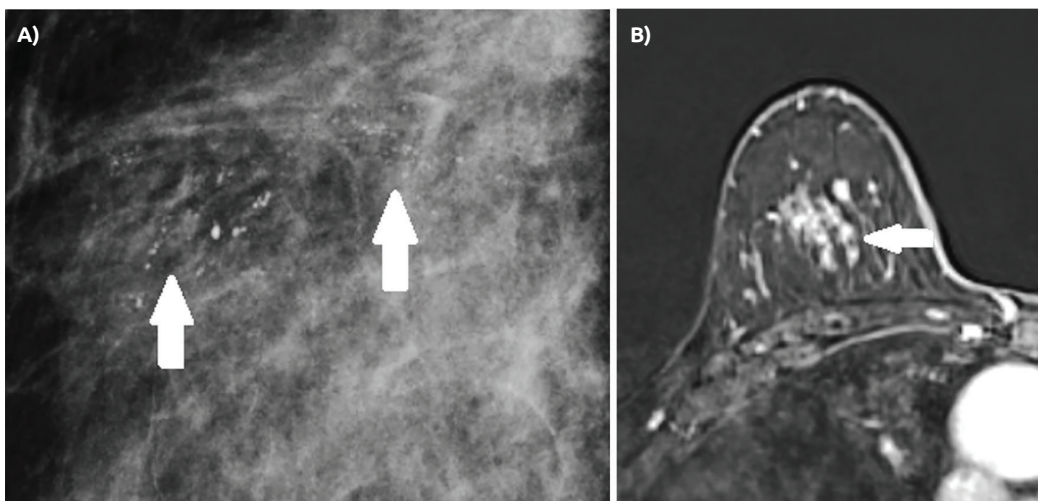


Figure 2. A 45-year-old woman with high-grade pure DCIS containing foci of comedo necrosis. Immunohistochemical analysis established that ER and PR were negative. **A.** A magnification view in the CC mammogram projection showed grouped amorphous calcifications (arrows). **B.** Axial postcontrast subtraction MRI image showed a clumped nonmass enhancement with focal distribution in the right breast (arrow)

DCIS: Ductal carcinoma in situ; ER: Estrogen receptor; PR: Progesterone receptor; CC: Craniocaudal; MRI: Magnetic resonance imaging

initial and delayed phase kinetic patterns is detailed in Table 3 and did not exhibit significant differences between the three groups ($p = 0.400$ and $p = 0.105$, respectively). The lesions were categorized as MRI BI-RADS 4 in 72% of the double HRc-positive group, 61% of the single HRc-positive group, and 81% of the double HRc-negative group. No statistically significant difference was found between receptor subgroups in terms of the MRI BI-RADS category ($p = 0.412$).

ADC Values

The median ADC value of DCIS lesions was $1323 \times 10^{-6} \text{mm}^2/\text{sec}$ in ER and PR-positive group, $1196 \times 10^{-6} \text{mm}^2/\text{sec}$ in single HRc-positive group and $1245 \times 10^{-6} \text{mm}^2/\text{sec}$ in the ER and PR-negative group. However, no significant relationship was observed between the lesion ADC value and HRc status ($p = 0.388$).

Table 3. DCE-MRI findings of the lesions according to hormone receptor subgroups

	ER/PR positive (n) (%)	Single positive (n) (%)	ER/PR negative (n) (%)	p-value
MRI findings				
Mass	7 (15.9)	1 (7.6)	4 (18.2)	0.831
NME	37 (84.1)	12 (92.4)	18 (81.8)	
Mass shape				
Round/ovoid	5 (71.4)	0 (0)	3 (75)	0.463
Lobular/irregular	2 (28.6)	1 (100)	1 (25)	
Mass margin				
Circumscribed	2 (28.6)	0 (0)	0 (0)	0.576
Irregular/spiculated	5 (71.4)	1 (100)	4 (100)	
Mass internal enhancement pattern				
Homogeneous	3 (42.8)	0 (0)	0 (0)	0.427
Heterogeneous	4 (57.2)	1 (100)	4 (100)	
Rim	0 (0)	0 (0)	0 (0)	
NME distribution				
Focal	12 (32.4)	5 (41.7)	3 (16.7)	0.042
Linear	2 (5.4)	0 (0)	7 (38.9)	
Segmental	16 (43.3)	6 (50)	6 (33.3)	
Regional	7 (18.9)	1 (8.3)	2 (11.1)	
Diffuse	0 (0)	0 (0)	0 (0)	
NME enhancement pattern				
Homogeneous	3 (8.1)	0 (0)	5 (27.8)	0.186
Heterogeneous	8 (21.6)	6 (50)	4 (22.2)	
Clumped	19 (51.4)	5 (41.7)	6 (33.3)	
Clustered ring	7 (18.9)	1 (8.3)	3 (16.7)	
Initial phase kinetic pattern				
Slow	14 (31.9)	3 (23.1)	6 (27.3)	0.400
Medium	13 (29.5)	7 (53.8)	5 (22.7)	
Rapid	17 (38.6)	3 (23.1)	11 (50)	
Delayed phase kinetic pattern				
Persistent	8 (18.2)	6 (46.2)	6 (27.2)	0.105
Plateau	26 (59.1)	3 (23.1)	8 (36.4)	
Washout	10 (22.7)	4 (30.7)	8 (36.4)	
MRI-BI-RADS				
Category 4	32 (72.7)	8 (61.5)	18 (81.8)	0.412
Category 5	12 (27.3)	5 (38.5)	4 (18.2)	

ER: Estrogen receptor; PR: Progesterone receptor; NME: Nonmass enhancement; DCE-MRI: Dynamic contrast-enhanced magnetic resonance imaging; BI-RADS: Breast imaging reporting and data system

The lesion-to-normal breast parenchyma ADC ratio showed notable variation across HRc status, being highest in double HRc-positive lesions (0.89) and lowest in double HRc-negative lesions (0.76). The ADC ratio demonstrated a significant association with the HRc status of DCIS lesions ($p = 0.033$) (Table 4). In the ROC curve analysis, the highest AUC [0.66 (0.53–0.78)] was obtained using an ADC ratio of 0.80 as the threshold, with corresponding sensitivity and specificity values of 66% and 65%, respectively (Figure 4).

Discussion and Conclusion

The current study assessed the predictive role of clinicopathological, MG, DCE-MRI features, and ADC values in determining the HRc status of pure DCIS lesions. Of the DCIS lesions in our study, 55% were histopathologically diagnosed as ER and PR-positive. This rate is slightly lower than that reported in a study with a larger patient population, where the rate of double HRc-positive cases was 68% (5). Hwang et al. (5) noted that younger mean patient ages were associated with ER and PR positivity compared to other DCIS subtypes. In our investigation, the relatively lower rate of HRc-positive cases was

considered to be associated with the older mean patient age in the study. Furthermore, the present study revealed that age was not a significant factor in predicting the HRc status of DCIS.

While DCIS is often asymptomatic, it can present with clinical symptoms such as a palpable mass, nipple discharge, or Paget’s disease (8, 17). Consistent with the literature, symptomatic DCIS cases were observed more frequently in the HRc-negative group in our study (17, 18). Rapid growth and progression leading to symptoms are associated with a poorer prognosis for DCIS (17, 18). HRc-positive DCIS lesions are known to have a tendency to increase slowly in size (4). In keeping with this, the smallest mean size among the three groups was observed in the HRc-positive group in our study. Therefore, it was not surprising that ER and PR-positive lesions were mostly asymptomatic. Comedo necrosis and high histological grade are considered aggressive histopathologic factors for DCIS lesions (19, 20). In the present study, these poor prognostic factors were observed at a higher rate in ER and PR-negative cases, consistent with previous studies in the literature (19, 21). The mentioned histopathologic features and the HRc status of the DCIS lesions showed a significant correlation.

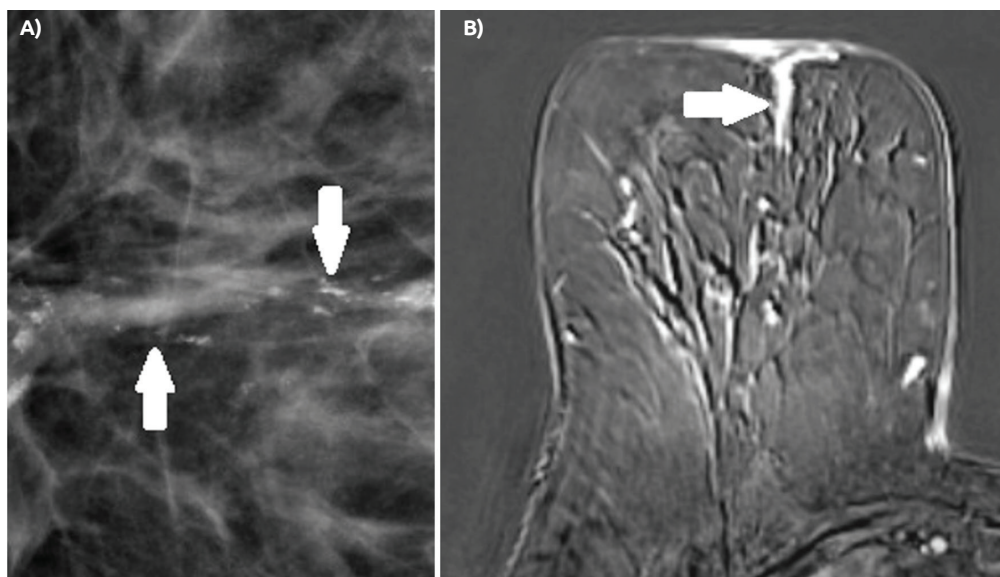


Figure 3. A 55-year-old woman with high-grade pure DCIS without comedo necrosis. Immunohistochemical analysis established that ER and PR were negative. **A.** A magnification view in the CC mammogram projection showed grouped fine pleomorphic and fine linear calcifications (arrows). **B.** Axial postcontrast subtraction MRI image showed a homogeneous nonmass enhancement with linear distribution in the right breast (arrow)

DCIS: Ductal carcinoma in situ; ER: Estrogen receptor; PR: Progesterone receptor; CC: Craniocaudal; MRI: Magnetic resonance imaging

Table 4. Comparison of patient’s age, lesion size, lesion ADC value, and lesion-to-normal breast parenchyma ADC ratio according to hormone receptor subgroups

	ER/PR positive (n) (%)	Single positive (n) (%)	ER/PR negative (n) (%)	p-value
Patient age (year) (mean ± SD)	49.59±10.07	47.31±9.34	55.86±15.89	0.150
Lesion size (mm) (median) (min-max)	23.5 (7–70)	36 (9–85)	35 (5–68)	0.240
Lesion ADC value (10 ⁻⁶ mm ² /sec) (median) (min-max)	1323 (1015–1699)	1196 (1005–1599)	1245 (976–1895)	0.388
Lesion/normal breast parenchyma ADC ratio (median) (min-max)	0.89 (0.63–0.99)	0.82 (0.66–0.95)	0.76 (0.66–0.98)	0.033

ER: Estrogen receptor; PR: Progesterone receptor; ADC: Apparent diffusion coefficient; SD: Standard deviation; Min: Minimum; Max: Maximum

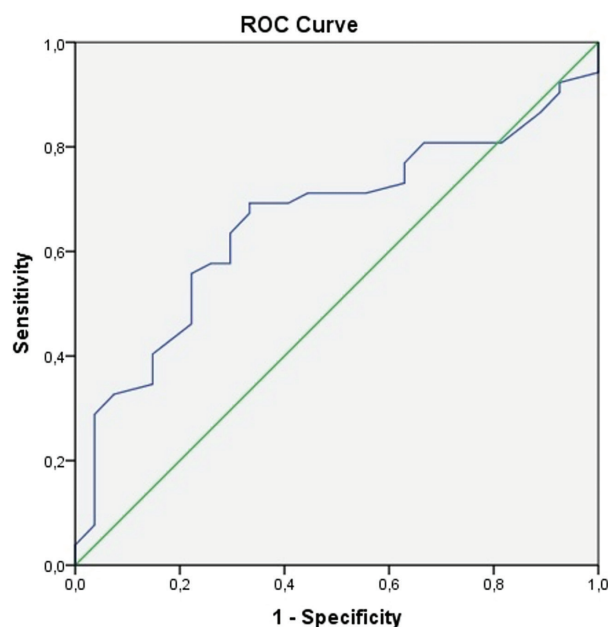


Figure 4. Graph shows ROC curve for differentiating double HRC positive DCIS from other HRC status of DCIS on the basis of lesion-to-normal breast parenchyma ADC ratio. The area under the ROC curve was 0.66 (95% CI: 0.53–0.78)

ROC: Receiver operating characteristic; HRC: Hormone receptor; DCIS: Ductal carcinoma in situ; ADC: Apparent diffusion coefficient CI: Confidence interval

Microcalcification is the predominant and prevalent manifestation of DCIS lesions in MG (2, 22, 23). The pathophysiology underlying calcification formation involves the concentration of mucin secretions within the duct/lobular acini or the calcification of endoluminal necrotic material, which comprises cell debris and excretions (24). The diversity in calcification morphologies and distributions stems from the variance in developmental mechanisms (2, 22). In the present study, a significant correlation was identified between calcification morphology and HRC status. In line with earlier published findings, the current investigation revealed that DCIS lesions with double and single positive-HRC were more frequently associated with fine pleomorphic calcifications, while ER and PR-negative DCIS lesions were more likely to exhibit amorphous calcifications (2, 19, 25). Moreover, across all three groups, the predominant distribution of calcifications was segmental and grouped, with no significant differences observed between the groups. This result is in keeping with those reported by Kim et al. (19). Of note, in previous studies that established a significant relationship between calcification distribution and receptor status, the number of cases in the receptor-negative group was notably low, potentially impacting the reliability of their results (2, 25).

In MG, the identification of DCIS lesions often hinges on the presence of suspicious calcifications. However, lesions devoid of calcification can also be encountered, rendering MG insufficient for DCIS diagnosis in such instances (26). Previous studies have reported the incidence of mammographically occult DCIS to range from 6% to 23% (8). Our study corroborates this trend, revealing a 19% rate, aligning with the existing literature. Given its high sensitivity for pure DCIS (77–96%), MRI proves valuable in accurately delineating the extent of the disease (6, 7). In MRI, the increased permeability of vascular and basement membranes in DCIS results in the accumulation of

gadolinium contrast agent in ducts and terminal lobules, leading to the most common presentation of DCIS on MRI as NME with a segmental or linear distribution (6, 14, 26). Our study concurs with these results, identifying NME as the most prevalent morphology across all three groups, in line with the literature. Moreover, HRC-positive lesions, both double and single, predominantly exhibited a segmental distribution, while HRC-negative lesions displayed a more frequent linear distribution on DCE-MRI. A notable correlation was established between the distribution of NME and the HRC status of the lesions. While previous studies have described typical enhancement patterns of DCIS lesions as clumped or heterogeneous, our investigation revealed a predominantly clumped pattern in both HRC-positive and -negative groups, with a heterogeneous pattern observed in the single HRC-positive group (7, 27). Notably, no association was identified between the enhancement pattern of the lesions and the groups. Kinetic data derived from DCE-MRI, when evaluated alongside other imaging data, can aid in the differential diagnosis of breast lesions. The kinetic curve of lesions, influenced by factors such as angiogenesis, leaky vasculature, cellularity, and changes in extracellular interstitial space, may vary for each lesion due to the contribution of these pathophysiological factors at different rates (15, 28).

Numerous prior studies have consistently identified the rapid initial phase with washout delayed phase enhancement as the prevailing kinetic pattern for DCIS (7, 27, 28). Our study showed a predominant display of a rapid initial enhancement with a plateau kinetic curve in DCIS lesions, echoing the results reported by Kim et al. (15). In a study by Bharti et al. (29), heightened microvessel proliferation was notably more common in ER-negative tumors. Building upon this insight, significant intergroup differences in the kinetic characteristics of DCIS lesions were initially anticipated in our investigation. Contrary to this expectation, our study revealed no discernible differences in the kinetic features of pure DCIS lesions based on HRC status.

DWI is an MRI technique that does not require a contrast agent, relying on the assessment of the random Brownian motion of water molecules within tissue (11, 13). The impedance of water molecular diffusion is influenced by the degree of tissue cellularity and the permeability of cell membranes (30). ADC serves as a quantifiable measure to evaluate this diffusion. ER and PR, and intranuclear receptors that impact DNA and participate in cell proliferation, may also influence the expression of aquaporins responsible for transporting water across cell membranes, thereby regulating tissue water diffusion (13). In the present study, ADC values for pure DCIS lesions were measured, with the aim of assessing their potential in discriminating HRC status. Rahbar et al. revealed similar ADC values for high nuclear grade and non-high nuclear grade DCIS lesions (11). Iima et al. (30) proposed that DCIS lesions with ADC values below 1.3 were likely to be low-grade. In addition, Rocknsharifi et al. (13) found lower ADC values in PR-negative breast cancer lesions, including DCIS and invasive tumors. While our results indicated a relatively lower ADC value in single HRC-positive pure DCIS lesions compared to other groups, our investigation ultimately found no significant difference in ADC values between the groups. To the best of our knowledge, no studies comparable to the current investigation have explored the correlation between ADC values and HRC status in DCIS. The variation in hormonal levels influences the water content in the interstitial area of breast tissue, as well as the proliferative activity of luminal epithelial cells and mitotic activity in breast lobules. Postmenopausal changes lead to a significant reduction in tissue water

content and cell proliferation. Consequently, ADC values in the breast parenchyma may vary significantly among individual patients (31, 32). Moreover, previous studies have established a correlation between decreased ADC in breast tumors and increased cellularity compared to normal fibroglandular tissue (10, 11). Recognizing this, it was posited that a more accurate assessment could be derived from the ratio of ADC values for DCIS lesions to normal breast parenchyma. Our study found that the lesion-to-normal breast parenchyma ADC ratio was associated with the HRc status of DCIS lesions. Thus it is suggested that the likelihood of double HRc positivity increased at values above 0.8, identified as the threshold. This observation aligned with our discovery that the ER and PR-positive group exhibited the highest ADC values.

Several limitations were inherent in our study. Firstly, the retrospective nature and the single-center design with a limited sample size may impact the generalizability of our results. Future research endeavors should focus on multicenter prospective investigations involving larger patient cohorts to validate our results and uncover potential new associations. Secondly, our inclusion criteria, which involved cases undergoing preoperative MG and MRI, may introduce selection bias. Cases with dense artifacts in DWI images and very small lesions (<5 mm) were excluded, potentially limiting the representativeness of our results for all DCIS lesions. Thirdly, the heterogeneous internal structure of DCIS lesions posed challenges in standardizing kinetic evaluation and ADC measurements. Fourthly, in our study HER-2 expression, which is indicated in the literature as a prognostic factor for recurrence of DCIS lesions and response to radiotherapy, was not investigated (33, 34). The reason for this is that HER-2 expression is not routinely evaluated in DCIS at our center. Finally, the retrospective interpretation of MG and MRI images by a single radiologist may introduce variability, given the morphological intralesional heterogeneity of DCIS. Different outcomes might have been observed if multiple radiologists had evaluated the images.

In conclusion, our study identified clinicopathological features such as the presence of symptoms and comedo necrosis, and high histological grade, along with amorphous microcalcifications and the linear distribution pattern of NME, as potential indicators for HRc-negativity in DCIS. Furthermore, a lesion-to-normal parenchyma ADC ratio threshold of 0.80 was established as predictive for ER and PR-positive DCIS lesions. To the best of our knowledge, no study in the literature has investigated MRI features based on HRc status in pure DCIS lesions, making our study a potential guide in this unexplored area.

Ethics Committee Approval: This retrospective study received approval from the Non-Interventional Clinical Research Ethics Committee of Health Sciences University Turkey, Dr. Abdurrahman Yurtaslan Ankara Oncology Health Education Application and Research Center (no.: 2023-12/123, date: 14.12.2023).

Informed Consent: Informed consent was obtained from each participant.

Authorship Contributions

Surgical and Medical Practices: A.C.B., Z.M.B.; Concept: A.C.B.; Design: A.C.B., Z.M.B.; Data Collection and/or Processing: A.C.B., Z.M.B.; Analysis and/or Interpretation: A.C.B.; Literature Search: A.C.B.; Writing: A.C.B.

Conflict of Interest: The authors have no conflicts of interest to declare.

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Reliability of L-Dex Scores for Assessment of Unilateral Breast Cancer-Related Lymphedema

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ABSTRACT

Objective: Breast cancer-related lymphedema (BCRL) is a common complication of breast cancer treatment that may result in swelling of the affected arm due to compromised lymphatic function. Implementing a screening program and early intervention for BCRL are important for effective management. Bioimpedance spectroscopy (BIS) is a commonly used tool for assessing BCRL. This study aimed to compare different normative ranges for BIS L-Dex scores in the detection of BCRL.

Materials and Methods: Data from 158 women with clinically ascribed and indocyanine green confirmed BCRL were analysed. BIS measurements were obtained using an ImpediMed standing device, and L-Dex scores were calculated using published normative ranges for healthy individuals. Statistical analysis was performed to compare the concordance between different reference ranges in classifying individuals with lymphedema.

Results: The study found that L-Dex scores calculated using different normative ranges were highly correlated and essentially interchangeable in detecting BCRL. Approximately 90% of participants exceeded the L-Dex threshold for lymphedema, with minimal discrepancies between reference ranges. False negative rates were observed in some participants, likely due to early-stage BCRL with minimal lymph accumulation.

Conclusion: The findings suggest that BIS L-Dex scores are a valid indicator of BCRL, regardless of specific normative ranges used. Detection rates of clinically confirmed BCRL were consistent across different reference ranges, with minimal discrepancies. BIS remains a valuable tool for early detection and monitoring of BCRL. Future research should focus on longitudinal assessments and use of change in L-Dex scores for lymphedema monitoring and progression.

Keywords: Lymphedema; bioimpedance spectroscopy; impedance; L-Dex

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Key Points

- Breast cancer-related lymphedema (BCRL) is a common complication of breast cancer treatment that can result in swelling of the affected arm.
- Implementing a screening program and early intervention for BCRL are crucial for effective management.
- L-Dex scores calculated using different normative ranges were highly correlated and essentially interchangeable in detecting BCRL.
- Future research should focus on longitudinal assessments and use of change in L-Dex scores for lymphedema monitoring and progression.

Introduction

Secondary lymphedema is a chronic condition of lymphatic dysfunction characterised by swelling of a body region due to accumulation of excess lymph fluid through compromised lymph transport (1). The aetiology of lymphedema is varied but is well recognised as an adverse sequela of breast cancer and its treatment; this is breast cancer-related lymphedema (BCRL) (2). Estimates of BCRL incidence vary but range from 3 to 65% with presentation occurring most commonly within two years of surgery (3, 4). The precise mechanisms for development of BCRL are uncertain but is likely due to direct damage

to the lymphatics through either surgery or radiation treatment rather than damage due to the presence of a tumour *per se* (2-5).

Increasingly, it is recognised that the recommended standard of care for those undergoing breast cancer treatment is a prospective surveillance and early intervention model (6-9) with lymphedema treatment being most effective when commenced at the earliest opportunity (10). Definitive diagnosis of BCRL is by comprehensive clinical evaluation with objective assessment of lymphatic function by an imaging technique, such as indocyanine green (ICG) lymphography (11) or lymphoscintigraphy (12). In practice, however, initial recognition of

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BCRL is frequently self-assessment of symptoms by the individual or simple visual observation of arm swelling (13). Furthermore, since imaging techniques such as ICG lymphography are frequently only available in tertiary referral settings, objective assessment of BCRL is routinely undertaken by measurement of limb swelling. Although various techniques are available, the most commonly used are simple volumetric measurement of the at-risk limb or assessment of extracellular water (ECW) volume, of which lymph is a principal component, by bioimpedance spectroscopy (BIS) (14). Both of these methods are recommended in best practice guidelines and position statements, e.g., National Comprehensive Cancer Network, USA (15) and the Australasian Lymphology Association (https://www.lymphoedema.org.au/public/7/files/Position%20Statements/ALA%20Position%20Statement_Early%20Detection%20of%20BCRL.pdf).

Although widely used and recommended, neither volumetric assessment nor BIS measure lymphatic dysfunction or lymph accumulation directly. In volumetric assessment, the excess size of the at-risk limb in unilateral BCRL is determined relative to the contralateral limb in either absolute (mL) or relative (%) terms, ideally as volume increase relative to a pre-surgery or pre-treatment baseline measurement where available (16, 17). Volume excess or change in volume of 5 or 10% are commonly used as indicative of BCRL (18, 19). In contrast, BIS provides an indirect index of lymph accumulation. BIS measures the electrical impedance of the arm, which is inversely but quantitatively related to the volume of ECW, including lymph (20). Like volumetric measurements, the low frequency impedance (typically resistance at zero current frequency, R_0) of the at-risk limb is compared to that of the contralateral unaffected limb but as a ratio ($R_{0_{unaffected}}: R_{0_{at-risk}}$) rather than as an absolute or percentage difference. Unlike volumetric measurements, impedance ratios typically compared normative values for the impedance ratio observed in a healthy non-BCRL population with the mean control value plus either two (2SD) or three (3SD) standard deviations being used as thresholds indicative of presumptive lymphedema (20). Since impedance ratios are not immediately intuitively understandable, it has become common practice to convert ratios to a linear scale, an L-Dex score, where 2SD and 3SD thresholds correspond to L-Dex scores of 6.5 and 10 respectively (20, 21). Consequently, the utility of L-Dex scores for the early detection and monitoring of BCRL is dependent upon the L-Dex thresholds that are reliant upon using appropriate normative standards. An additional concern is that protocols for BIS assessment have changed since its initial introduction in 2001 (22) with the advent of new BIS devices and a move from measurements made in the supine position to those made when standing (23).

The current study compared BIS L-Dex normative ranges determined with different impedance devices and measurement protocols using published data. The concordance between ranges in classifying individuals with lymphedema was assessed in a cohort of women with ICG lymphography-confirmed BCRL.

Materials and Methods

Participants - BCRL

Data for 158 women with clinically ascribed BCRL and confirmed by ICG lymphography were drawn from a database maintained by the Australian Lymphoedema Education, Research and Treatment Program at Macquarie University. All women had consented to data, collected as part of routine clinical practice, being used for research

purposes approved by Macquarie University Ethics Committee (approval number: 52020613914268, date: 27.02.2020) abiding by the Helsinki Declaration governing human experimentation. Clinical evaluations were conducted by experienced lymphedema therapists with BIS measurements obtained by trained research assistants within a single session described previously (24). Presence of BCRL was confirmed by ICG lymphography (11), the arm on the side of cancer treatment was deemed as “affected”.

Exclusion criteria were minimal: Participants were required to be female, aged over 18 years, not fitted with an implantable device, e.g., a pacemaker or were pregnant (self-ascribed) as these are contraindications for BIS measurements or had a health condition or were receiving medication that affected body water status which would confound BIS measurements.

Participants With BCRL-Measurements

Measurement procedures have been described in detail elsewhere (24). Briefly, height and weight were measured to 0.1 cm and 0.1 kg resolution using a calibrated wall mounted stadiometer and electronic scale, respectively. Whole arm impedance was measured with an ImpediMed SOZO BIS device (ImpediMed Ltd., Brisbane, Australia) with the participant in standing posture in accordance with manufacturer's recommendations as described previously (23). BIS data was stored in a cloud-based database maintained by the SOZO manufacturer.

Participants-Healthy Non-BCRL Normative Data Ranges

A literature search (using Medline-PubMed) was undertaken to find publications in which either impedance ratios or L-Dex scores had been determined for healthy control populations. Six publications were identified, and details are presented in Table 1 (22, 24-28). Details of participants and measurement procedures in these studies can be found in the relevant publications.

Data Analysis

BIS For Participants With BCRL

BIS data for each arm of all participants were retrieved from the SOZO cloud-based database to provide estimates of resistance at zero frequency (R_0) for each arm as described previously (20, 29). R_0 ratios were calculated for each participant in the conventional manner as $R_{0_{unaffected}}: R_{0_{affected}}$ arm. The L-Dex scores were calculated using each of the published normative ranges according to whether the affected limb was dominant or non-dominant.

Statistical Analysis

Impedance data are presented as means \pm SD and range. Normal distributions for the published normative range mean and SD were calculated using the normal distribution spreadsheet template provided by Vertex 42 (<https://www.vertex42.com/ExcelArticles/mc/NormalDistribution-Excel.html>) and distributions compared using the Z statistic. Statistical significance of differences between 2SD L-Dex 6.5 scores calculated using the different normative ranges was determined using a two-factor (range and dominance) repeated measures analysis of variance (ANOVA) (Sigmatat v3.5, Systat software, Chicago, USA). Spearman-rank correlations between L-Dex scores for BCRL participants were calculated using the correlation matrix module of NCCS version 2022 (NCCS LLC, Kaysville, USA). Descriptive statistics and distribution plots of L-Dex scores by reference range were prepared using MedCalc Statistical Software v 22.023 (MedCalc Software Ltd, Ostend, Belgium).

Results

Characteristics of Participants

Characteristics of the BCRL participants are presented in Table 2. The majority of participants with BCRL were overweight (75.3%) according to WHO criteria of body mass index (BMI) >25 kg/m² with 39.8% having a BMI >30 kg/m². Mean R0 of the affected arm was, on average, 18.4% smaller than that of the unaffected arm reflecting the larger volume of the affected limb. Mean R0 ratio (1.27) was notably larger than the mean values seen in healthy control individuals irrespective of reference population (1.011 to 1.037, Table 1).

Impedance Ratio Normative Ranges

Published reference ranges for impedance ratios and the 2SD and 3SD thresholds, equivalent to L-Dex 6.5 and 10 units respectively, are presented in Table 1. The normal distribution curves are presented in Figure 1. Distributions were overlapping and not significantly different, although not identical, reflecting not only different

populations but also devices and measurement protocols. Most studies measured impedance at zero frequency (R0), although Ridner et al. (27) obtained measurements at an unspecified but <30 kHz frequency, while Jung et al. (28) obtained measurements at both 1 and 5 kHz and provided reference values for each.

L-Dex Scores of Participants With BCRL

The relative distributions of L-Dex scores calculated using each of the reference ranges are presented in Figure 2. Values between ranges were highly correlated (Table 3) but were not in absolute agreement. Two-factor ANOVA found no significant overall difference in mean L-Dex score between the different reference ranges although pairwise comparison showed significant differences ($p < 0.0001$) between all paired comparisons except for the two ranges provided from the same study by Jung et al. (28). Although absolute magnitude of L-Dex values varied with dominance of the affected arm according to dominance-defined normative ranges (Table 1), this was irrespective of the reference range used.

Table 1. Published impedance ratio thresholds for detection of BCRL

Publication	Population	Device	Protocol	Number	Dominant at-risk				Non-dominant at-risk			
					Mean	SD	Mean + 2SD	Mean + 3SD	Mean	SD	Mean + 2SD	Mean + 3SD
Cornish et al. (22)	Caucasian Australia	BIS ImpediMed SFB3	Supine lead electrodes 40-cm segment proximal to wrist	60	1.037	0.034	1.102	1.139	0.964	0.034	1.032	1.066
Ridner et al. (27)	Predominantly Caucasian USA	SFBIA (<30 kHz) ImpediMed XCA	Seated lead electrodes Whole arm (wrist to axilla)	32	1.024	0.040	1.104	1.144	0.986	0.027	1.040	1.060
Ward et al. (25)	Caucasian/ Chinese Australia & New Zealand	BIS ImpediMed SFB3 & SFB7	Supine lead electrodes Whole arm (wrist to axilla)	172	1.014	0.040	1.094	1.134	0.986	0.040	1.066	1.106
Wang et al. (26)	Chinese China	BIS ImpediMed SFB7	Supine lead electrodes Whole arm (wrist to axilla)	391	1.018	0.045	1.108	1.153	0.984	0.044	1.072	1.116
Jung et al. (28)	Korean Korea	MFBIA (1 & 5 kHz) InBody 3.0	Standing plate whole arm (wrist to axilla)	643	^a 1.013	0.030	1.073	1.103	0.998	0.029	1.056	1.085
					^b 1.011	0.029	1.069	1.098	0.990	0.028	1.046	1.074
Ward et al. (24)	Predominantly Caucasian Australia	ImpediMed SOZO & SFB3/7	Standing plate electrodes whole arm (wrist to axilla)	267	1.033	0.041	1.114	1.156	0.972	0.041	1.055	1.097
Weighted average				1565	1.017	0.034	1.085	1.119	0.988	0.034	1.056	1.091

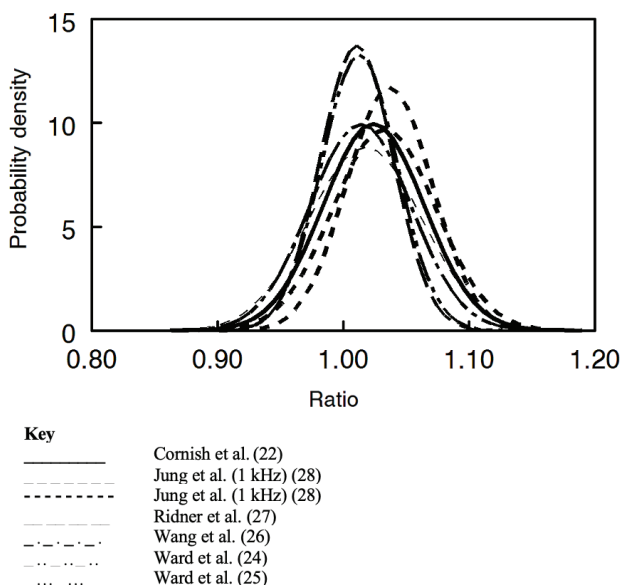
Owing to the larger difference in sample sizes, mean values were calculated weighted according to sample size

BCRL: Breast cancer related lymphedema; BIS: Bioimpedance spectroscopy; MFBIA: Multi-frequency bioimpedance analysis; SD: Standard deviation; ^a: R at 1 kHz; ^b: R at 5 kHz

Table 2. Participant characteristics

Characteristic	BCRL
Number	158
Dominance (right: left)	151:7
At risk (dominant: non-dominant)	76:82
Years since lymphedema diagnosis	4.5±6.1
MDACC ICG stage (number)	
0	1 (0.6%)
1	20 (12.7%)
2	79 (50%)
3	45 (28.5%)
4	13 (8.2%)
Age (years)	57.5±11.8 (32.0 to 82.0)
Height (cm)	163.1±6.6 (144.0 to 178.0)
Weight (kg)	77.4±15.3 (46.2 to 149.8)
Body mass index (kg/m ²)	29.1±5.6 (18.7 to 50.3)
R0 unaffected arm (ohm)	359±43 ^a (269 to 488)
R0 affected arm (ohm)	292±63.3 ^b (147 to 462)
R0 ratio (unaffected: affected)	1.270±0.254 (0.922 to 2.226)

Data presented as mean ± SD (range)
 BCRL: Breast cancer related lymphedema; ICG: Indocyanine green; MDACC: MD Anderson Cancer Center; cm: centimetre; kg: kilogram; m: meter



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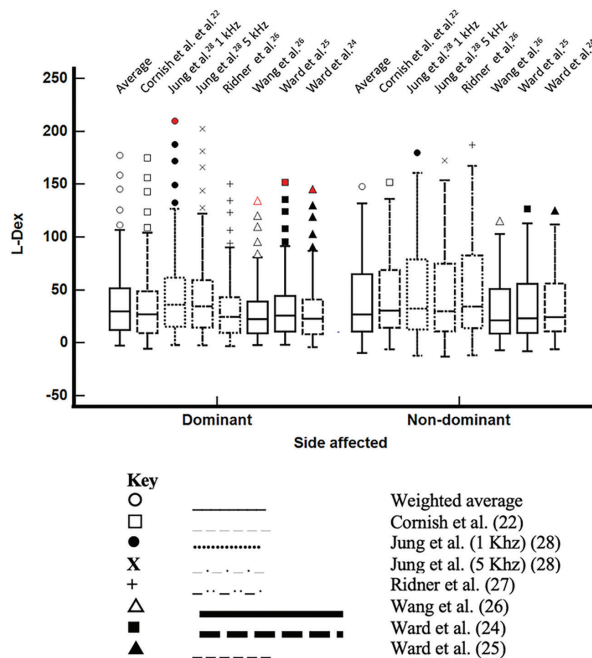


Figure 2. Distributions of L-Dex scores by published reference range
Detection of BCRL by L-Dex Score

An L-Dex score of 6.5 is widely used as a threshold presumptive of the presence of BCRL (30). Although all participants with BCRL on the present study had clinically and ICG lymphography-confirmed lymphedema, 14 (8.9%) provided L-Dex scores <6.5, a consistent finding across all reference ranges (Table 4). A further 3 participants (1.9%) had L-Dex scores ≥6.5 but were negative indicating that the unaffected arm was larger than the affected arm. One hundred and forty-one (89.2%) participants were found to exceed the L-Dex 6.5 threshold by at least one reference range, with 123 (77.8%) of these exceeding this threshold according to all reference range criteria. For the 18 participants in which there were non-concordant L-Dex scores (Table 5), no one reference range was consistently discrepant. The Wang et al. (26) reference range was the only one to be consistent in scoring these participants under the threshold.

Discussion and Conclusion

The present study has demonstrated that the different published reference ranges to establish L-Dex thresholds are highly comparable and essentially interchangeable. This is important since there is no universal consensus on precise measurement procedures or devices to be adopted when BIS is used to assess lymphedema. The detection rate of clinically confirmed lymphedema was approximately 90% irrespective of measurement procedure, with this dropping to 78% where there was 100% agreement between ranges. This lower value is typical of detection rates observed within studies that adopt a single specified reference range (20). Where discrepant results were observed between ranges, the magnitude of L-Dex scores were only just in excess of the 6.5 threshold value. This suggests that in these particular participants, lymphedema may have been at an early or sub-clinical stage where marked lymph accumulation had yet to occur. It is also noteworthy that L-Dex scores fluctuate daily and that a value above a threshold cut-off should not be considered absolutely definitive of the presence of lymphedema, and trends over time are important considerations (27).

Table 3. Correlation matrix for L-Dex scores according to published normative range

Range	Cornish	Ridner	Ward a	Wang	Jung a	Jung b	Ward b	Weighted average
Cornish et al. (22)	1	0.9928	1.0000	0.9996	0.996	0.9781	0.9993	0.9998
Ridner et al. (27)		1	0.9925	0.9951	0.9993	0.9903	0.9888	0.9915
Ward et al. (25)			1	0.9995	0.9957	0.9779	0.9994	0.9998
Wang et al. (26)				1	0.9976	0.982	0.9983	0.9993
Jung et al. (28) (1 kHz)					1	0.9886	0.9928	0.9949
Jung et al. (28) (5 kHz)						1	0.9745	0.9777
Ward et al. (24)							1	0.9997
Weighted average								1

Owing to the larger difference in sample sizes mean values were calculated weighted according to sample size

Table 4. Concordance between reference ranges for detection of lymphedema by L-Dex score ≥ 6.5

Threshold	Ranges concordant	Participant number (%)
L-Dex <6.5		14 (8.9%)
L-Dex ≥ 6.5		3 (1.9%)
	All	141
	6	1
	5	0
L-Dex ≥ 6.5	4	3
	3	4
	2	7
	1	3

Although not a primary aim of the study, it was found that 17 participants (10.8%) had L-Dex scores negative for lymphedema. This false negative rate is consistent with that observed in other studies (31), but lower than that observed in others (32). A small false negative rate is expected since the thresholds indicative of the presence of lymphedema are defined statistically according to the normal distribution; a 2SD threshold (L-Dex 6.5) means that approximately 5% of a population fall outside a mean + 2SD range. The false negative rate observed here is approximately two-fold greater. It is likely that participants in the early stages of lymphedema have minimal lymph accumulation although ICG lymphography indicates a degree of lymphatic dysfunction. The participants in the present study who provided negative L-Dex (<6.5) were MD Anderson Cancer Center (MDACC) ICG stage 0 (at-risk) (1 participant), 1 (9 participants) or 2 (7 participants) and relatively recently diagnosed, most within two years and a maximum of six years post-lymphedema diagnosis. Three participants presented with L-Dex scores indicating that the unaffected limb was larger, albeit slightly, than the affected limb. The reasons for this are unclear. One was MDACC ICG stage 1 and two were stage 2. All participants had well-managed BCRL, and none were within the obese range where excess adiposity increases ECW. A review of medical records showed two had no obvious confounding characteristics, however one participant had metal in the affected arm

from a previous injury which would potentially impact the calculated L-Dex score.

The study has a number of limitations. BIS is used to assess all presentations of lymphedema, unilateral and bilateral, in both arms and legs. The present findings are only appropriate to BIS when used for assessment of unilateral BCRL. Bilateral lymphedema poses difficulty in assessment since there is no contralateral limb for normalization of impedance. L-Dex scores are alternatively calculated, for example, as the ratio of leg to arm impedance values for bilateral lymphedema of the legs (33-36). Few normative ranges for such assessments have been published for comparative analysis. A further limitation is that this analysis is restricted to single L-Dex assessments. It has not considered the preferred use of change in L-Dex scores as an index of lymphedema or when used to monitor progression or response to treatment. This is, however, not considered a major problem since L-Dex scores are calculated in an identical manner using the same reference ranges for determination of threshold values. Three reference ranges considered [Ridner et al. (27) and Jung et al. (28)] were determined using resistance measured at a low frequency but not zero, the optimal frequency for measurement of ECW. The rate change in resistance with frequency however has a low-rate constant (21). York et al. (37) showed that correlation between R0 and resistance measured at frequencies up to 30 kHz ranged from 0.998 to 0.992 while limits of agreement analysis showed that bias was limited to 1.3% at 30 kHz. The generally high agreement found between these studies and those using conventional R0 are consistent with these observations. Finally, L-Dex scores using a 6.5 threshold only were considered. The original BIS protocol used a 3SD threshold. Subsequent research has found that this was too conservative and that a more liberal cut-off of 2SD provided better sensitivity and specificity. Since a change from 2SD to 3SD is a constant scaling effect, this will not affect comparison between reference ranges as considered here; the magnitude of the L-Dex score will be different and the detection rate will be decreased but relativity between ranges will be unaffected.

In conclusion, the current study has confirmed that L-Dex scores are a robust indicator associated with the presence of BCRL. Impedance measurements are reliable for this purpose irrespective of measurement protocol and across different devices. The results also indicate that, assuming electronic accuracy, transferring or upgrading from one device to another will have minimal effect on the value of impedance technology for BCRL detection or monitoring. While this study has affirmed the use of BIS for assessment of BCRL, it should be

Table 5. Lack of agreement between reference ranges for detection of lymphedema by L-Dex score >6.5

Participant	Reference range						
	Cornish et al. (22)	Ward et al. (25)	Wang et al. (26)	Ward et al. (24)	Ridner et al. (27)	Jung et al. (28) (1 kHz)	Jung et al. (28) (5 kHz)
A	1.8	4.4	3.4	2.0	3.1	6.0	6.6
B	2.0	4.6	3.6	2.1	3.3	6.3	6.8
C	6.7	3.0	2.9	4.6	4.4	2.0	3.5
D	2.3	4.8	3.9	2.4	3.6	6.6	7.2
E	3.5	5.9	4.8	3.4	4.6	8.0	8.6
F	2.4	4.9	3.9	2.5	3.6	6.7	7.3
G	3.4	5.8	4.7	3.3	4.5	7.9	8.5
H	2.8	5.3	4.2	2.8	4.0	7.2	7.8
I	9.0	4.9	4.7	6.5	7.3	4.7	6.3
J	8.9	4.8	4.6	6.4	7.2	4.6	6.2
K	4.3	6.5	5.3	4.0	5.3	8.9	9.5
L	5.6	7.6	6.3	5.1	6.4	10.3	11.0
M	5.2	7.3	6.1	4.8	6.1	9.9	10.6
N	9.1	5.0	4.7	6.5	7.3	4.8	6.4
O	9.4	5.2	5.0	6.8	7.7	5.1	6.7
P	10.1	5.9	5.6	7.4	8.7	6.0	7.7
Q	9.7	5.5	5.2	7.0	8.1	5.5	7.1
R	10.9	6.5	6.1	8.1	9.6	6.9	8.6

Data presented as L-Dex scores, scores ≥6.5 highlighted in bold

emphasised that BIS is but one technique in the armoury of tools available to a lymphoedema therapist. It is incumbent upon the clinician to be familiar with the relative advantages and disadvantages of each, practicality of use and to use these as an adjunct to their clinical expertise (38).

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Ethics Committee Approval: The study approved by Macquarie University Ethics Committee (reference number: 52020613914268, date: 27.02.2020).

Informed Consent: All women had consented to data routinely collected data analysed for research purposes.

Authorship Contributions

Concept: L.C.W.; Design: L.C.W.; Data Collection and/or Processing: L.C.W., K.G., B.T. V.S.P., L.A.K.; Analysis and/or Interpretation: L.C.W., K.G., B.T. V.S.P., L.A.K.; Literature Search: L.C.W.; Writing: L.C.W., K.G., B.T. V.S.P., L.A.K.

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Mastalgia and Why It Should Be Evaluated With Imaging in Areas Where Use of Breast Cancer Screening Services are Unsatisfactory

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ABSTRACT

Objective: Mastalgia or breast pain is a very common symptom in women attending breast clinic. The aim of this study was to evaluate whether imaging for mastalgia leads to cancer detection in an area where routine breast cancer screening services are underutilized.

Materials and Methods: This prospective study was performed between 1st March 2021 to 31st January 2023 at a tertiary care academic institution of central India. All patients underwent through clinical examination by a surgeon. Then patients were referred for ultrasound and/or X-ray mammography (MMG) depending on age. Cancer detection rate was calculated.

Results: The final cohort consisted of 176 patients with mastalgia and without any abnormality on clinical breast examination. Sixteen patients had mass lesion on radiology and core needle biopsy resulted as infiltrating duct carcinoma in 7 patients and benign phylloides tumor in one patient. Overall case detection rate for cancer was 4%.

Conclusion: The breast cancer detection rate in patients presenting with mastalgia was low. However, in the absence of routine mammographic screening in the Indian general population, these would have been missed. Hence, diagnostic assessment for mastalgia is an appropriate strategy in countries where routine screening MMG is lacking.

Keywords: Mastalgia; breast cancer; screening; mammography

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Key Points

- Mastalgia is the most common presenting complaint in breast clinics.
- Imaging is usually not recommended if clinical examination is normal.
- However, in countries where routine screening is not available, imaging can lead to early breast cancer detection.

Introduction

Mastalgia or breast pain is a very common symptom in women attending breast clinic and it is thought to occur in up to 60–70% of women in their lifetime (1-3). Exact etiopathogenesis of mastalgia is not well understood and is multifactorial (2, 4). Guidelines for evaluation and treatment of mastalgia remain controversial. The American College of Radiology (ACR) Practice Guidelines suggests diagnostic imaging only for a persistent and focal area of pain, defined as involving 25% of the breast and axillary tissue (4, 5). Many centres, including ours, prefer to image all patients presenting with mastalgia (6). Many other studies have reported that such imaging evaluation for

patients with mastalgia leads to unnecessary biopsies, increased costs, patient anxiety and overutilization of healthcare resources (7, 8).

The aim of this study was to evaluate whether imaging for mastalgia leads to cancer detection in an area where routine breast cancer screening services are underutilized.

Materials and Methods

This prospective study was performed between 1st March 2021 and 31st January 2023, at a tertiary care academic institution in central India after approval by Netaji Subhash Chandra Bose Medical College

Jabalpur Institutional Ethics Committee (decision no: IEC/2020-23, date: 07.012021). Informed consent was obtained from patients.

Inclusion criteria were age above 18 years and all patients presenting with mastalgia irrespective of focality, duration, or cyclical nature. Patients with any abnormal clinical finding, such as palpable mass, nipple discharge or history of breast implant were excluded. Patients were evaluated as per department protocol. All patients underwent through clinical examination by a trained breast surgeon. Then patients are referred for ultrasound (USG) and/or X-ray mammography (MMG) depending on age, usually on the same day or the next day. Women less than 30 years of age underwent USG alone while between 30 to 40 years of age underwent additional MMG in case of any abnormal finding on USG and patients above 40 years underwent MMG alone (plus USG if any abnormal finding on MMG). The radiologist was not blinded with regards to symptoms and had over 10 years experience. In India, there is no national guidelines for population-based screening MMG. Women may visit a medical centre and request for a regular screening MMG.

Imaging Technique and Interpretation

The sonographic examination of breast and axilla was performed using a high frequency linear probe with frequency range 7–12 MHz (Alpinion E-CUBE -i7, Magokjungang 14-ro, Gangseo-gu, Seoul, Republic of Korea). MMG was done using MMG system 3000 Nova (Siemens Healthcare Private Limited Vikhroli East, Mumbai – 400 079, India). The patients were positioned supine with the arm on the side of interest relaxed up by the side of the head. Both the breast were exposed and all quadrant were examined by sweeping the transducer in radial and anti-radial direction to visualise the abnormality. Both axillae were also examined for any mass extension or lymph node abnormality. Lesions were also examined under color Doppler USG and results were noted. Examinations were interpreted by two dedicated breast radiologists using the ACR Breast Imaging-Reporting Data System (BI-RADS) lexicon (9). Histopathological samples for diagnosis were obtained under USG (routinely a 14-gauge core needle device) guidance, if indicated.

Statistical Analysis

The data of the present study was recorded in Microsoft excel sheet. Descriptive statistics and Z test was used to compare patient demographics, pain characteristics, and imaging modality between all patients/cases and those with breast cancer. All analysis was performed using SPSS software (IBM Inc., Armonk, NY, USA). *P* values less than 0.05 were considered statistically significant.

Results

A total of 292 women presented with mastalgia during the study period. Of these, 116 patients were excluded; 33.9% (99/292) had an associated palpable abnormality and 5.8% (17/292) had a skin/nipple abnormality. The final cohort consisted of 176 patients with mastalgia and without any abnormality on clinical breast examination. Baseline demographics are presented in Table 1. The frequency (%) of various BI-RADS categories by MMG and USG is provided in Table 2.

Sixteen (9.1%) patients had mass lesion on radiology and core needle biopsy results were infiltrating duct carcinoma in 7 patients (early breast cancer) and benign phylloides tumor in one patient. Remaining 8 patients had benign pathology. Overall case detection rate for cancer was 4%. The median (range) age of patients diagnosed with cancer

was 38 (22–58) years. Patients diagnosed with malignancy were older compared to the overall patient population (mean 39 ± 8.5 vs. 34.4 ± 6.8 years, $p = 0.06$) and none of the patients had personal history of breast cancer.

In the cohort, 45% had cyclical pain and 55% non-cyclical pain. The proportion of patients with focal pain was 44% whereas the remainder (56%) had diffuse pain. Unilateral pain occurred in 47% cases whereas in 53% pain was bilateral. No statistically significant differences in pain characteristics were noted between the whole cohort with breast pain and those who were diagnosed with malignancy.

Discussion and Conclusion

In this cohort of patients where routine screening MMG is lacking, the case detection rate for breast cancer was 4% in patients presenting with mastalgia and without any palpable findings. The age group of patients diagnosed with malignancy was similar to the age group of patients without malignancy. All diagnosed patients had no familial risk factor.

Table 1. Patient demographics and frequency details

Variable	
Age, mean \pm SD	34.4 \pm 6.8 years
<31 years	37
30–40 years	25
41–50 years	24
>51 years	13
Mastalgia	
Left breast	94 (53.40%)
Right breast	69 (39%)
Bilateral	13 (7.39%)
Breast density	
Extremely dense	19 (11%)
Heterogeneously dense	72 (41%)
Scattered fibro-glandular density	60 (34%)
Fatty	25 (14%)

SD: Standard deviation

Table 2. The frequency (%) of various BI-RADS categories by mammogram and ultrasound

BI-RADS category	By mammogram (%)	By ultrasound (%)
1	88 (70%)	93 (53%)
2	14 (11%)	53 (30%)
3	6 (5%)	18 (10%)
5	3 (2%)	12 (7%)
0	15 (12%)	-
Total	126 (100%)	176 (100%)

BI-RADS: Breast Imaging-Reporting Data System

Breast cancer has some striking differences in Asian women compared to their western counterparts (10). Although its incidence is increasing rapidly worldwide, the highest increase in incidence is seen in Asian countries (10, 11). Age at diagnosis is lower in Asian countries, which is true in India as well. The median age of patients from India has been reported to range from 35 to 45 years (12-14). Breast cancer in Indian women is also more aggressive, with a high proportion of triple negative breast cancers (14, 15). Despite being the most common cancer in India, onset at younger age and aggressive nature, there is no mandatory screening MMG in India. Hence any patient presenting with a breast complaint is also an opportunity to screen her for breast cancer. Our results showed that 4% of patients with mastalgia as the presenting complaint were ultimately diagnosed with breast cancer.

Multiple studies have evaluated the role of imaging in mastalgia. A study from Canada found 0.4% CDR in women with mastalgia and concluded that imaging for isolated breast pain is unnecessary and overutilization of healthcare resources. However, they recommended routine screening MMG to be encouraged (16). Another study among American women concluded that focal breast pain is rarely associated with malignancy and imaging should be deferred if there are no other clinical findings, and a negative mammogram (17). A study from the United Kingdom also showed that pain is not a frequent symptom of breast cancer (6). However, these authors recommended that direct testing with MMG would be safe, effective and efficient practice. All these studies advising against imaging for mastalgia, are from high income countries and have a screening MMG program. Unfortunately, this is not the case with India. More so, our center is located in central India having a high proportion of underprivileged citizens in the population. For these patients, imaging of the breast when they come to clinic for mastalgia, can be the only time when they undergo screening and it should be utilized.

Our study has several limitations. As it was conducted at a tertiary academic institution our results may not be generalizable. Referral bias is another limitation, as general practitioners and hospitalists do not always refer patients with mastalgia. Clinical examination was also performed by multiple surgeons. Both USG and MMG was performed in women over 30 years at the discretion of surgeon/radiologist and a very small number of patients underwent both examinations. Study would have been more significant in terms of which imaging modality to prefer if both USG and MMG were done in all patients and few cancers were missed in one modality but detected on other. Consequently, we would have been able to make recommendations about the benefits of USG in the setting of a negative mammogram, but this was not possible. Another limitation was the low number of cancer detected. However, to the best of our knowledge, ours is the largest study with largest proportion of cancers detected in evaluation of mastalgia from India.

The breast cancer detection rate in patients presenting with mastalgia was low at 4%. However, in the absence of routine mammographic screening in Indian general population, these cases of breast cancer would otherwise have been missed. Hence, diagnostic assessment for mastalgia is an appropriate strategy in countries where routine screening MMG is lacking.

Ethics Committee Approval: Approval by Netaji Subhash Chandra Bose Medical College Jabalpur Institutional Ethics Committee (decision no: IEC/2020-23, date: 07.012021).

Informed Consent: Informed consent was obtained from patients.

Authorship Contributions: Surgical and Medical Practices: S.T., A.S.P., S.K.Y., R.A.; Concept: S.T., A.S.P., S.K.Y., R.A.; Design: S.T., A.S.P., S.K.Y., R.A.; Data Collection and/or Processing: S.T., A.S.P., S.K.Y., R.A.; Analysis and/or Interpretation: S.T., A.S.P., S.K.Y., R.A.; Literature Search: S.T., A.S.P., S.K.Y., R.A.; Writing: S.T., A.S.P., S.K.Y., R.A.

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Dietary Patterns and Breast Cancer Risk: A KCPS-II Cohort Study

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ABSTRACT

Objective: There have been inconsistencies in the evidence for a role of dietary patterns in the development of breast cancer. In this study, we used a large-scale cohort [Korean Cancer Prevention Study-II (KCPS-II)] to examine the association between dietary patterns and breast cancer risk in Korean women.

Materials and Methods: The dietary patterns of 14,807 women from the KCPS-II were derived by factor analysis and 135 cases of breast cancer were diagnosed during the follow-up period. Cox proportional models were used to estimate the hazard ratios (HRs) and 95% confidence intervals (CIs) for the risk of breast cancer.

Results: The following three major dietary patterns were identified: “Korean dietary pattern” (high intake of Kimchi, vegetables, and rice); “sweet dietary pattern” (high intake of soda and sugar); and “new (Western-like) dietary pattern” (high intake of dairy products, eggs, oil, fruits, and bread). After adjusting for potential confounders, neither the Korean (HR for the highest compared with the lowest tertile, 1.04; 95% CI 0.53–2.06) nor the sweet dietary patterns were associated with the risk of breast cancer. In contrast, the new (Western-like) dietary pattern was found to be significantly associated with an increased risk of breast cancer with an HR (95% CI) of 1.01 (0.65–1.60) for the second tertile and 1.61 (1.04–2.50) for the third tertile as compared with the lowest tertile. After stratifying by menopausal status, these effects were only statistically significant among premenopausal women for the third tertile, compared with those in the bottom tertile (HR 1.69; 95% CI 1.06–2.68; $p = 0.028$). No significant association was observed between the Korean or sweet dietary pattern and breast cancer among either pre- or postmenopausal women.

Conclusion: Our findings revealed that a greater consumption of a new (Western-like) diet was associated with an increased breast cancer risk and consequently offer a potential prevention strategy for Korean women.

Keywords: Dietary pattern; breast cancer; KCPS-II cohort; Korean women

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Key Points

- Dietary patterns of 14,807 women from Korean Cancer Prevention Study-II were derived using factor analysis, and 135 cases of breast cancer were diagnosed during the follow-up period.
- Three major dietary patterns were identified: “Korean dietary pattern” (high intake of kimchi, vegetables, and rice), “sweet dietary pattern” (high intake of soda and sugar), and “new (Western) dietary pattern” (high intake of dairy products, eggs, oil, fruits, and bread).
- The Western diet was associated with an increased breast cancer risk, and reducing the consumption of Western diet may be a potential prevention strategy for Korean women.

Introduction

Breast cancer is one of the leading causes of death in women globally (1). It was among the most commonly diagnosed types of cancer in Korean women: 22,300 new cases were reported by the Korea Central Cancer Registry in 2017 (2). The age-specific incidence rate has been steadily increasing from 21.4 per 100,000 in 1999 to 55.6 per 100,000 in 2017 (2). Although several epidemiologic studies have examined the

association between nutrient intake and breast cancer risk (3), their results have been inconsistent (4–6). Therefore, researchers have recently recognized the importance of identifying dietary patterns, following a holistic approach, rather than individual nutrients, in their contribution to chronic disease (7). Not only are such patterns practical tools for developing dietary recommendations but also a valuable method to determine risk factors and prevent disease simultaneously (8). Recently, prospective epidemiologic studies have examined associations

between certain dietary patterns and breast cancer risk (9-13). However, most studies have been conducted in European populations, and only a few studies have investigated this relationship in Asian populations (14, 15). In addition, Zhang et al. (16) reported that a diet high in vegetables, fruits, and soy could decrease breast cancer risk, while Cui et al. (17) reported that this was not true for a vegetable-soy pattern, suggesting an inconsistency in results. Thus, this study aimed to identify dietary patterns and examine their association with the risk of developing breast cancer using a large-scale cohort study [Korean Cancer Prevention Study-II (KCPS-II)].

Materials and Methods

Study Population

The KCPS-II is a prospective cohort study initiated in April 2004 supported by the Seoul city government as a part of the Korean Metabolic Syndrome Research Initiative study (18). Participants received routine health assessments at 18 health promotion centers across South Korea. The number of retrospectively enrolled KCPS-II participants based on health examination records between 1994 and 2005 is 270,514; data from 192,358 participants was prospectively collected between 2004 and 2013. After excluding participants with missing information on lifestyle and dietary habits, as well as those who were male or had a history of breast cancer, a total of 14,807 participants were included for final analyses (Figure 1). Of 67,271 female cohort member with data collection from 2004–2013, a very large proportion (78%) had missing dietary data because only surveys in institutions with professional dietitians were available.

Cancer information was ascertained by linkages to the Korea Central Cancer Registry, until 31 December 2018. Cancer incidence was identified based on the 10th revision of International Classification of Disease. Our health examinations included questions on lifestyle, family, and personal medical history in addition to an assessment of anthropometric and clinical factors. General demographic and lifestyle variables including age, sex, education level, smoking status, and alcohol intake were collected by a standardized questionnaire; we also deployed a short version of the food-frequency questionnaire (FFQ). The Yonsei University Health System Institutional Review Board approved the study (decision no: Y-2020-0142, date: 05.10.2020), and all participants provided written, informed consent prior to participation.

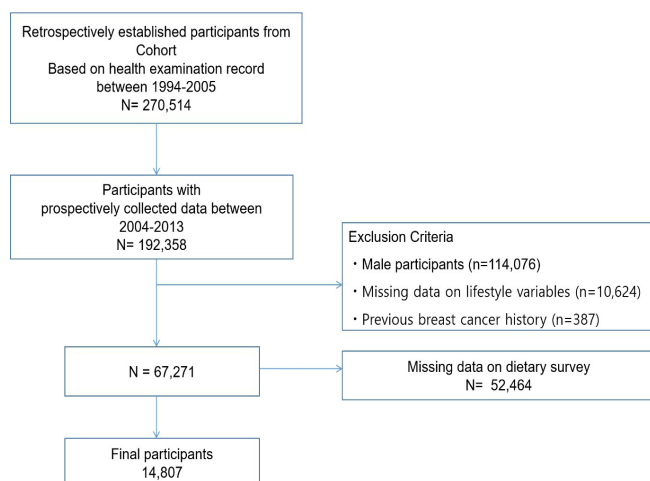


Figure 1. Flow diagram for study participants

Assessment of Dietary Intake and Risk Factors

A brief dietary assessment evaluated and validated in a previous study was used for estimating dietary patterns (19). This assessment comprised a short version of the FFQ, which is suitable to identify relationships between dietary intake and disease risk (20). It consists of 17 food items based on seven food groups: (1) fish, meat, eggs, and soybean products; (2) milk and dairy products; (3) vegetables; (4) fruits; (5) cereals and potatoes; (6) sugars and candies; and (7) fats and oil. Daily nutrient intakes were calculated based on food consumption: Participants were asked to fill out the frequency of their current intake of each food item according to four categories (0: never, 0.5: often, 1.0: regular, 1.5: always sufficient). Well trained dietitians asked participants how often they had consumed 17 food items in the morning, afternoon, and evening. Study participants were informed that the frequency of dietary intake in categories of always sufficient was assigned in reference to a regular frequency according to dietitian's instruction. The amounts of each food consumed are estimated in reference to a common size container (e.g., bowls, cups, and glasses), standard measuring cups and spoons such as photographs. Study participants were interviewed by a trained dietitian, who used instruments for estimating portion sizes according to the list of food exchanges for Korea. The third edition of food exchange lists was revised in 2010 by the Korean Diabetes Association, the Korean Nutrition Society, the Korean Society of Community Nutrition, the Korean Dietetic Association and the Korean Association of Diabetes Dietetic. Each participant's age, regular exercise habit (yes, no), alcohol intake (never, ex-drinkers, current drinkers), smoking status (never, ex-smokers, current smokers), menopausal status (pre-menopausal or postmenopausal), age at menarche, and the presence of family history of cancer (no, yes) were obtained using the questionnaire. We obtained information on the participant's height (cm) and weight (kg) directly measured by the medical staff. The body mass index (BMI) (kg/m^2) was calculated by dividing the body weight (kg) by the square of height (m).

Statistical Analysis

General characteristics of study participants stratified by breast cancer incidence outcome were compared using Student's *t*-test and chi-square test. Cox proportional hazards model with person-years was used to evaluate the hazard ratio (HR) and 95% confidence interval (CI) of breast cancer risk for each three dietary patterns. Multivariable HRs were adjusted for age (continuous), total energy intake (kcal/day, continuous), educational level (middle school or less, high school or college, undergraduate or more), exercise (yes, no), smoking status (never, ex-smokers, current smokers), alcohol intake (never, ever, current), and the menopausal status (pre-menopausal, postmenopausal). We used multivariable Cox proportional hazards regression models to examine the HRs and 95% CI for breast cancer risk across the tertile categories of each dietary pattern score, taking the lowest tertile category as reference. Principal factor analysis was used to cluster factors, followed by orthogonal (Varimax) rotation to assist in interpretation of the factors (PROC FACTOR and Varimax options). The principal factor analysis requires the number of clusters to be specified in advance and generates mutually exclusive clusters by comparing Euclidean distances between each subject and each cluster center in an interactive process using a K-means method (20). The SAS statistical package for Windows (version 9.4, SAS) was used for all statistical analyses. $P < 0.05$ was considered significant. Food groups with an absolute loading greater than 0.3 on a given factor were considered to contribute importantly to that factor. We determined

three factors by eigenvalues of >1.1 and a scree plot and interpretability of the derived factors. We presented the distributions of each food item for the three dietary patterns (Supplementary Table 1). The final number of clusters was selected as 3-cluster by comparing between cluster variance and within-cluster variance ratios.

Results

The results derived from the factor loading matrix for major dietary patterns are depicted in Table 1. We extracted three major dietary patterns from the KCPS-II cohort. Based on the predominant food groups, we labeled these three patterns the “Korean dietary pattern”,

the “sweet dietary pattern”, and the “New (Western-like) dietary pattern”. The Korean pattern comprised a high content of meat, fish, tofu, herbs, vegetables, kimchi, rice, bread, and noodles; the sweet dietary pattern contained two food groups that consisted of sugar (honey) and soda; the new pattern featured a high load of eggs, milk, dairy products, oil, bread, snacks, and fruits. The total variances of the Korean, sweet, and new dietary patterns were 1.9%, 1.6%, and 1.6%, respectively.

Table 2 summarizes the general characteristics of study participants stratified by breast cancer incidence. Among the total of 14,807 women included for final analysis, 135 were diagnosed with breast cancer

Table 1. Factor loading matrix for the three major dietary patterns (n = 14,807)

Food group	Korean	Sweet	New (Western-like)
Meat, fish, tofu	0.62407		
Eggs			0.68750
Milk and dairy products			0.47546
Herbs and vegetables	0.65056		
Kimchi	0.73593		
Rice, bread, noodles	0.69294		
Oil			0.66155
Sugar and honey		0.83046	
Soda		0.82371	
Bread and snacks			0.40228
Fruits			0.43916
Variance explained by each factor	1.9108947	1.6446474	1.5862974

Factor loading scores less than 0.3 are not shown

Table 2. General characteristics of study participants

	No breast cancer n = 14,672	Incident breast cancer n = 135	p-value
	Mean (SD)	Mean (SD)	
Age (year)	46.39 (11.07)	46.97 (8.96)	0.46
Education (year)	13.2 (3.51)	14.0 (3.25)	0.00
Height (cm)	157.93 (5.49)	158.91 (5.66)	0.04
Body mass index (kg/m ²)	23.04 (3.09)	22.79 (2.88)	0.36
Family history of breast cancer (%)	3.21	0.00	0.59
Age in years at menarche (year)	14.90 (1.86)	14.74 (1.99)	0.46
Menopausal status (%)			
Pre-menopausal	93.30	91.85	
Postmenopausal	6.70	8.15	0.50
Amount of alcohol drinking (g/day)	5.16 (19.92)	7.79 (24.92)	0.26
Smoking status (yes/no, %)	4.58	8.15	0.04
Alcohol drinking (yes/no, %)	38.92	40.74	0.65
Use of oral contraceptives (%)	17.77	22.81	0.32
Total energy intake (kcal)	1.728 (311)	1.718 (303)	0.71
Follow-up (years)	8.43 (4.73)	6.69 (4.51)	<0.0001

SD: Standard deviation

during a mean follow-up of 8.15 years. Education, height, and smoking status showed statistically significant differences between non-breast cancer and breast cancer patients. Table 3 shows HRs between the three dietary patterns and breast cancer risk in multivariable analysis. The new dietary pattern was significantly associated with an increased risk of breast cancer by HR (95% CI), which was 1.01 (0.65–1.60) for the second tertile and 1.61 (1.04–2.50) for the third tertile compared with the bottom tertile. However, the Korean and

sweet dietary patterns showed no statistically significant association with breast cancer risk in multivariable analysis.

Multivariable HRs of breast cancer according to menopausal status are shown in Table 4. In premenopausal women, multivariable HRs for the new pattern were significantly associated with an increased risk of breast cancer; when comparing the highest with the lowest tertile of the new dietary pattern, the HR was 1.69 (95% CI 1.06–2.68).

Table 3. Breast cancer risk with multivariable Cox proportional hazard model

Variables		HR (95% CI)*	p-value	p-trend
Korean dietary patterns	Tertile 1	1.0		
	Tertile 2	1.17 (0.73–1.89)	0.51	
	Tertile 3	1.04 (0.53–2.06)	0.90	0.51
Sweet dietary patterns	Tertile 1	1.0		
	Tertile 2	1.11 (0.72–1.71)	0.62	
	Tertile 3	1.13 (0.73–1.75)	0.58	0.45
New (Western-like) dietary patterns	Tertile 1	1.0	0.95	
	Tertile 2	1.01 (0.65–1.60)		
	Tertile 3	1.61 (1.04–2.50)	0.01	0.01

*HR (95% CI) adjusted for age (continuous), total energy intake (kcal/day, continuous), educational duration (years), exercise (yes, no), alcohol intake (never, ever, current), smoking status (never, ex-smokers, current smokers), and the menopausal status (pre-menopausal, postmenopausal); HR: Hazard ratio; CI: Confidence interval

Table 4. Hazard ratio of breast cancer risk by menopausal status

Variables		HR (95% CI)*	p-value
Pre-menopausal			
Korean dietary patterns	Tertile 1	1.0	
	Tertile 2	1.12 (0.68–1.83)	0.66
	Tertile 3	0.98 (0.48–1.98)	0.95
Sweet dietary patterns	Tertile 1	1.0	
	Tertile 2	1.01 (0.64–1.59)	0.96
	Tertile 3	1.13 (0.72–1.78)	0.59
New (Western-like) dietary patterns	Tertile 1	1.0	
	Tertile 2	1.09 (0.67–1.75)	0.74
	Tertile 3	1.69 (1.06–2.68)	0.03
Postmenopausal			
Korean dietary patterns	Tertile 1	1.0	
	Tertile 2	4.35 (0.42–44.90)	0.22
	Tertile 3	3.61 (0.21–63.34)	0.38
Sweet dietary patterns	Tertile 1	1.0	
	Tertile 2	2.68 (0.64–11.27)	0.18
	Tertile 3	0.87 (0.14–5.65)	0.89
New (Western-like) dietary patterns	Tertile 1	1.0	
	Tertile 2	0.68 (0.13–3.56)	0.64
	Tertile 3	1.34 (0.33–5.42)	0.68

*HR (95% CI) adjusted for age (continuous), total energy intake (100 kcal/day, continuous), educational duration (years), exercise (yes, no), alcohol intake (never, ever, current), smoking status (never, ex-smokers, current smokers); HR: Hazard ratio; CI: Confidence interval

However, this pattern showed no statistically significant association with breast cancer risk among postmenopausal women.

In addition, the Korean and sweet dietary patterns were not associated with the risk of breast cancer after adjusting for lifestyle factors (smoking status, exercise, and alcohol drinking), total calorie intake, and age among either pre- or postmenopausal women.

Discussion and Conclusion

In the present study we identified three major dietary patterns: Korean, sweet, and new (Western-like). We found that a higher consumption of a new diet was significantly associated with an increased risk of developing breast cancer. This study confirms the international concept that Western diet, along with other sociocultural habits, is associated with an increase incidence of breast cancer in Eastern populations, particularly among young women. However, there were no associations between the Korean or the sweet dietary pattern and breast cancer risk among Korean women.

Previous cohort studies on the association between dietary patterns and breast cancer risk have been predominantly conducted in European populations (9, 21, 22) and the results have been inconsistent. A recent meta-analysis suggested that a Western-like diet may be associated with an increased risk of breast cancer, whereas a prudent dietary pattern was associated with a reduced risk of breast cancer (23). Dietary patterns are likely to vary among different populations due to cultural preferences, geographic characterization, socioeconomic status, and food accessibility (24). Besides, heterogeneity in components of dietary patterns and deviations in measurement methods between studies could have contributed to these inconsistent findings. In our study, we identified a new dietary pattern, characterized by a high intake of dairy products, oil, bread, and fruit in Korean women, and high consumption according to this pattern was significantly associated with the risk of breast cancer. Based on our previous cohort study (19), the consumption of Korean traditional foods, such as vegetables and cereals, has decreased, whereas a new dietary pattern has emerged among Korean adults, whereby the intake of dairy products and fruits has increased. According to the statistics of Korea National Health and Nutrition Examination Survey (2010) (25), less than 40% of the protein intake is derived from animal sources, while in the past, less than 10% of protein intake came from animal sources. It is important to note that the new (Western-like) dietary pattern identified in our study differs from that in others in several aspects. Although among European populations this diet is characterized by a high intake of red and processed meats (26, 27), which may contain pro-carcinogenic factors, such as heterocyclic amines and N-nitroso compounds, the major components of the new (Western-like) dietary pattern in this study were eggs, oil, bread, and dairy products. This pattern is consistent with that found in our previous study, in that the Western and "New" diets were characterized by a high consumption of eggs, oil, soda, fruits, dairy products, and potatoes using factor analysis in Korean women (19). Thus, the current Korean diet has dramatically shifted from the traditional foods to a New dietary pattern, which along with the economic development and globalization supports our observations (28).

In addition, most prospective studies found significant associations between Western dietary patterns and breast cancer risk among postmenopausal (9, 10, 15, 29), but not premenopausal women,

although the etiology is still unclear. In contrast, in the current study, stratified-analyses showed that the positive association between a new (Western-like) dietary pattern and breast cancer risk was statistically significant among pre-menopausal, but not postmenopausal women. Given one of the obvious differences between pre- and postmenopausal women, the elevated levels of estrogen may be one plausible explanation for the impact a new (Western-like) dietary pattern has on the risk of developing breast cancer. One potential biological mechanism that the new (Western-like) dietary pattern, characterized by high intakes of energy, animal fat, and refined carbohydrates is through increased BMI and thereby increased levels of estrogen (23). A migration study of Asian-American women suggested that the dietary habits in early adult life may strongly affect breast cancer risk (30). Dietary fat intake was reported to affect endogenous hormones, which regulates ductal morphogenesis (31, 32). Previous studies on mammographic density have also shown the possible importance of early-life diet (saturated fat intake) in breast cancer risk (33).

A new (Western-like) dietary pattern is associated with increased breast cancer risk that needs further study in order to clarify the underlying mechanisms. Although many epidemiologic studies investigating the association between vegetable intake and breast cancer risk yielded inconsistent results, prudent dietary patterns characterized by an intake of vegetables and fruits have been assumed to decrease the breast cancer risk due to anti-oxidative effects (34, 35). However, in this study, we found no significant association between the Korean dietary pattern, which was mainly characterized by high intake of kimchi (spicy cabbage), rice, and vegetables, and breast cancer risk among pre- and postmenopausal women. This is in line with a prospective study among Japanese women, which identified three dietary patterns: "vegetable pattern" (vegetables, potatoes, seaweed, tofu, fruits, fresh fish, eggs, and miso soup); "animal food pattern" (meat, deep-fried foods, fried vegetables, fish paste, and salt-preserved fish); and "dairy product pattern" (milk, dairy products, fruits, coffee, and tea) (15). The authors found that the animal food pattern was significantly associated with a decreased risk of breast cancer morbidity, whereas no significant association was observed between the vegetable and dairy product dietary patterns and breast cancer risk (15).

Furthermore, the World Cancer Research Fund also reported that no statistically significant association was found between vegetables (including fruits) and breast cancer (36). However, a study examining Singapore Chinese women demonstrated that there was a dose-dependent trend of decreasing breast cancer risk for the vegetable-fruit-soy dietary pattern only among postmenopausal women (14).

Kimchi is a traditional Korean food manufactured by fermenting vegetables with probiotic lactic acid bacteria. Kimchi can be considered a vegetable probiotic food that contributes health benefits in a similar manner as yogurt as a dairy probiotic food (37). Cancer preventive/anticarcinogenic activity of kimchi is associated with the type of ingredients and products formed during fermentation (38). Thoennissen et al. (39) demonstrated that capsaicin caused cell-cycle arrest and apoptosis in breast cancer cells by modulating the epidermal growth factor receptor/human epidermal growth factor receptor 2 pathway and inhibited the development of pre-neoplastic breast lesions by up to 80% without toxicity.

However, in the present study, we found there was no dose-dependent trend of breast cancer risk among Korean dietary patterns. Diversities exist among cooking methods or types of vegetables among each

country, which may account for the differences observed between the various studies. The major strengths of our study include its large sample size and prospective design, in which information was collected before the diagnosis of breast cancer, eliminating the potential recall bias that occur in case-control studies. In addition, we retrieved cancer diagnosis data that had high sensitivity and completeness from the Korean Central Cancer Registry. Our study has some limitations. First, breast cancer is a heterogeneous disease, and several studies have suggested that risk factors for breast cancer may differ in their association depending on tumor receptor status (13, 14). Nevertheless, we were unable to consider the hormone receptor status since we had no data on the participants' molecular subtype. Second, we used a shorter version of the FFQ at baseline, such that we could not consider the possibility that secular transitions in dietary patterns may have occurred during follow-up. Third, we could not exclude the possibility of errors in measuring dietary intake. The diet assessment tool included a limited number of food items, although the tool was validated and the correlation with 3-day diet records confirmed. Fourth, the number of participants in this cohort is relatively large, nevertheless, the number of breast cancer cases was limited in the final analysis (only 135 incident breast cancers). In addition, of 67,271 female cohort member with data collection from 2004–2013, a very large proportion (78%) had missing dietary data although the distributions of general characteristics did not differ between study participants with dietary data and without dietary data (Supplementary Table 2).

Our study found that a new dietary pattern, characterized by high consumption of eggs, oil, dairy products, fruits, and bread, was associated with an increased risk of breast cancer among pre-menopausal women. In contrast, the Korean and sweet dietary patterns were not associated with breast cancer risk. Large scale prospective studies in Asian women are needed to confirm our findings.

Ethics Committee Approval: The Yonsei University Health System Institutional Review Board approved the study (decision no: Y-2020-0142, date: 05.10.2020).

Informed Consent: All participants provided written, informed consent prior to participation.

Authorship Contributions

Concept: J.Y.L., H.I.C., H.K.; Design: J.Y.L., H.I.C., H.K.; Data Collection and/or Processing: J.Y.L., H.I.C., H.K.; Analysis and/or Interpretation: J.Y.L., H.I.C., H.K.; Literature Search: J.Y.L., H.I.C., H.K.; Writing: J.Y.L., H.I.C., H.K.

Conflict of Interest: The authors have no conflicts of interest to declare.

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Supplementary Table 1. Food items and food groups for dietary pattern analysis

No	Food items	Foods or food groups
Korean dietary pattern		
1	Fishes, processed meats, tofu, bean products	Meats, fishes, tofu
2	Herbs and vegetables	Herbs and vegetables
3	Kimchi (Korean cabbage)	Kimchi
4	Cooked rice, bread, cooked noodles	Rice, bread, noodles
5	Potatoes, sweet potatoes	Potatoes and sweet potatoes
Sweet dietary pattern		
6	Sugar, honey	Sugar and honey
7	Sugar on coffee or tea	Sugar and honey
8	Jam, honey	Sugar and honey
9	Coke, carbonated beverage	Soda
New (Western-like) dietary pattern		
10	Eggs	Eggs
11	Egg type (scramble eggs, fried eggs, scrolled eggs)	Oil
12	Milk	Milk and dairy products
13	Yogurt, ice cream, cheese, other products	Milk and dairy products
14	Bread and snacks	Bread and snacks
15	Butter, margarine	Oil
16	Mayonnaise dressing food, fried food, stir-fried food	Oil
17	Fruits	Fruits

Supplementary Table 2. General characteristics of study participants stratified by with and without dietary data

	Participants with diet data (n = 14,807)	Participants without diet data (n = 52,464)	Total participants (n = 67,271)
	Mean (SD)	Mean (SD)	Mean (SD)
Age, year	46.39 (11.04)	39.93 (11.03)	41.35 (11.36)
Body mass index, kg/m ²	23.04 (3.09)	22.09 (3.12)	22.30 (3.14)
Smoking status			
Never	13461 (90.81)	46010 (87.60)	59471 (88.31)
Ex	680 (4.59)	4430 (8.43)	5110 (7.59)
Current	682 (4.60)	2080 (3.96)	2762 (4.10)
Exercise			
Yes	7383 (50.03)	24388 (46.65)	31771 (47.39)
No	7375 (49.97)	27890 (53.35)	35265 (52.61)
Alcohol drinking			
Never	8494 (57.30)	15961 (30.39)	24455 (36.31)
Ex	561 (3.78)	9233 (17.58)	9794 (14.54)
Current	5768 (38.91)	27326 (52.03)	33094 (49.14)

SD: Standard deviation



Applying the SOUND Trial for Omitting Axillary Surgery in Patients With Early Breast Cancer in Bahrain

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ABSTRACT

Objective: The Sentinel Node vs. Observation After Axillary Ultra-Sound (SOUND) trial reported that omission of axillary surgery was not inferior to sentinel lymph node biopsy (SLNB) in those with cT1 breast cancer and negative preoperative axillary ultrasound. The aim of our study was to evaluate the clinical characteristics of early breast cancer patients undergoing breast conserving surgery (BCS) at our institution in order to investigate the exportability of SOUND criteria to our patient population.

Materials and Methods: We retrospectively reviewed patients with cT1N0 breast cancer undergoing BCS and adjuvant radiotherapy according to the SOUND trial criteria. Comparison was made between the eligible group of our cohort and the SLNB arm of the SOUND trial.

Results: The proportion of younger patients was higher in our eligible cohort (37.7% vs. 17.5%, $p = 0.002$). Postmenopausal patients were more prevalent in the SOUND trial (79.4% vs. 56.6%, $p = 0.004$). On final pathology, tumours were more likely to be upgraded to T2 in our group (26.4% vs. 4.4%, $p = 0.001$). Patients in our cohort were more likely to receive adjuvant chemotherapy (37.7% vs. 20.1%, $p = 0.002$).

Conclusion: The clinicopathological differences between our cohort and the SOUND trial population could be attributed to aggressive tumours in Bahrain compared to Western countries. Our study may influence others to investigate the applicability of the SOUND trial in clinical practice. Nevertheless, it is a study that should generate multidisciplinary discussion in the de-escalation of axillary surgery.

Keywords: Early breast cancer; sentinel lymph node biopsy; axillary surgery; breast conserving surgery; SOUND trial

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Key Points

- Sentinel lymph node biopsy (SLNB) is the standard of care in clinically node-negative breast cancer for axillary staging and locoregional control.
- The Sentinel Node vs. Observation After Axillary Ultra-Sound (SOUND) trial concluded that patients with small breast cancer and sonographically normal appearing lymph nodes can be safely spared any axillary surgery, as lack of pathological information does not influence adjuvant therapy.
- Compared to the SOUND trial, early breast cancer patients in Bahrain tend to be of younger age, premenopausal, have larger tumours on final pathology and are more likely to receive adjuvant chemotherapy.
- Given the difference between our population and the SOUND trial patients, our findings still support a role for SLNB to guide adjuvant therapy decisions.
- This study evaluates the applicability of the SOUND trial in a real-world patient population.

Introduction

The management of the axilla in breast cancer has changed considerably in the past few decades. In early breast cancer, sentinel lymph node biopsy (SLNB) has replaced axillary dissection as the standard of care for axillary staging and locoregional control (1, 2). The landmark American College of Surgeons Oncology Group Z0011 (ACOSOG Z0011) trial (3) has revolutionised axillary management in women undergoing breast conserving surgery (BCS) followed by adjuvant radiotherapy and systemic therapy,

sparing patients axillary dissection even when 1–2 sentinel nodes are positive for macrometastasis. The findings from the ACOSOG Z0011 trial were supported by other randomised controlled trials and became the standard for axillary management in early breast cancer, showing reduced patient morbidity without compromised oncological outcomes (4, 5). Despite presentation of ACOSOG Z0011 data in 2010, the trial was debated and has not yet been incorporated into practice (6). It was only between 2016 and 2017 when we started to adopt the ACOSOG Z0011 criteria in Bahrain, after an updated clinical practice guideline was recommended by

the National Comprehensive Cancer Network (7), representing a milestone in surgical de-escalation.

There are several prospective randomised trials evaluating the omission of SLNB in clinically node-negative early breast cancer patients undergoing upfront surgery (8). The Sentinel Node vs. Observation After Axillary Ultra-Sound (SOUND) trial (9) was the earliest to open in 2012 and it was published recently. It reported that omission of axillary surgery was not inferior to SLNB in those with cT1 breast cancer and negative preoperative axillary ultrasound, meaning that these patients can be safely spared axillary surgery when the lack of pathological nodal status does not influence the adjuvant treatment decisions (10). They found no difference in baseline characteristics, in five-year distant disease-free survival and the rate of axillary recurrences between those that underwent SLNB and patients that did not. Although this trial is unlikely to change clinical practice immediately, it is a study that will likely influence multidisciplinary discussion. The aim of this study was to review the clinical characteristics of early breast cancer patients undergoing BCS and SLNB in Bahrain at a single centre in order to evaluate the external generalisability of SOUND criteria to our patient population.

Materials and Methods

This study was approved by the Ethical Committee of Government Hospitals Bahrain (approval number: 116051223, date: 05.12.2023). We conducted a retrospective review from a prospectively maintained database, from October 2021 to September 2023. Patients were included if they had cT1-T2 breast cancer without palpable adenopathy before surgery, underwent SLNB with no prior neoadjuvant systemic therapy. Patients were excluded if they had failure of localisation of sentinel lymph nodes, multiple suspicious lymph nodes, extensive multifocality or multicentricity, bilateral cancers, those with local recurrence and synchronous tumours. The recruited patients were then divided into two groups according to the SOUND trial criteria: Women with invasive breast cancer up to 2 cm in diameter, no axillary lymphadenopathy at clinical evaluation and a plan to undergo BCS and adjuvant radiotherapy. The eligible group comprised patients who met the SOUND trial criteria for omitting axillary surgery, while the ineligible group consisted of patients who did not meet these criteria.

All patients underwent bilateral mammogram and ultrasound of breasts and axillae to define the clinical T and N stage. In case of a suspicious lymph node on ultrasound, a biopsy was performed to rule out the presence of nodal metastases. Patients were excluded if the biopsy confirmed axillary metastasis. At our institution, we do not proceed with SLNB for patients with 1–2 suspicious lymph nodes on ultrasound, due to demand by our oncologists and the tumour board for comprehensive investigation, including axillary biopsy. Patients with a biopsy positive for axillary metastasis undergo upfront axillary dissection or neoadjuvant therapy, and these patients were excluded from the study. All patients with clinically node-negative invasive cancer or a node biopsy negative for metastasis had SLNB to stage the axilla. SLNB was performed using dual technique, comprising radioisotope and patent blue dye. Intraoperative frozen section was carried out in all patients. Completion axillary lymph node dissection (ALND) was performed if >2 nodes contained macrometastases, applying ACOSOG Z0011 criteria.

Statistical Analysis

The following patient demographics and tumour characteristics were collected and tabulated: age at diagnosis, menopausal status,

histological tumour type, tumour grade, pathological tumour size, pathological nodal status, oestrogen receptor, progesterone receptor and human epidermal growth factor receptor 2 (HER2) status, Ki-67 index, tumour molecular subtype and type of adjuvant therapy received. The eligible group was compared with the ineligible group. Comparison was then made between the eligible group of our cohort and the SLNB arm of the SOUND trial using the chi-squared test. Statistical analysis was performed using SPSS software, version 29.0 (IBM, Armonk, NY, USA). *P*-values less than 0.05 were considered to be significant.

Results

A total of 147 patients with early breast cancer underwent SLNB at our institution between October 2021 and September 2023. Baseline characteristics of the study population are summarised in Table 1. All patients were female. The median (range) number of sentinel nodes removed was 3 (1–5), while the median number of histologically pathological sentinel nodes was 2 (1–4). Approximately one-quarter of patients had macrometastases (23.1%), with only 5.4% of cases undergoing axillary dissection. Out of the 147 patients, only 53 patients who met the SOUND criteria for omitting SLNB were included in the eligible group, while 94 patients who did not meet the criteria were labelled as ineligible and excluded from the analysis, having cT2 tumours or a mastectomy (Figure 1).

Table 2 compares the eligible patients in our study and those in the SOUND trial SLNB arm. The factors showing significant differences between the two groups were age, menopausal status, tumour size on final pathology and adjuvant chemotherapy. In particular, even though the majority of patients in both cohorts were 50 years or older, the proportion of younger (<50 years) patients in our eligible group was approximately twice as large than that in the SOUND trial (37.7% vs. 17.5%, $p = 0.002$). Similarly, a higher percentage of premenopausal patients were observed in our eligible group compared with the no axillary surgery arm in the SOUND trial (43.4% vs. 20.6%, $p = 0.004$). On final pathology, over a quarter of our patients were upgraded to T2 tumours, compared to only 4.4% in the SOUND trial cohort ($p = 0.001$). The patients in our eligible group were more likely to receive adjuvant chemotherapy than those in the SOUND trial population (37.7% vs. 20.1%, $p = 0.002$). Otherwise, there were no significant differences between the two cohorts in terms of histological subtype, tumour grade, pathological nodal status, hormone receptor

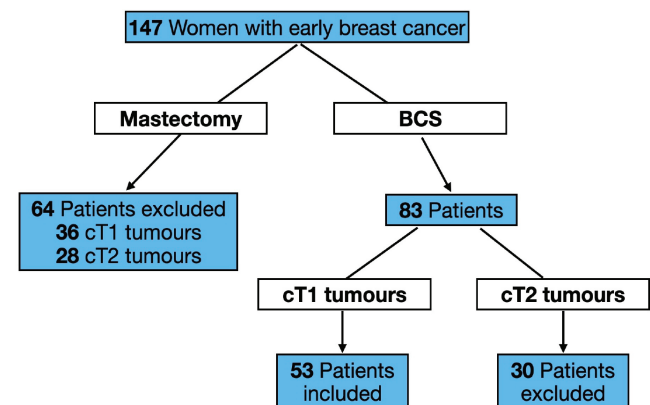


Figure 1. Flow chart representing inclusion of patients in the study analysis

BCS: Breast conserving surgery

Table 1. Clinicopathological characteristics of early breast cancer patients undergoing SLNB at our institution

Age	
Mean	56.3 (±12.3)
Median	57
Range	26–92
Menopausal status	
Premenopausal	54 (36.7%)
Postmenopausal	93 (63.3%)
Histology	
Ductal	121 (82.3%)
Lobular	16 (10.9%)
Other	10 (6.8%)
cT stage	
T1mi or T1a	2 (1.36%)
T1b	18 (12.2%)
T1c	69 (46.9%)
T2	58 (39.4%)
pT stage	
T1mi or T1a	10 (6.8%)
T1b	17 (11.6%)
T1c	50 (34.0%)
T2	70 (47.6%)
pN status	
N0	108 (73.4%)
N1mi	5 (3.4%)
N1	29 (19.7%)
N2	5 (3.4%)
Tumor grade	
1	34 (23.1%)
2	91 (61.9%)
3	22 (14.9%)
ER status	
Negative	133 (90.5%)
Positive	14 (9.5%)
PR status	
Negative	121 (82.3%)
Positive	26 (17.6%)
HER2 status	
Positive	14 (9.5%)
Negative	133 (90.5%)
Ki-67 index	
<20	91(61.9%)
≥20	56 (38.1%)
Hormonal therapy	
Yes	132 (89.8%)
No	15 (10.2%)

Table 1. Continued

Chemotherapy	
No	45 (30.6%)
Yes	102 (69.4%)
Radiotherapy	
Yes	104 (70.7%)
No	43 (29.3%)
Trastuzumab	
Yes	14 (9.5%)
No	133 (90.5%)
Surgery	
Breast conserving surgery	83 (56.5%)
Mastectomy	64 (43.5%)

SLNB: Sentinel lymph node biopsy; ER: Estrogen receptor; PR: Progesterone receptor; HER2: Human epidermal growth factor receptor 2

and HER2 status, Ki-67 proliferation index and other modalities of adjuvant therapies.

Discussion and Conclusion

The present study retrospectively evaluated feasibility of applying the SOUND trial strategy for omission of SLNB to a cohort of breast cancer patients in Bahrain. To the best of our knowledge, this is the first study in literature investigating the exportability of SOUND trial findings to avoid axillary surgery in other breast cancer populations.

Our results demonstrate some differences between our group of patients who were potentially eligible for omitting SLNB according to the SOUND criteria and the SLNB population in the SOUND trial. Of note, the percentages of younger and premenopausal patients in our study were significantly higher than those of patients in the SOUND trial. This difference could be related to social, economic and population differences in the age of diagnosis between Arab and Western populations (11). Another explanation could be attributed to the fact that Arab countries generally have a younger population compared to Western countries (12). This reflects the relatively higher proportion of breast cancer patients in Bahrain with more aggressive disease compared to Western populations (11). Specifically, our patients tend to be of younger age and have larger and higher grade tumours, and these are likely to be risk factors for the significant proportion of axillary lymph node metastasis in Bahrain (13). There was a higher proportion of pathological T2 tumours in our eligible group compared with the SOUND cohort. This could be linked to underestimation of tumour size by preoperative imaging, as ultrasound and mammogram have been reported to underestimate the size of clinically T1 tumours (up to 20 mm) (14), with radiological and pathological concordance influenced by various factors, including tumour histology, molecular subtypes and breast density (15).

Data from the SOUND trial indicated that adjuvant treatments were not significantly different between the SLNB group and the no axillary surgery group (10). However, a relatively higher percentage of patients who underwent adjuvant chemotherapy were observed in our cohort compared to those in the SOUND trial, indicating the

Table 2. Comparison of patients in the current study and the SLNB arm in the SOUND trial

Characteristic	Patients, No. (%)		
	Current study (n = 53)	SOUND trial (n = 708)	p-value
Age			
<50	20 (37.7)	124 (17.5)	0.002
≥50	33 (62.3)	584 (82.5)	
Menopausal status			
Premenopausal	23 (43.4)	145 (20.6)	0.004
Postmenopausal	30 (56.6)	558 (79.4)	
Histology			
Ductal	45 (84.9)	551 (77.8)	0.419
Lobular	4 (7.5)	61 (8.6)	
Other	4 (7.5)	96 (13.5)	
pT stage			
T1mi or T1a	4 (7.5)	71 (10.0)	0.001
T1b	10 (18.9)	251 (35.5)	
T1c	25 (47.2)	355 (50.1)	
T2	14 (26.4)	31 (4.4)	
pN status			
Nx	0	12 (1.7)	0.098
N0 or N0 (i+)	42 (79.2)	599 (84.6)	
N1mi	2 (3.8)	36 (5.1)	
N1	8 (15.1)	57 (8.1)	
N2	1 (1.9)	4 (0.6)	
Tumor grade			
1	10 (18.9)	194 (27.7)	0.233
2	32 (60.3)	377 (53.8)	
3	11 (20.8)	130 (18.5)	
ER status			
Negative	6 (11.3)	56 (7.9)	0.158
Positive	47 (88.7)	652 (92.1)	
PR status			
Negative	11 (20.8)	108 (15.3)	0.151
Positive	42 (79.2)	600 (84.7)	
Ki-67 index			
<20	29 (54.7)	455 (64.4)	0.220
≥20	24 (45.3)	252 (35.6)	
HER2 status			
Negative	47 (88.7)	660 (93.2)	0.096
Positive	6 (11.3)	48 (6.8)	
Molecular subtype			
Luminal HER2-negative	44 (83)	617 (87.1)	0.423
HER2-enriched	6 (11.3)	48 (6.8)	
Triple-negative	3 (5.7)	33 (6.1)	

Table 2. Continued

Characteristic	Patients, No. (%)		
	Current study (n = 53)	SOUND trial (n = 708)	p-value
Hormonal therapy			
No	6 (11.3)	66 (9.3)	0.248
Yes	47 (88.7)	642 (90.7)	
Chemotherapy			
No	33 (62.3)	566 (79.9)	0.002
Yes	20 (37.7)	142 (20.1)	
Radiotherapy			
No	2 (3.7)	14 (2.0)	0.551
Yes	51 (96.3)	694 (98.0)	
Trastuzumab			
No	47 (88.7)	661 (93.4)	0.192
Yes	6 (11.3)	47 (6.6)	

SLNB: Sentinel lymph node biopsy; ER: Estrogen receptor; PR: Progesterone receptor; HER2: Human epidermal growth factor receptor 2; SOUND: The Sentinel Node vs. Observation After Axillary Ultra-Sound

SLNB still has a role in Bahraini patients for axillary staging in order to guide adjuvant therapy decisions. As outlined in the RxPONDER (A Clinical Trial RX for Positive Node, Endocrine Responsive Breast Cancer) trial, chemotherapy is associated with a survival benefit in younger patients with node-positive disease (16). Furthermore, identification of nodal disease in ER-positive breast cancer influences treatment options in terms of cyclin-dependent kinase 4 and 6 inhibitor eligibility as well as extended hormonal therapy (up to 10 years) (17-19). In addition, the absence of pathological nodal disease may allow for de-escalation of hormonal therapy, both in terms of choice of medication and duration of treatment (10). On the other hand, in patients with other molecular tumour subtypes undergoing upfront surgery, nodal status might be important to properly tailor adjuvant systemic therapy. In particular, adjuvant treatment in node-negative patients with HER2-positive disease might only be limited to paclitaxel and trastuzumab (20).

The data from the SOUND trial support the Society of Surgical Oncology Choosing Wisely guideline recommendation against routine SLNB in patients aged over 70 years with small hormone receptor-positive and HER2-negative breast cancer, as axillary surgery does not influence adjuvant therapy decisions in these patients (21). A previous study from our institution also reported findings consistent with the Choosing Wisely campaign, suggesting the safety of omitting SLNB in this subset of patients (13). In terms of adjuvant radiation therapy, nodal radiation fields are usually included for patients with nodal involvement as a complement to whole-breast radiation after BCS (10). On the contrary, select patients aged 65 years and older with node-negative disease would be candidates for omission of radiation therapy (22).

The findings from the SOUND trial evaluated the reliability of ultrasound to detect nodal involvement and implied whether it might replace axillary surgery for staging in the future (23). The sensitivity of axillary ultrasound to detect lymph node involvement ranges from 24-94% (24). Although the presence of axillary metastases was

relatively higher in our group compared to that of the SOUND trial (20.8% vs. 15.9%), the difference was not statistically significant. Given the very limited number of patients with extensive nodal involvement in our group (1.9%) and the extremely low incidence of axillary recurrence in the no axillary surgery group of the SOUND trial (0.4% at 5 years), the use of ultrasound can be clinically meaningful to rule out nodal involvement (10). Even though the SOUND trial is unlikely to be incorporated into the guidelines immediately, multidisciplinary discussions are important before applying changes in clinical practice while we look forward to future data from other trials, including the Intergroup Sentinel Mamma trial, similarly investigating omission of axillary surgery in patients with tumours up to 5 cm undergoing BCS (25).

The SOUND trial is limited by enrolment of a cohort comprising of low-risk patients, including older women and those with very small tumours, which might not be representative of real-world data. In addition, the SOUND trial, which mandated ALND for a positive sentinel node, was ongoing at the time ACOSOG Z0011 was published, when the same patients with low axillary disease burden could omit ALND. This further confirms the selection bias in the SOUND trial. Limitations of our study include its retrospective nature and small sample size. There is probable selection bias for included patients with good prognosis, as we applied a very strict criteria for performing SLNB. With lack of data on recurrence, mortality and follow-up from our cohort, there might be cases that have loco-regional recurrence and long-term follow-up is needed to confirm the validity of our data. Despite these limitations, to the best of our knowledge, this is the first published study evaluating the SOUND trial criteria in Bahraini patients with early breast cancer.

Before applying the SOUND trial to clinical practice, it is important to determine whether the trial population is representative of a real-world patient population. This study did not demonstrate external generalisability of the SOUND trial criteria to Bahraini patients with early breast cancer undergoing BCS. The differences could

be attributed to aggressive tumour characteristics in our patients compared to Western groups. Nevertheless, the SOUND trial is a landmark study in the de-escalation of axillary surgery that will influence multidisciplinary discussion. Axillary ultrasound and the use of genomic assays may obviate the need for axillary surgery to inform adjuvant systemic therapy decisions in cT1-2N0 patients with breast cancer in the future. Our study may influence other researchers to investigate the applicability of SOUND criteria to their own populations and ensure how to implement these data into their local guidelines and clinical practice.

Ethics Committee Approval: This study was approved by the Ethical Committee of Government Hospitals Bahrain (approval number: 116051223, date: 05.12.2023).

Informed Consent: We conducted a retrospective review from a prospectively maintained database, from October 2021 to September 2023.

Authorship Contributions

Surgical and Medical Practices: A.H.A., R.A., A.Z.S., T.H.A., A.M.M., H.A.A.; Concept A.M.M., H.A.A.; Design: A.M.M., H.A.A.; Data Collection and/or Processing: A.H.A., R.A., A.Z.S., T.H.A.; Analysis and/or Interpretation: A.H.A., A.Z.S., H.A.A.; Literature Search: A.H.A., R.A., A.Z.S.; Writing: A.H.A., R.A., A.Z.S., T.H.A., A.M.M., H.A.A.

Conflict of Interest: The authors have no conflicts of interest to declare.

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Pathologic Complete Response After Neoadjuvant Chemotherapy in Breast Cancer Patients Treated With Mastectomy: Indications for Treatment and Oncological Outcomes

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ABSTRACT

Objective: The aim of this study was to evaluate the clinical outcomes of breast cancer (BC) patients treated with neoadjuvant chemotherapy (NAC) followed by mastectomy, focusing on cases achieving pathologic complete response (pCR). The implications of residual ductal carcinoma *in situ* (DCIS) on prognosis and survival were examined.

Materials and Methods: A retrospective cohort study included BC patients treated with NAC followed by mastectomy at the breast unit of IRCCS Humanitas Research Hospital between March 2010 and October 2021. Patients were sub-grouped into two: Those with residual DCIS (ypTis) and those with complete response without residual tumor (ypT0). Key variables such as demographics, tumor characteristics, treatment regimens, and survival outcomes were analyzed.

Results: Of 681 patients treated with NAC, 175 achieved pCR, with 60 undergoing mastectomy. Among these 60 patients, 24 had residual DCIS (ypTis) while 36 had no residual invasive or *in situ* disease (ypT0). Patients with ypTis had higher rates of multifocal disease (62.5% *vs.* 27.8%, $p = 0.006$) and stage III disease (37.5% *vs.* 11.1%, $p = 0.046$). Triple-negative breast cancer was more prevalent in the ypT0 group (55.6% *vs.* 20.8%, $p = 0.005$). During a mean follow-up of 47 months, 11 patients experienced recurrence, with no significant differences in disease-free survival (DFS) and overall survival (OS) between the groups ($p = 0.781$, $p = 0.963$, respectively).

Conclusion: Residual DCIS after NAC did not significantly impact DFS or OS compared to complete pathologic response without residual DCIS. This study underscores the need for further research to refine pCR definitions and improve NAC's prognostic and therapeutic roles in BC management.

Keywords: Breast cancer; neoadjuvant chemotherapy; pathologic complete response; mastectomy; ductal carcinoma *in situ*

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Key Points

- Neoadjuvant chemotherapy (NAC) can lead to a pathologic complete response (pCR) in breast cancer (BC) patients, offering potential for better long-term outcomes.
- Among patients achieving pCR, those undergoing mastectomy were analyzed for prognosis, focusing on the presence or absence of residual ductal carcinoma *in situ* (DCIS).
- Residual DCIS (ypTis) after NAC did not significantly affect disease-free survival or overall survival compared to patients with complete pathologic response without DCIS (ypT0).
- Patients with ypTis had higher rates of multifocal disease and advanced stage III disease, whereas triple-negative BC was more prevalent in patients with ypT0.
- The presence of residual DCIS should be considered in surgical and adjuvant therapy planning, but it does not necessarily indicate a poorer prognosis.

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Introduction

Breast cancer (BC) is one of the most prevalent forms of cancer affecting women worldwide. Traditionally, the standard treatment for BC involved surgery as the primary intervention, followed by adjuvant therapies. However, advances in cancer research and treatment modalities have led to the development of neoadjuvant chemotherapy (NAC), which refers to administering systemic treatment before surgery (1-3). This approach has revolutionized the management of BC and offers several advantages, including the opportunity to assess treatment response, which has been found to correlate with survival outcomes, the potential for breast-conserving surgery (BCS), and the downstaging of advanced tumors (4-7). In recent years, the concept of pathological complete response (pCR) after NAC has garnered significant attention in the field of BC treatment. The achievement of pCR has been associated with improved long-term outcomes and a higher likelihood of disease-free survival (DFS) (6, 8, 9). For this reason, many studies have focused on increasing the achievement of pCR (10, 11).

Understanding the factors associated with reaching pCR and its impact on long-term outcomes has become an area of significant interest in BC research. However, there is no single definition of pCR, as different working groups consider various aspects. Focusing on the surgical approach, mastectomy has historically been the preferred method for BC treatment. However, with the advent of neoadjuvant therapy and the growing evidence supporting the effectiveness of this treatment modality, BCS has become a viable option for patients who achieve pCR (12-14). In some selected cases, mastectomy remains the preferred approach (15-17). This is true when oncological radicality cannot be achieved with BCS, the disease burden is still high compared to the breast volume, or there is an extensive component of residual microcalcifications. In a few selected cases, mastectomy may also be performed based on the patient's preference. In the present article, we evaluated BC treated with neoadjuvant therapy, focusing specifically on cases where patients achieved pCR and were surgically treated with mastectomy. We explored the implications of achieving pCR in terms of prognosis and survival outcomes, depending on the presence or absence of the residual ductal carcinoma *in situ* (DCIS) component. In addition, we analyzed the differences between the two DCIS subgroups from a demographic and cancer-specific perspectives, aiming to explain the different outcomes and survival benefits, if present.

Materials and Methods

Study Design

A retrospective cohort study was conducted to investigate the clinical outcomes of BC patients treated with NAC followed by mastectomy, specifically focusing on cases with a pCR. The study included patients diagnosed with BC of any biological subtype who underwent NAC and subsequent mastectomy between March 2010 and October 2021 at the breast unit of IRCCS Humanitas Research Hospital in Rozzano (Milan, Italy). Medical records of patients from a prospectively maintained institutional database were reviewed to identify eligible participants. Inclusion criteria comprised patients >18 years old, with histologically confirmed invasive BC, receipt of neoadjuvant therapy (chemotherapy, targeted therapy, or a combination), and subsequent mastectomy with a pCR on the surgical specimen. Bilateral mammography and breast ultrasound were routinely performed at the time of diagnosis, regardless of the reason leading to diagnosis, which

could be part of the screening program or after symptoms onset. All patients enrolled had a histological diagnosis of invasive BC performed by an ultrasound-guided core needle biopsy, a stereotaxis-guided core needle biopsy, or a vacuum-assisted core needle biopsy, depending on tumor presentation, that is nodular or not, size, and site. Biological factors were routinely assessed. In order to complete the diagnostic process, a contrasted-enhanced bilateral magnetic resonance imaging (MRI) or contrasted-enhanced mammography were performed by highly qualified breast radiologists. In addition, a complete blood test routine, including a complete blood count, renal and liver function tests, and the CA 15-3 tumor marker, was performed. Regarding systemic staging, a chest X-ray, and a complete abdominal ultrasound were usually considered sufficient. Exceptions were made for patients with negative prognostic factors at the time of diagnosis. If one or more risk factors were present, patients underwent a total body computed tomography (CT) scan and bone scintigraphy. A fluorodeoxyglucose positron emission tomography (FDG-PET) or FDG-PET/CT was considered a II-level exam when further confirmations were required. Chemotherapy response was assessed both clinically and radiologically, repeating mammography, breast ultrasound, and magnetic resonance after the end of neoadjuvant therapy. FDG-PET was repeated if performed at the time of diagnosis. Patients received a mastectomy either because of residual microcalcifications or the absence of pre-chemotherapy proper tumor localization, through positioning of an amagnetic clip. Patients with incomplete data, previous BC treatment, and known high oncological risk status at the time of diagnosis, including the presence of oncogenic mutations or metastatic disease at presentation, were excluded from the study. Patient demographics, clinical characteristics, neoadjuvant treatment regimens, surgical details, and adequate follow-up information were collected from electronic medical records. Key variables included age, menopausal status, tumor stage and focality, hormone receptor status (estrogen receptor, progesterone receptor), human epidermal growth factor receptor 2 (HER2) status, neoadjuvant treatment regimen, duration of NAC, nodal status at all stages, surgical approach, and pCR status. Moreover, variables such as time from diagnosis to surgery, the delta of the dimension before and after chemotherapy, and the type of adjuvant therapy applied were considered.

The histopathological assessment was conducted on post-mastectomy specimens by experienced pathologists following standardized protocols. The presence or absence of invasive cancer cells in the breast and axillary lymph nodes was evaluated to determine pCR status. Patients were grouped into two subgroups for comparison: The subgroup with residual DCIS (ypTis) and the subgroup with the absence of invasive and *in situ* disease (ypT0). In our hospital, the pathological response to NAC was evaluated using the criteria proposed by Pinder et al. (18). It is important to consider that more than one definition exists. First, it is important to determine the absence of invasive disease in the surgical specimen obtained after NAC. Still, there is no consensus on whether pCR should be considered only in the mammary tissue or also in the lymph nodal tissue (19). Several systems are used to determine pCR. The standard assessment of response to solid tumors is based on the Response Evaluation Criteria in Solid Tumors (RECIST) (20). This system considers the complete response as the disappearance of all tumoral lesions and the regression of any pathological lymph nodes to <10 mm, but it is related to a clinical and radiological evaluation. From a histopathologic standpoint, several classifications have been proposed. The American Joint Committee on Cancer considers the pCR both in the breast and the regional lymph nodes as the absence of invasive carcinoma; DCIS still present

after treatment constitutes a pCR (21). Although using other specific criteria for the response assessment, the Residual Cancer Burden (RCB) system and the Sataloff classification for NAC evaluation categorize DCIS as a pCR (22, 23). Differently, the Chevallier Method and the National Surgical Adjuvant Breast and Bowel Project categorize the residual DCIS after NAC as a separate response class from a true pCR (24). Since pCR has a prognostic value, reaching a consensus about the most accurate definition and understanding of the pathological and prognostic meaning of a residual DCIS in the breast tissue after NAC is salient. For this reason, the aim of our study was to enhance the meaning of the different possible outcomes depending on the pattern of pCR, with a particular focus on distinguishing between complete response with or without a ductal *in situ* component. The Humanitas University Research Committee and Institutional Board approved this retrospective study (approval no.: EC04-06-CT34-NAC, date: 27.05.2024).

Statistical Analysis

Descriptive statistics were calculated to summarize patient demographics and clinical characteristics. The association between categorical variables was examined using the chi-square test or Fisher's exact test, as appropriate. Survival outcomes, including DFS and overall survival (OS), were estimated using a Kaplan-Meier graph, and differences between survival curves were assessed using Cox or log-rank tests, as appropriate. Subgroup analyses were performed to explore the impact of specific factors, such as hormone receptor status or HER2 status, on pCR rates and survival outcomes. All statistical analyses were performed using StataCorp STATA (StataCorp. 2023. Stata Statistical Software: Release 18. College Station, TX: StataCorp LLC). A p -value <0.05 was considered statistically significant.

Results

Demographic and Tumor Characteristics

During the period considered in this retrospective study, 681 patients were treated with NAC. Among these, 175 patients achieved a pCR on

the surgical specimen, considering both ypT0 and ypTis. Out of these, 60 patients (34.3%) were treated with mastectomy. Only 3 (5.0%) had a confirmed DCIS component at the diagnostic core biopsy. However, after NAC, 24 patients (40.0%) had residual DCIS in the surgical specimen (ypTis), while 36 patients (60.0%) had a pCR without residual tumor (ypT0). The median (range) age for the entire cohort was 50 (31–75) years. Among the ypT0 group, the median age was 50 (31–75) years, while in the ypTis group, it was 51 (32–71) years, with no significant difference between the groups ($p = 0.188$). The ypTis group had a significantly higher rate of multifocal disease (62.5%) compared to the ypT0 group (27.8%) ($p = 0.006$). Monofocal disease was observed in 72.2% of the ypT0 group and 37.5% of the ypTis group. Menopausal status distribution was not significantly different between the groups, with 53.3% premenopausal in the entire cohort. In the ypT0 group, 47.2% were premenopausal, compared to 62.5% in the ypTis group ($p = 0.245$). Six patients (10.0%) overall presented with microcalcifications in pre-treatment imaging assessment. At diagnosis, 65.0% of patients had positive lymph node status (cN+), which was 63.9% in the ypT0 group and 66.7% in the ypTis group ($p = 0.825$). After NAC, 26.7% remained lymph node positive, with 33.3% in the ypT0 group and 16.7% in the ypTis group ($p = 0.225$). Disease stage was higher in the ypTis group, with 33.3% at stage III compared to 11.1% in the ypT0 group ($p = 0.046$). There was a significant difference in the distribution of biological factors between the two groups ($p = 0.005$). In the ypT0 group, 55.6% had triple-negative breast cancer (TNBC) compared to 20.8% in the ypTis group. The median reduction in tumor size (delta dimension) was 32 (12–100) mm overall, with 31 (15–100) mm in the ypT0 group and 33 (12–100) mm in the ypTis group. The median time from diagnosis to surgery was 8 (5–14) months for the entire cohort. The demographic and tumor characteristics are detailed in Table 1.

Adjuvant Therapies and Long-Term Oncological Outcomes

Table 2 shows the adjuvant therapy distribution, demonstrating homogeneous values comparing the two groups. Radiotherapy was administered to 43.3% of the total cohort, with 44.4% in the

Table 1. Demographic and tumor characteristics distribution in the general population and in the two subgroups, ypT0 and ypTis

	All patients (n = 60)	%	ypT0 (n = 36)	%	ypTis (n = 24)	%	p-value
Age: median (range)	50 (31–75)		50 (31–75)		51 (32–71)		0.188
Focality							
Unifocal	35	58.3%	26	72.2%	9	37.5%	0.006
Multifocal	25	41.7%	10	27.8%	15	62.5%	
Menopausal status							
No	32	53.3%	17	47.2%	15	62.5%	0.245
Yes	28	46.7%	19	52.8%	9	37.5%	
Nodal status pre NAC							
N0	21	35.0%	13	36.1%	8	33.3%	0.825
N+	39	65.0%	23	63.9%	16	66.7%	
Nodal status after NAC							
N0	44	73.3%	24	66.7%	20	83.3%	0.225
N+	16	26.7%	12	33.3%	4	16.7%	

Table 1. Continued

	All patients (n = 60)	%	ypT0 (n = 36)	%	ypTis (n = 24)	%	p-value
Stage							
I	3	5.0%	3	8.3%	0	0%	0.046
II	44	73.3%	29	80.6%	15	62.5%	
III	12	20.0%	4	11.1%	8	33.3%	
Biological factor status							
HR+/HER2+	11	18.3%	2	5.6%	9	37.5%	0.005
HR-/HER2+	16	26.7%	9	25.0%	7	29.2%	
HR+/HER2-	8	13.3%	5	13.9%	3	12.5%	
TNBC	25	41.7%	20	55.6%	5	20.8%	
Ki67 (n = 57)							
≤20%	8	13.3%	5	13.9%	3	12.5%	1.000
>20%	49	81.7%	28	77.8%	21	87.5%	
Delta dim (mm) pre/post NAC: median (range)	32 (12–100)		31 (15–100)		33 (12–100)		
Time to surgery: median (range)	8 (5–14)		8 (6–14)		8 (5–10)		

NAC: Neoadjuvant chemotherapy; HR+: Hormonal receptor positive; HR-: Hormonal receptor negative; HER2+: Human epidermal growth factor receptor 2 positive; HER2-: Human epidermal growth factor receptor 2 negative; TNBC: Triple negative breast cancer; Dim: Dimension

Table 2. Adjuvant therapies and long-term oncological outcomes in the general population and in the two subgroups, ypT0 and ypTis

	All patients (n = 60)	%	ypT0 (n = 36)	%	ypTis (n = 24)	%	p-value
Radiotherapy	26	43.3%	16	44.4%	10	41.7%	0.832
Hormonal therapy	17	28.3%	7	19.4%	10	41.7%	
Recurrence							
Local	2	3.3%	1	2.8%	1	4.2%	0.061
Distant	7	11.7%	4	11.1%	3	12.5%	
Local + distant	2	3.3%	2	5.6%	0	0%	
Death							
For BC	2	90.0%	1	2.8%	1	4.2%	1.000
For other causes	3	13.3%	2	5.6%	1	4.2%	

BC: Breast cancer

ypT0 group and 41.7% in the ypTis group ($p = 0.832$). Hormonal therapy was given to 28.3% of the patients, with a higher percentage in the ypTis group (41.7%) compared to the ypT0 group (19.4%), approaching statistical significance ($p = 0.061$). Long-term oncological outcomes are also shown in Table 2. During a mean follow-up of 47 months, 11 patients experienced recurrence. In the ypT0 group, 7 patients (19.4%) had a recurrence, compared to 4 patients (16.7%) in the ypTis group ($p > 0.05$). Recurrences included local (3.3% total, 2.8% ypT0, 4.2% ypTis), distant (11.7% total, 11.1% ypT0, 12.5% ypTis), and combined local and distant (3.3% total, 5.6% ypT0, 0% ypTis). There were two BC-related deaths (3.3% total, 2.8% ypT0, 4.2% ypTis) and three deaths from other causes (5.6% total, 5.6% ypT0, 4.2% ypTis), with no significant difference between the groups ($p > 0.05$). No statistical difference was observed in analyzing both DFS

($p = 0.781$) and OS ($p = 0.963$) between the two groups, as shown in Figures 1 and 2, respectively.

Discussion and Conclusion

The current study focused on patients undergoing a mastectomy after NAC to analyze a more complete pathological picture of the entire breast tissue. Radiological and clinical evaluation plays a critical role at diagnosis and post-therapy assessment, despite known limitations. For example, contrast-enhanced MRI with significant background parenchymal enhancement may have limited accuracy, especially for non-mass enhancement and small-size tumors (25). Moreover, due to the increased application of BCS, post-NAC residual DCIS could be missed if not present in the surgical specimen. By assessing the whole glandular tissue after mastectomy, we ensured a complete pathological evaluation.

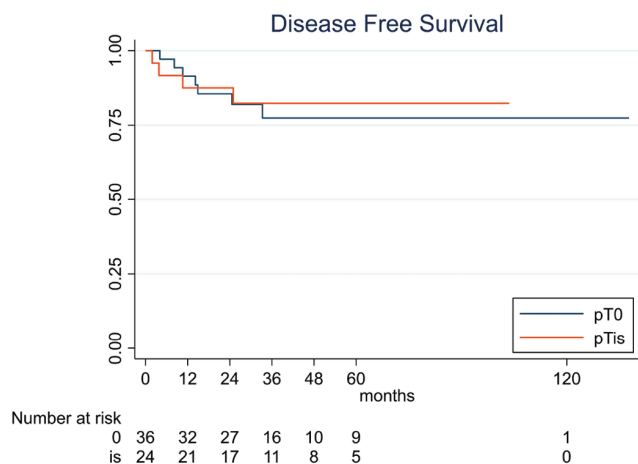


Figure 1. This figure represents the disease-free survival curves for the two groups, ypT0 and ypTis, showing no statistical difference ($p = 0.781$)

The reasons for performing a mastectomy were not related to the purpose of this study; data were collected retrospectively without influencing the surgical approach. Our analysis revealed that only a small percentage of patients had a DCIS component at the time of diagnosis on the core biopsy. However, a higher percentage of patients had residual DCIS in the surgical specimen. The presence of DCIS was not consistently associated with microcalcifications at diagnosis or after chemotherapy, indicating a low correlation between the two phenomena. Goldberg et al. (26) illustrated that NAC might completely eradicate DCIS while associated microcalcifications persist. A recent systematic review and meta-analysis conducted by Conforti et al. (27), found that pCR should not be used as a primary endpoint in regulatory neoadjuvant trials of BC due to weak association between pCR and long-term clinical outcomes at the trial level. This demonstrates the need for further studies to better understand the true clinical meaning of pCR without confounding factors, such as adjuvant therapies, which might alter survival outcomes (28, 29).

Currently, there is no single definition of pCR, with various classifications considering different aspects. This lack of a uniform definition creates challenges in reporting and interpreting data from neoadjuvant trials (30, 31). Some studies have shown different prognostic values for ypT0 and ypTis (32). Symmans et al. (23) calculated the RCB as a continuous index combining pathologic measurements of the primary tumor (size and cellularity) and nodal status, using corrective coefficients such as the presence of residual DCIS. The RCB was found to be a significant predictor of distant relapse-free survival (33). To address this, the Food and Drug Administration established the Collaborative Trials in Neoadjuvant Breast Cancer working group (30), which analysed data from nearly 13,000 patients enrolled in large-scale international neoadjuvant trials. They compared the three most commonly used definitions of pCR [pT0/Tis (absence of invasive cancer in the breast), pT0/Tis pN0 (absence of invasive cancer in the breast and axillary nodes), and pT0 pN0 (absence of invasive and *in situ* cancer in the breast and axillary nodes)] and their relationship to long-term patient outcome. After a pooled analysis, they recognized either pT0/Tis pN0 or pT0 pN0 for the purposes of designing trials. However, this dual definition remains an open question in BC research, which the present article sought to address.

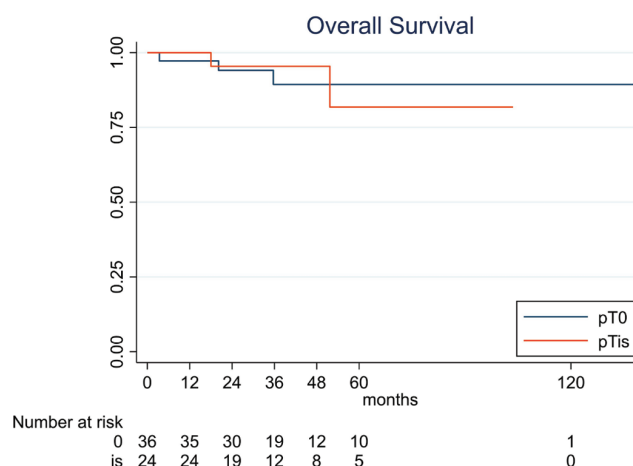


Figure 2. This figure represents the overall survival curves for the two groups, ypT0 and ypTis, showing no statistical difference ($p = 0.963$)

We compared the survival outcomes between the pCR ypT0 and the pCR ypTis group to determine if a prognostic difference exists. In a meta-analysis by Broglio et al. (34), pCR in HER2+ BC was significantly associated with improved DFS and OS compared to those with residual disease. Specifically, patients achieving pCR had a hazard ratio of 0.37 for DFS and 0.34 for OS, indicating a substantially lower risk of recurrence and death. This association was more pronounced in hormone receptor-negative patients. In a retrospective study by Yoshioka et al. (35), it was found that achieving a pCR after NAC significantly improved DFS and OS in BC patients, particularly in those with high Ki67 expression. The study demonstrated that patients with TNBC, estrogen receptor-negative/HER2+, and luminal B tumors who achieved pCR had a significantly better prognosis compared to those with residual disease. However, this benefit was not observed in patients with luminal A or estrogen receptor-positive/HER2+ subtypes. However, in our study we found no differences in DFS and OS. Only a few tumor-related characteristics were statistically associated with a specific pathological response after NAC, such as TNBC, unifocal disease, and a lower stage at presentation related to a ypT0 response. Currently, no consensus has been reached concerning the prognostic value of residual DCIS after NAC. Our study demonstrated a correlation between tumor focality and stage with a ypTis response, showing that a multifocal and higher stage disease constitute a specific risk factor for residual DCIS. From a biological standpoint, luminal-like BC is mostly related to a ypTis response after NAC. These factors should be considered while planning neoadjuvant therapy for a more accurate prediction of the pathological response.

If residual DCIS after NAC does not change the prognosis, as demonstrated in this study, this knowledge should be considered during the surgical planning phase. Specifically, if only microcalcifications are present after NAC, although diffuse, a BCS could still be considered, potentially increasing the aesthetic and psychological outcomes (26). Adjuvant therapy planning could be affected by no longer considering DCIS as a residual disease to be targeted, reducing patients' exposure to unnecessary therapies in the de-escalation setting. A refined estimate of an individual's risk of recurrence, based on their subtype and RCB, might be useful for informing decisions on adjuvant treatment selection, even though the presence or absence of residual disease is already being used to guide adjuvant decisions following NAC (36-38). Another important factor is that neoadjuvant and

adjuvant therapies themselves might mitigate differences between the two groups, reducing adverse events homogeneously. Moreover, newly diagnosed DCIS lesions are a heterogeneous group in morphology, genetics, cellular biology, and clinical behavior. Approximately half of all DCIS lesions progress to an invasive status with an unknown underlying mechanism (39).

This study has several limitations. First, the retrospective design introduces inherent bias and limitations associated with data collection and potential confounding variables. Second, the small sample size may affect the statistical power to detect significant associations between the pathological response and the occurrence of adverse events. In addition, the study was conducted at a single institution, which may limit the generalizability of the findings. Moreover, the extended enrollment period from 2010 to 2021 could introduce a time-based bias, with potential prognostic changes over time due to improvements in therapeutic regimes. Another significant limitation is the lack of data on patient preferences in surgical planning. Understanding patient preferences could provide valuable insights into the decision-making process and improve personalized treatment approaches. Lastly, long-term follow-up data beyond the scope of this study were not available, precluding the evaluation of late recurrences and/or cancer-related mortality.

The current study demonstrated that residual DCIS after NAC (ypTis) does not significantly impact DFS or OS compared to complete pathologic response without residual tumor (ypT0). The findings suggest that residual DCIS should be considered in surgical planning, potentially allowing for BCS in suitable cases, and may inform decisions on adjuvant therapy de-escalation. The study highlights the need for a standardized definition of pCR and further research to refine treatment approaches for better patient outcomes.

Ethics Committee Approval: The Humanitas University Research Committee and Institutional Board approved this retrospective study (approval no.: EC04-06-CT34-NAC, date: 27.05.2024).

Informed Consent: Retrospective study.

Authorship Contributions: Surgical and Medical Practices: C.T., S.S.D., E.B., A.S., V.V., D.G.; Concept: C.T., S.S.D., E.B., A.S., V.V., D.G.; Design: C.T., S.S.D., E.B., A.S., V.V., D.G.; Data Collection and/or Processing: C.T., S.S.D., A.S., V.V., D.G.; Analysis and/or Interpretation: C.T., S.S.D., E.B., D.G.; Literature Search: C.T., S.S.D., D.G.; Writing: C.T., S.S.D., E.B., V.V., D.G.

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Overexpression of *CDC25A*, *AURKB*, and *TOP2A* Genes Could Be an Important Clue for Luminal A Breast Cancer

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ABSTRACT

Objective: Breast cancer (BC) is highly heterogeneous and one of the most common cancers. Luminal A (LUM A) is a subtype of BC with a better prognosis than other BC subtypes. The molecular mechanisms underlying the initiation and progression of the LUM A subtype are still unclear. Big data generated from microarray and sequencing systems can be re-analyzed, especially with the help of various *in silico* tools developed in recent years, and made applicable for *in vitro* and *in vivo* research. This work aimed to identify genes that may play a role in the progression of LUM A subtype of BC using both computational and laboratory-based methods.

Materials and Methods: Overlapping genes associated with BC were identified from the The Cancer Genome Atlas database, GSE233242, GSE100925 geodata sets, and the geneshot tool. The network functional analysis between overlapping genes was determined with STRING 12.0. Expression levels of overlapping genes in BC were investigated with the TNMplot (<https://tnmplot.com/analysis/>) *in silico* tool. The effect of overlapping genes on the overall survival of LUM A cancer patients was defined using the Kaplan-Meier plotter tool. Expressions of genes identified using bioinformatics data were investigated via quantitative real-time -polymerase chain reaction (qRT-PCR) in LUM A tumor and adjacent tissue samples. The data were evaluated using the t-test. Both the sensitivity and specificity of selected genes have been determined using the receiver operating characteristic curve.

Results: *In silico* investigation showed that eleven genes were possibly associated with BC. Among them *CDC25A*, *AURKB*, and *TOP2A* were considerably increased in LUM A samples according to qRT-PCR results. An overall survival analysis also showed that overexpression of these three genes could reduce the overall survival of LUM A patients.

Conclusion: The genes *CDC25A*, *AURKB*, and *TOP2A* may play crucial functions in LUM A pathogenesis. Therapeutic strategies that diminish the expression of these connected genes may enhance the prognosis of LUM A patients.

Keywords: Bioinformatics; *CDC25A*; *AURKB*; *TOP2A*; luminal A

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Key Points

- Overexpression of *CDC25A*.
- *AURKB*.
- *TOP2A* can be potential biomarkers for luminal A.

Introduction

Breast cancer (BC) is the most prevalent cancer in women globally, accounting for the second-greatest percentage of cancer-related fatalities among women. BC is a disease that varies greatly regarding morphological and biological characteristics, clinical behavior, and therapeutic responses (1, 2). Currently, BC has been classified

molecularly as luminal A (LUM A), luminal B (LUM B), human epidermal growth factor receptor 2 positive (HER2+), triple-negative BC (TNBC), and normal breast-like (3). According to investigations, further categorizing these subgroups is possible and important. Approximately 70% of BC patients suffer from the LUM A subtype, which has a positive estrogen receptor (ER+) but lacks an amplification of the HER2 (4). LUM A tumors have a decreased

probability of recurrence compared to other subtypes of BC. However, there is still a need to understand the mechanisms behind the onset and progression of the LUM A subtype, which has a variable prognosis (5). Since the tumor is hormone receptor-positive, endocrine therapy is effectively preferred in the treatment of LUM A BC. However, the efficacy of endocrine therapy for LUM A may differ based on several genetic factors (6). For example, it was proposed that *GATA3* mutations may result in altered gene expression in ER-positive BCs, which might influence prognosis (7). Alfarsi et al. (8) showed that high *KIF18A* expression is a prognostic factor and can predict adverse outcomes of endocrine treatment in individuals with ER-positive BC. Therefore, identifying differently expressed genes may be valuable for more precise categorization, clarification of molecular pathways, and improving disease treatment success rates in the future. In the current study, a bioinformatic approach was used to identify overlapping genes within two BC-related datasets, The Cancer Genome Atlas (TCGA) and BC-relevant genes. Several *in silico* tools were used to conduct an enrichment analysis of overlapping genes. The expression of three overlapping genes was further investigated using the quantitative real-time -polymerase chain reaction (qRT-PCR) method in tumor and adjacent normal tissue samples from 30 LUM A cancer patients. Then the results were evaluated using receiver operating characteristic (ROC) analysis.

Materials and Methods

Using Bioinformatics Approaches to Uncover BC-Associated Genes

Analysis of Gene Expression Alterations in TCGA BC Samples

TCGA (<https://cancergenome.nih.gov/>) is a very important database in which approximately 20,000 primary tumors and adjacent samples of dozens of different cancer types are molecularly analyzed. The TCGA-BC data was analyzed using the GEPIA2 online tool (<http://gepia2.cancer-pku.cn/>) to determine significant genes. The “Differential Expression Analysis” option was initially selected in the GEPIA2 online tool. The research was subsequently conducted by selecting “Breast cancer” in the dataset section and “ANOVA” in the method selection section on the opened page. Overexpressed genes in TCGA-BC data were identified with log fold change (logFC) >+1 and $p < 0.001$ criterion.

Detection of Gene Expression Changes in BC- Gene Expression Omnibus Datasets

Gene Expression Omnibus (GEO) (<https://www.ncbi.nlm.nih.gov/gds>) is a publicly available source of functional genomics data. Microarrays or sequence-based studies’ results are accepted in the GEO database. Using the GEO database, GEO datasets related to many diseases can be downloaded and the expression profiles can be reanalyzed. The GSE233242 (29 LUM A tumor tissues and adjacent normal tissue samples) and GSE100925 (36 BC tumor tissue samples and adjacent normal tissue samples) GEO datasets were obtained from the GEO database and analyzed using GEO2R. GEO2R is a user-friendly online tool that allows users to compare multiple data sets from a GEO series to identify differently expressed genes, miRNAs, circRNAs and other molecules. Among GEO2R analysis results genes with logFC >+1 and $p < 0.001$ were defined.

Determination of the Most Closely BC-associated Genes

Geneshot is a free, publicly available tool that allows researchers to obtain ranked lists of genes related to search terms (9). The Geneshot

tool was used to screen for BC-associated genes. The search query “Breast cancer” was inputted in the “Search for these terms” field, and the number “500” was entered in the “Top Associated Genes to Make Predictions” search field in Geneshot. Subsequently, the option “AutoRIF (automatically search from PubMed)” was chosen.

Determination of Overlapping Genes

Overlapping BC-related genes were identified in the TCGA database, GSE233242, GSE100925 geo datasets, and the Geneshot tool. Then, a Venn diagram was generated using the Functional Enrichment tool (<http://www.funrich.org/>).

Enrichment Analyses of Overlapping Genes

STRING 12.0 (<https://string-db.org/>) is a software tool and knowledgebase for identifying and predicting protein-protein interactions. The network functional analysis between overlapping genes was determined with STRING 12.0 tool. TNMplot (<https://tnmplot.com/analysis/>) is a free and publicly available tool that allows differential gene expression analysis in tumor tissues, normal tissues, and metastatic tissues using TCGA, GEO, and GTEx data. Expression levels of overlapping genes in BC were investigated with the TNMplot *in silico* tool. Kaplan-Meier plotter (KM plotter) (<https://kmplot.com/analysis/>) is a web-based tool designed to evaluate the expression and survival rates of genes/miRNAs in various forms of cancer, using publicly available transcriptome data such as TCGA. The effect of overlapping genes on the overall survival (OS) of LUM A patients was defined using the KM plotter tool. TCGA-BC data was utilized to evaluate Spearman correlation analysis of three overlapping genes in bioinformatics data (via GEPIA2).

Verification of Bioinformatics-Derived Data

Patients and Specimens

From November 2020 to November 2022, 30 pairs of human BC specimens (tumor tissues and adjacent normal tissues) were obtained from patients who underwent breast surgery at the İstanbul Faculty of Medicine Hospital, Department of General Surgery, İstanbul University (İstanbul, Turkey). The study was approved by the Ethics and Scientific Committees of İstanbul Faculty of Medicine, İstanbul University (number: 29624016-050.99-903, date: 01.07.2020). Written informed consent from all the patients was obtained.

Investigation of the Chosen Genes’ Relative Expressions Using QRT-PCR

Total RNAs from 30 pairs of LUM A tissue samples were extracted with TRIzol reagent (Invitrogen, San Diego, CA, USA) following the manufacturer’s instructions. A NanoDrop spectrophotometer (Thermo Fisher Scientific, Waltham, MA, USA) was used to evaluate the quality and amount of RNA samples. To investigate the expression of chosen genes the same amount of RNA from the samples was reverse transcribed into cDNA using the cDNA Reverse Transcription Kit (Thermo). qRT-PCR experiments were conducted using 5x HOT FIRE qPCR Mix Plus (Solis BioDyne, Tartu, Estonia). GAPDH expression was used to normalize gene expression. Each reaction was conducted at least twice. Relative gene expressions were calculated using the $2^{-\Delta\Delta C_t}$ method. Both the sensitivity and specificity of genes were determined using the receiver operating characteristic (ROC) curve.

Statistical Analysis

Bioinformatic evaluations were performed using publicly available platforms. The current study employed the $2^{-\Delta\Delta Ct}$ method to evaluate gene expression levels between tumor specimens and adjacent normal tissue groups. Data are presented as mean \pm standard deviation. Data were analyzed using GraphPad Prism version 10.0 (www.graphpad.com). A statistically significant difference was defined as $p < 0.05$. The ROC curves, the area under the ROC curve, the cut-off point, sensitivity, and specificity for all genes were calculated.

Results

Bioinformatics Analyzes Showed an Overlap of 11 Genes in Datasets

Eleven genes in the TCGA-BC database GSE233242, GSE100925 geo datasets, and Geneshot tool overlapped with $\log_{2}FC > +1$ and $p < 0.001$ criterion (Table 1).

Used Bioinformatics Data in the Current Study Show 11 Genes Were Closely Associated With BC

The TCGA-BC database has 250 genes, whereas the GSE233242 dataset contains 1858 genes and the GSE100925 dataset contains 257 genes that match the $\log_{2}FC > +1$, $p < 0.001$ criterion. These genes were compared to the 500 most BC-associated genes in the Geneshot tool, and 11 of them overlapped (Figure 1A). As a result of the network analysis performed through STRING 12.0, it was determined that the interactions between overlapping genes were more than expected. ($p < 1.0e-16$) (Figure 1B). The findings of TCGA-BC RNA-seq data analysis using TNMplot revealed that the expression of all 11 genes was higher in tumor and metastatic tissue samples than in normal tissues (Figure 1C). Moreover, when the keywords “Breast cancer, gene names” were searched in PubMed, it was found that all of these genes were associated with BC. Remarkably, a profoundly meaningful relationship was seen among the 11 chosen genes. These findings imply that developing specific therapy approaches to inhibit gene expression might be beneficial.

Overlapping Genes May Be Biomarkers for LUM A Overall Survival

OS analysis using the KM plotter tool demonstrated that overexpression of overlapping genes other than *PLK1* significantly affected LUM A OS (Figure 2). Three of the overlapping genes were strongly correlated with each other. According to the Spearman correlation analysis carried out on TCGA-BC data using GEPIA2, the *CDC25A*, *AURKB*, and *TOP2A* genes are most likely to be co-expressed (Figure 3). According to qRT-PCR results, all three selected genes (*CDC25A*, *AURKB*, *TOP2A*) were found to have increased expression in LUM A tumor samples compared to adjacent normal tissue samples (Figure 4). We employed a ROC curve study to determine whether selected genes may be utilized as prognostic biomarkers. Our findings indicated that *CDC25A*, *AURKB*, and *TOP2A* are promising LUM A indicators (Figure 5).

Discussion and Conclusion

Studies have revealed that BC is a very heterogeneous cancer at the molecular level (2, 10). There is a need to elucidate the molecular mechanisms more clearly to develop treatment strategies. Concurrently, with the advances in microarray and sequencing technologies in recent years, a substantial volume of raw data regarding several types of malignancies, including BC, has been accumulated. Validating all this huge data *in vitro* or *in vivo* is a highly difficult and costly undertaking. Consequently, several *in silico* tools have been developed to aid in the filtration and processing of this data. Thus, using *in silico* tools, many genes/miRNAs and other molecules that may play a role in BC have been suggested (11-13). In the current investigation, we employed some *in silico* tools to identify genes that may be linked to LUM A. These genes were subsequently verified in LUM A patient samples.

Studies demonstrated that all 11 genes we identified with bioinformatics methods in our study are closely related to BC. For example, *BIRC5* has been reported to mediate poor response to radiotherapy in HER2-positive BCs (14). Elevated *CCNB1* expression has been related to a poor prognosis and tumor immune infiltration in BC (15). It has been shown that successful treatment results can be achieved in BC subtypes

Table 1. Overlapping genes’ logFC and p-values in GSE233242, GSE100925 datasets, and TCGA-BC

Genes	GSE233242		GSE100925		TCGA-BC	
	p-value	logFC	p-value	logFC	p-value	logFC
<i>PLK1</i>	2.37e-08	1.64	2.07E-22	3.19	7.23e-158	2.64
<i>BIRC5</i>	1.93e-06	2.01	1.21E-15	3.24	1.26e-186	3.40
<i>TOP2A</i>	1.84e-07	2.41	7.47E-21	3.21	5.24e-210	3.90
<i>CCNB1</i>	3.48e-11	1.71	8.92E-22	2.45	9.81e-209	2.98
<i>AURKA</i>	1.15e-10	2.02	1.23E-21	2.84	7.11e-174	2.77
<i>CDK1</i>	2.06e-12	2.24	1.70E-19	2.64	2.82e-184	2.84
<i>RAD51</i>	4.02e-07	1.59	3.40E-14	2.13	6.27e-152	2.23
<i>FOXM1</i>	4.76e-06	1.51	2.24E-18	2.89	1.34e-160	2.90
<i>CCNA2</i>	7.77e-10	1.62	3.00E-17	2.48	2.48e-130	2.09
<i>CDC25A</i>	4.96e-06	1.36	2.38E-10	2.02	4.85e-770	1.21
<i>AURKB</i>	6.56e-05	1.59	5.26E-19	2.96	5.92e-145	2.67

logFC: Log fold change; TCGA: The Cancer Genome Atlas; BC: Breast cancer

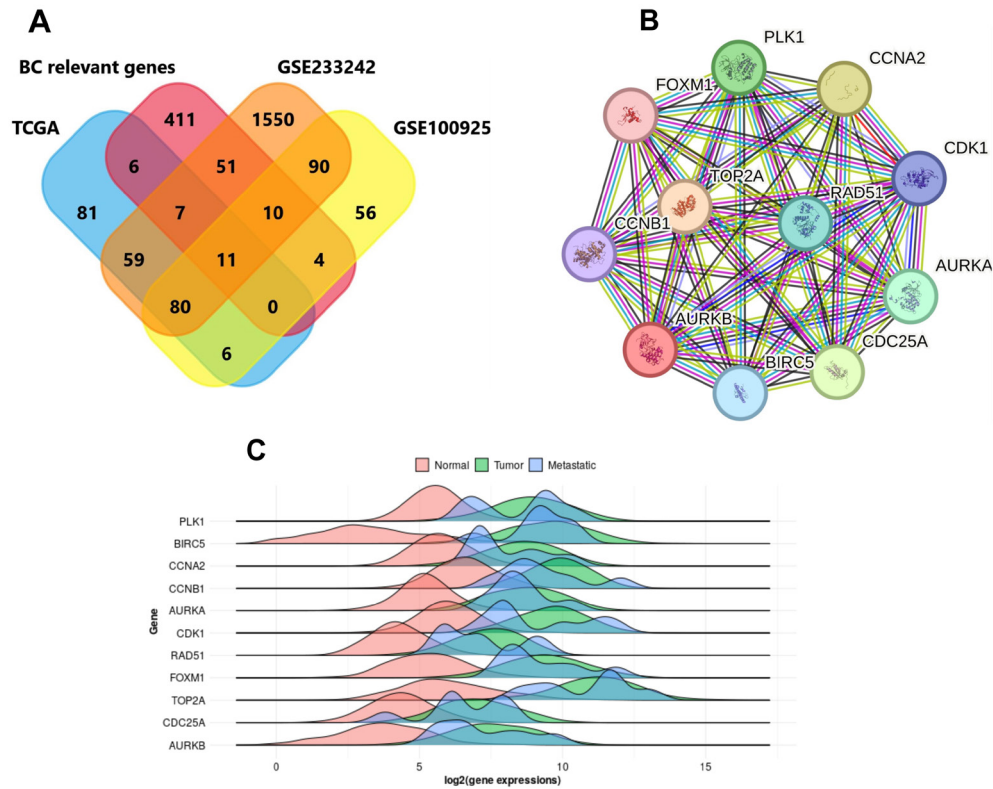


Figure 1. Enrichment analysis of 11 overlapping genes. **A.** Venn diagram showing overlapping genes in TCGA-BC database, GSE233242, GSE100925 datasets, and Geneshot tool. **B.** The string interaction network of the overlapping genes in datasets. (number of nodes:11, number of edges: 55, average node degree: 10. PPI enrichment p -value $\leq 1.0e-16$). **C.** Density expressions' plot of overlapping genes. TNMplot RNASeq data

TCGA: The Cancer Genome Atlas; BC: Breast cancer; PPI: Protein-protein interactions

by targeting the transcription factor FOXM1, which has an oncogenic effect in BC (16). However, the status of these genes' expression and roles in LUM A are unclear. Moreover, in our study, the co-expression scores of these genes were found to be higher than expected (Figure 1B), and the significant potential of these genes to play a role in LUM A-OS indicates that they may be of critical importance for LUM A (Figure 2). Identifying genes that have similar functions within the cell and exhibit stronger interactions with each other is crucial for understanding molecular pathways. Thus, our research findings are valuable and the suggested genes can be regarded as indicators for elucidating the molecular mechanisms involved in developing LUM A. Therefore, more detailed studies are needed to elucidate the roles of these genes in the LUM A subtype.

The expression levels of *CDC25A*, *AURKB*, and *TOP2A*, among the overlapping 11 genes detected using bioinformatics methods, were investigated in 30 LUM A specimens by qRT-PCR. It was observed that all three genes were overexpressed in LUM A tumor samples compared to the control group. Moreover, the expressions of these genes were found to be reliable in the ROC curves. These findings suggest that these three genes may play important roles in the LUM A subtype.

CDC25A is a cell cycle accelerating phosphatase and increased expression of this gene has been associated with many cancers (17). Although studies have clearly shown the relationship between

CDC25A and BC the function of *CDC25A* in LUM A remains unclear (18). *CDC25A* is involved in the BC process with many genes and miRNAs. For example, in the study of Feng et al. (19), it was shown that *CDC25A* participated in the BC metastasis process by controlling matrix metalloprotease 1 through Foxo1. Ectopic miR-100-5p expression has been demonstrated to reduce BC cell proliferation, migration, and invasion while increasing apoptosis via inhibiting the expression of *CDC25A* (20). MicroRNA-99a-5p has been reported to suppress BC progression and cell cycle pathways by downregulating *CDC25A* (21).

The *AURKB* gene is also closely associated with BC. For instance, it has been shown that polymorphisms in the *AURKB* gene can predict the OS or disease-free survival of TNBC patients treated with taxane-based adjuvant chemotherapy (22). O6-benzyl guanine, an ethylguanine-DNA methyl transferase (MGMT) inhibitor, has been shown to reduce the expression of many genes, including *TOP2A* and *AURKB*, sensitizing ER-positive BC to temozolomide (23). Another study suggested that *NEK2*, *BIRC5*, and *TOP2A* genes may be potential targets in obese patients with LUM A BC (24).

TOP2A is an isoform of *TOP2*, a nuclear protein that plays an important role in DNA replication and cell division. *TOP2A* is highly expressed in proliferating and growing cells, and overexpression of this gene has been detected in various human malignancies, such as hepatocellular carcinoma, primary BC, and colon cancer (25).

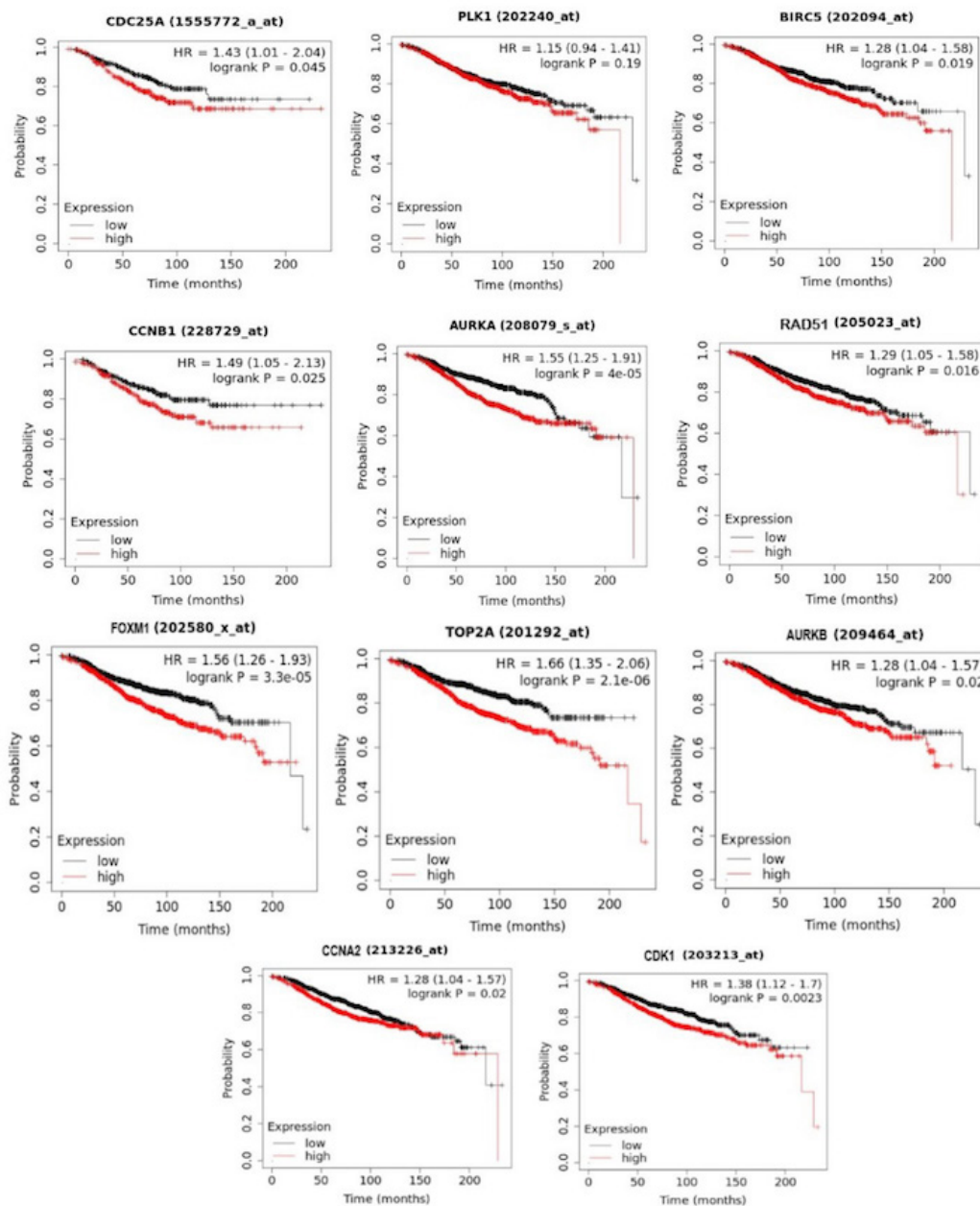


Figure 2. The overlapping genes' exp. on LUM A patients' OS via KM plotter tool. Except for PLK1, increasing the expression of the other ten genes has an unfavorable impact on LUM A OS

LUM A: Luminal A; OS: Overall survival; KM: Kaplan-Meier

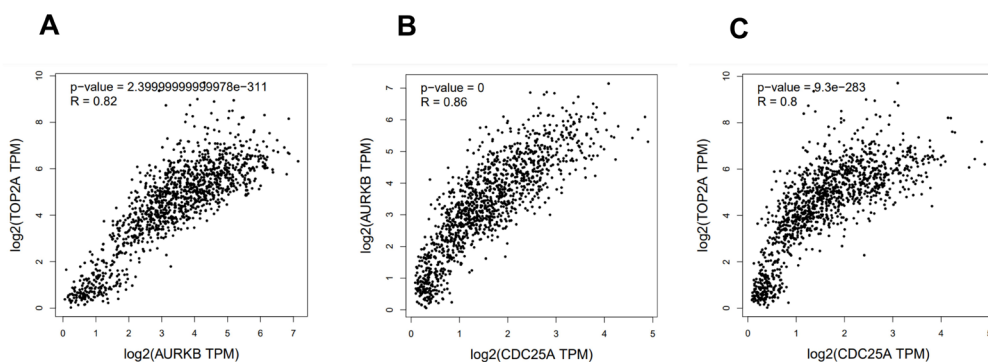


Figure 3. Correlation analysis of **A.** *AURKB-TOP2A*, **B.** *CDC25A-AURKB*, **C.** *CDC25A-TOP2A* genes

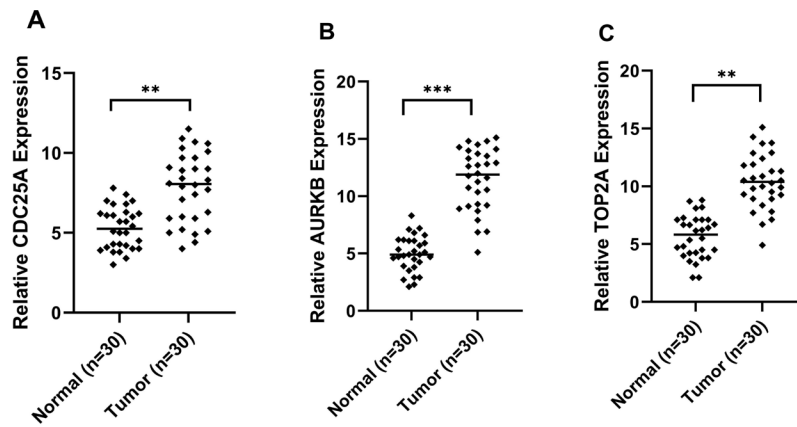


Figure 4. The relative mRNA expression levels of **A.** CDC25A, **B.** AURKB, and **C.** TOP2A in LUM A cancer tissues and adjacent normal tissues **: $p < 0.01$ and ***: $p < 0.001$ (GAPDH expression was employed as an internal control for evaluating mRNA expression)

LUM A: Luminal A

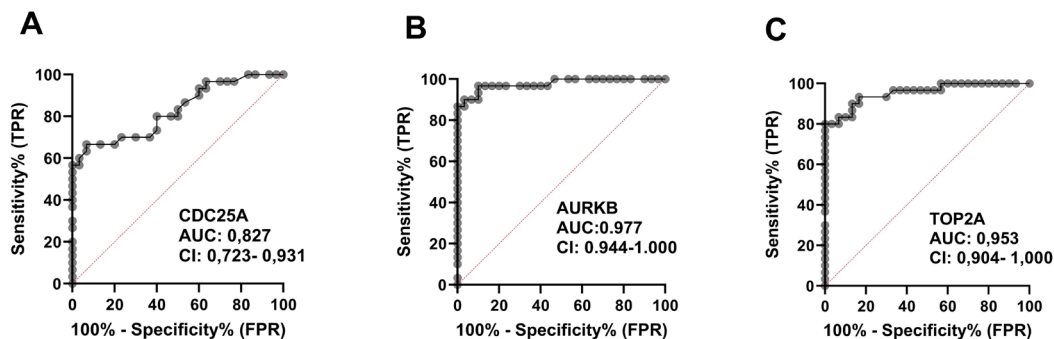


Figure 5. ROC curve analysis of **A.** CDC25A, **B.** AURKB, **C.** TOP2A genes in LUM A. $p < 0,05$

TPR: True positive rate; FPR: False positive rate; AUC: Area under the curve; CI: Confidence interval; ROC: Receiver operating characteristic; LUM A: Luminal A

Several TOP2A inhibitors have been used to treat different malignancies (25, 26). Studies have shown that the expression alteration of TOP2A, which is targeted by multiple microRNAs and long non-coding RNAs, has a role in cancer processes. For example, a study targeting the long non-coding RNA MALAT1 demonstrated that BC cells were suppressed via the microRNA-561-3p/TOP2A axis (27). Although it is known that TOP2A generally shows increased expression levels in BC, there is not enough data regarding its expression level in LUM A patients (24, 28).

The expression of genes can be controlled in several ways (29-31). Non-codingRNAs, such as microRNAs and circular RNAs, are crucial molecules that regulate gene expression (32-34). Studies demonstrated that alterations in the expression of these noncoding RNAs can be important in several cancer processes via many targeted genes (35).

Although non-coding RNAs have not yet been employed in therapy, it is anticipated that they may have enormous potential in the future. In recent years, several inhibitors have been discovered to decrease the expression of overexpressed genes in the cell. We believe that therapy methods can be developed in the future by inhibiting the expression of genes such as CDC25A, AURKB, and TOP2A in LUM A cancer utilizing different inhibitors and/or noncoding RNAs. Further studies

can be performed using *in vitro* and *in vivo* methods to silence the expression of these genes and uncover their functional implications on cancer processes. Therefore, our findings will provide hints for future *in vitro* and *in vivo* investigations.

Ethics Committee Approval: The study was approved by the Ethics and Scientific Committees of İstanbul Faculty of Medicine, İstanbul University (number: 29624016-050.99-903, date: 01.07.2020).

Informed Consent: Written informed consent from all the patients was obtained.

Authorship Contributions

Concept: M.K.; Design: M.K., A.A., F.A., Ş.Ö.; Data Collection and/or Processing: M.K., A.A., İ.S., F.A., Ş.P.; Analysis and/or Interpretation: M.K., Ş.P., K.C., Ş.Ö.; Literature Search: M.K., İ.S., M.S.A., F.A., S.E.; Writing: M.K., A.A., M.S.A., S.E., K.C.

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Depression and Anxiety Symptoms Before and After Breast-Cancer Diagnosis Among Young Women in the Northern Finland Birth Cohort 1966

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ABSTRACT

Objective: The aim of the study was to explore depressive, anxiety, and mental-health related somatic symptoms among young breast-cancer survivors by considering symptoms before and after cancer onset.

Materials and Methods: The study sample included females from the prospective Northern Finland Birth Cohort 1966. Symptoms were assessed with the Hopkins Symptom Checklist-25 at the age of 31 and 46 years. We studied both subscales of depressive, anxiety, and somatic symptoms and single symptoms in secondary analyses.

Results: Thirty-one cases and 3,077 controls were included. Females diagnosed with breast cancer 3–8 years before the 46-year follow-up had increased depressive ($p = 0.005$) and somatic symptoms ($p = 0.028$) at the 46-year follow-up compared with the 31-year follow-up. This was not observed among those diagnosed <3 or >8 years before or among controls. Females diagnosed with breast cancer reported more lack of strength or energy compared with controls at the 46-year follow-up ($p = 0.047$). Among females who did not report feeling that the future is hopeless at the 31-year follow-up, significantly more females diagnosed with breast cancer reported this feeling at the 46-year follow-up compared with controls ($p = 0.006$).

Conclusion: Depressive and somatic symptoms increased significantly among young females at 3–8 years after breast-cancer diagnosis compared with the time before the cancer diagnosis. Psychosocial measures of support for breast-cancer survivors should be provided over the long-term.

Keywords: Breast cancer; depression; anxiety; young women

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Key Points

- Depression and anxiety symptoms occurring before and after breast cancer diagnosis among young breast-cancer survivors are not well studied.
- At the post-diagnostic follow-up, depressive and mental-health related somatic symptoms increased significantly among those young breast-cancer survivors diagnosed 3–8 years before, while no differences were found among those diagnosed <3 or >8 years before or among controls.
- The occurrence of somatic symptoms during long-term follow-up of breast-cancer patients can be related to depression, which should be considered in clinical practice.
- More research is needed to assess how previous psychiatric symptoms of young breast-cancer survivors could help to identify and provide targeted psychosocial intervention for those who need it the most.

Introduction

Breast cancer in a women aged <50 years has a major impact at the individual and societal levels; many of these women tend to have a highly responsible role in the household as a provider and caretaker of young children, along with spouses. Furthermore, breast cancer may compromise their ability to work for an extended period and may even cause permanent household economic instability (1, 2). When compared with age-matched, cancer-free controls, the prevalence of both anxiety and depression symptoms is higher among breast-cancer survivors (3-5). Age at diagnosis seems to have a significant effect; younger breast-cancer survivors tend to more commonly report severe depressive or anxiety symptoms compared with older survivors (5-9). There is no universal definition of a young breast-cancer patient and the age limit generally varies between 40 to 50 years depending on the study (1, 2, 5, 6, 10). Previous psychiatric history can predict a more than 10-fold risk of post-diagnostic major depressive disorder among women who had surgery for breast cancer (11). In a prospective follow-up of 355 women (most aged between 51 to 64 years), major depression before breast-cancer diagnosis was associated with recurrence of depression during the first year after breast-cancer diagnosis. Similarly, generalized anxiety disorder (GAD) before breast cancer was associated with a recurrence of GAD (12). In the studies described above, data on mental health before breast-cancer diagnosis were assessed retrospectively (11, 12). To the best of our knowledge, only two studies prospectively collected data on mental health before breast-cancer diagnosis (8, 13), and only Kroenke et al. (8) explored a subgroup of young breast-cancer survivors. Thus, there is a knowledge gap on how previous psychiatric symptoms relate to mental health after breast-cancer diagnosis among young survivors.

We sought to evaluate how individual depression and anxiety symptoms occurring before breast cancer are related to corresponding symptoms after breast-cancer diagnosis. Using a large longitudinal cohort setting with a 15-year follow-up, we focused on breast cancer in young women, which is relatively rare. To our knowledge, this is the first prospective study where possible changes in individual psychiatric symptoms before and after breast-cancer diagnosis are explored among young breast-cancer survivors. We also analyzed change in somatic symptoms before and after breast-cancer diagnosis aggregated from the 25-item Hopkins Symptom Checklist (14).

Materials and Methods

Northern Finland Birth Cohort 1966

The Northern Finland Birth Cohort 1966 (NFBC1966) is a population-based epidemiologic study consisting of people who were expected to be delivered in the northernmost provinces of Finland in the year 1966 (15, 16). At baseline, 12,058 live-born children (5,890 girls and 6,168 boys) and their parents participated in the study, which represented 96.3% of births in the northernmost provinces (16). The data were collected prospectively using questionnaires and/or clinical examinations at the following timepoints: at birth and at 1, 14, 31, and 46 years of age. Our study used data collected on females at age 31 and 46 years. A detailed description of data collection is presented in Figure 1.

The NFBC 1966 31-year follow-up study was approved by the Ethical Committee of Oulu University Faculty of Medicine on 17 June 1996 and the 46-year study on 17 September 2012 (EETTMK 94/2011)

by the Northern Ostrobothnia Hospital District Ethical Committee. The study was conducted in accordance with the guidelines of the Declaration of Helsinki and all individuals have provided a written consent for participation in this study.

The Hopkins Symptom Checklist

The Hopkins Symptom Checklist (HSCL)-25 is a 25-item self-report screening instrument developed for detecting psychiatric symptoms among various patient groups in primary care (14). Based on a two-phased epidemiologic study, the HSCL-25 is suitable for screening psychiatric disorders, such as anxiety and mood disorders, with a sensitivity and specificity of 48% and 87%, respectively (17). In this questionnaire, individuals are asked to describe their symptoms from the preceding seven days on a scale from 1 to 4, where 1 refers to "Not at all", 2 "A little", 3 "Quite a bit", and 4 "Extremely". HSCL-25 can be divided into the following two separate subscales: 15 items regarding depression and 10 items regarding anxiety. The levels of depression or anxiety symptoms are determined by calculating the mean scores of items of each subscale. For this study, we also aggregated an additional subscale consisting of eight items describing mental health related-somatic symptoms. All these symptoms are associated with depression, anxiety, or both and almost all are included as diagnostic criteria (difficulties in falling asleep, poor appetite, lack of energy or strength, and low libido for depression and palpitation and trembling for anxiety disorders, respectively) (18). Although headache is not an official criteria for depression or anxiety, according to the International Classification of Diseases (ICD)-10 headache is associated with depression, anxiety, or both in multiple studies (19, 20). All items of the HSCL-25 are presented in Table 1. In addition, we formed dichotomous variables from each item, where 1 represented asymptomatic (0) and 2-4 represented symptomatic (1).

National Registries

Invasive breast cancer cases (C50.0-C50.9) were collected from the Care Register for Health Care (CRHC) administered by the National Institute for Health and Welfare (21) and registers of the Social Insurance Institution of Finland and the Finnish Center for Pensions

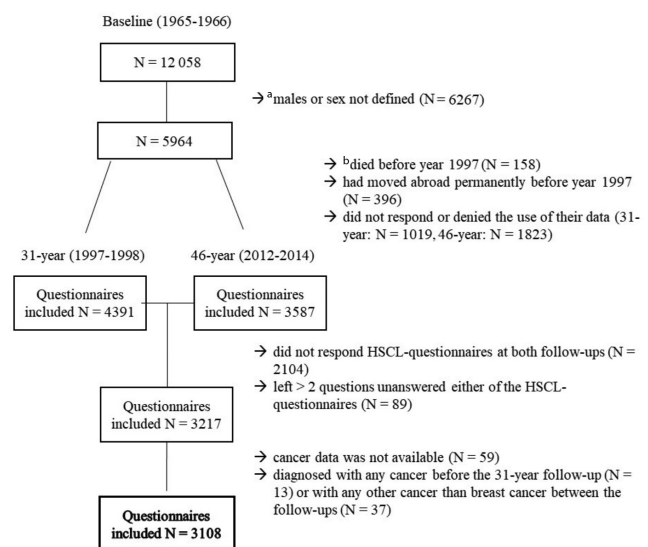


Figure 1. The selection of the study sample

HSCL: Hopkins symptom checklist

a: Live-births, 12,231 births overall

b: Stillborns also excluded, total = 173

based on the ICD-10 code. CRHC was preferred over the Finnish Cancer Registry due to the more recent update of cancer data (until the end of 2018). Data from national registers were linked to NFBC1966 using specific personal identification numbers.

Statistical Analysis

The exclusion process presented in Figure 1 was conducted prior to analysis. We chose to focus on female participants due to rarity of male breast cancer. Furthermore, we aimed to focus on breast cancer specifically so we excluded females diagnosed with other malignancies. We excluded females who left over 10% (>2 items) of HSCL unanswered. The analysis was conducted by comparing two groups, specifically individuals who were diagnosed with breast cancer between the follow-ups (BC group) and individuals who were not diagnosed with breast cancer between the follow-ups or who were not diagnosed with any cancer before the 31-year follow-up (controls). For primary analysis, Wilcoxon test was used to compare the subscale means (depression, anxiety, and somatic) between the 31-year and the 46-year follow-ups by forming the following subgroups of patients diagnosed with breast cancer: Patients diagnosed >8 years (the earliest BC group), 3–8 years (the middle BC group), and <3 years (the latest BC group) before the 46-year follow-up. For secondary analysis, we used cross-tabulation to review all 25 formed dichotomous variables separately at the 31-year and the 46-year follow-ups with Pearson’s χ^2 test and Fisher’s exact test, when appropriate. The obtained *p*-values were adjusted with Benjamini-Hochberg correction for multiple testing. Since one of our aims was to examine how a symptom occurred in the 46-year follow-up among individuals who did not have the symptom at the 31-year follow-up, we excluded individuals who had a reported symptom at the 31-year follow-up. Cells with a minimum frequency <5 were censored due to the Finnish legislation concerning patient data protection. The time period was determined by calculating the time from cancer diagnosis to date of completing the questionnaire form of the 46-year follow-up. Level of statistical significance was set to *p*<0.05 and all tests were two-tailed. Statistical analyses were performed using IBM SPSS Statistics, version 29.0 (IBM Corporation, Armonk, NY, USA).

Results

Overall, 3108 females were included for analysis and 31 (1.0%) were diagnosed with breast cancer between the 31-year and 46-year follow-ups according to national register data from 1997–2012. Mean \pm SD age at breast-cancer diagnosis was 40.7 \pm 3.45 years. Nine of the patients were diagnosed during 1997–2004 (approximately between the ages of 31 and 38 years), 10 during 2005–2008 (between 39 and 42 years), and 12 during 2009–2012 (between 43 and 46) years. Thirteen individuals were diagnosed with any cancer before the 31-year follow-up and 37 individuals were first diagnosed with other malignancies (ductal carcinoma *in situ* not included) than breast cancer between the follow-ups and were excluded from the analysis (Figure 1). Less than five individuals were diagnosed with other malignancies (ductal carcinoma *in situ* not included) after breast cancer between the follow-ups; these individuals were excluded for other reasons based on the exclusion process. Among females who participated in the 31-year follow-up, 41 died before the 46-year follow-up; breast cancer was a cause of death for less than five females.

In the primary analysis, we examined how the mean scores of subscales differed between the follow-ups among groups (the earliest BC group, the middle BC group, the latest BC group, and controls). At the 46-year follow-up, the middle BC group had a significantly higher mean score for depression (*p* = 0.0049) and for somatic subscale (*p* = 0.028) compared with the 31-year follow-up. Other groups did not have significant differences between the follow-ups at any subscales. The results of Wilcoxon tests are presented in Table 2.

When depression and anxiety symptoms at both follow-ups were explored separately, the BC group more frequently reported lack of strength or energy at the 46-year follow-up compared with controls (71.0% and 53.1%, respectively; *p* = 0.047). The proportions were similar at the 31-year follow-up (61.3% and 58.8%, respectively; *p* = 0.78). Although the feeling that their whole life has been continuous exertion was more common among the BC group (51.6%) than among controls (36.9%) at the 46-year follow-up, the difference was not statistically significant (*p* = 0.09). All results of cross-tabulations

Table 1. Anxiety and depression subscales of Hopkins Symptom Checklist and somatic subscale created for this study

Anxiety	Depression	Somatic
1. Headache	2. Difficulties of falling asleep	1. Headache
4. Being strained or stressed	3. Feeling that the future is continuous	2. Difficulties of falling asleep
7. Episodes of panic or anxiety	5. Feeling lonely	11. Dizziness or a feeling of fainting
8. Such a strong feeling of restlessness that it has been difficult to sit still	6. Feeling that the whole life has been continuous exertion	13. Sexual interest missing or unable to enjoy sex
10. Being nervous and a feeling of restlessness	9. Feeling of worthlessness	14. Lack of strength or energy
11. Dizziness or a feeling of fainting	12. Worries	16. Trembling
16. Trembling	13. Sexual interest missing or unable to enjoy sex	17. Poor appetite
20. A sudden feeling of restlessness without a good reason	14. Lack of strength or energy	25. Palpitation
24. Anxiety	15. Suicidal thoughts	
25. Palpitation	17. Poor appetite	
	18. Crying easily	
	19. Feelings of being locked up or trapped	
	21. Self-reproach	
	22. Low spirits	
	23. Lack of interest	

Table 2. Results of Wilcoxon Single-Rank test for breast cancer groups

Subscale	The earliest BC group (n = 9) >8 years		The middle BC group (n = 10) 3-8 years		The latest BC group (n = 12) <3 years		Control group (n = 3077)	
	Mean	p	Mean	p	Mean	p	Mean	p
Anxiety 31-year	1.37	0.59	1.31	0.31	1.29	0.076	1.32	0.13
Anxiety 46-year	1.29		1.43		1.18		1.32	
Depression 31-year	1.39	0.95	1.36	0.0049*	1.27	0.86	1.38	0.68
Depression 46-year	1.38		1.63		1.29		1.39	
Somatic 31-year	1.50	0.91	1.34	0.028*	1.39	0.053	1.37	0.056
Somatic 46-year	1.51		1.53		1.27		1.39	

*: Statistical significance ($p < 0.05$); BC: Breast cancer

of individual items of HSCL at both follow-ups are presented in Supplemental Table 1. In the secondary analysis, where all 25 items of HSCL were explored separately, among females who were asymptomatic at the 31-year follow-up, the feeling that the future is hopeless occurred more frequently among the BC group than controls at the 46-year follow-up (42.3% and 20.4%, respectively; $p = 0.006$). Although no statistically significant differences were found among individuals who were already symptomatic at the 31-year follow-up, most results were censored due to the low number of events. All results of cross-tabulations of individual items of HSCL are presented in Supplemental Table 2.

Discussion and Conclusion

To the best of our knowledge, this is the first study where prospectively assessed psychiatric symptoms before and after breast-cancer diagnosis were explored both individually and grouped into subscales. Our main finding was that individuals diagnosed with breast cancer 3–8 years before the 46-year follow-up reported significantly more symptoms of depression and mental-health related somatic symptoms than before the cancer diagnosis. Individuals diagnosed >8 years or <3 years before the 46-year follow-up had no significant changes in psychiatric symptoms. A large proportion of somatic subscale symptoms assessed in this study, such as headache, difficulties falling asleep, loss of sexual interest or inability to enjoy sex, lack of strength or energy, and poor appetite are related to depression (19, 20, 22) and these symptoms were also included in the depression subscale. This may explain why the means of both subscales were significantly higher among the middle BC group. The increase in symptoms among individuals diagnosed 3–8 years before assessing post-cancer symptoms may be explained by ongoing adjuvant treatment or long-term side effects of treatments, which may lead to experiencing more depressive and somatic symptoms. Our finding is consistent with findings from a prospective follow-up study of 164 women with breast cancer by Breidenbach et al. (23), where depression levels increased at 5 to 6 years post-diagnosis follow-up when compared with levels at 40 weeks post-diagnosis follow-up. Younger age (<50 years) was one of the predictors for depression at 5 to 6 years after diagnosis (23). An explanation for the finding that there was no increase in symptoms in the earliest BC group (where cancer was diagnosed >8 years previously) may be that they have lived the longest period after cancer diagnosis when participating in the 46-year follow-up. The tumor biology of

earlier-onset breast cancer tends to be more aggressive, which increases the risk of recurrence and leads to poorer disease-free survival (24). This may explain why >8 years cancer-free time feels more secure and breast cancer would accordingly have less impact on mental health. However, the possibility of small-sample bias has to be considered due to relatively small subgroup sizes.

The latest BC group reported no significant changes in any psychiatric symptom subscales even though this group was diagnosed with breast cancer a relatively short time ago (within 3 years before the 46-year follow-up). In the short-term, there is a possibility of a well-being paradox, when becoming severely ill reshapes an individual's perception of health and priorities in life, such as the value of relationships and the ability to work (25). In addition, coping strategies, such as focusing on positivity amidst negativity, may be present (25, 26). Cancer treatments often require frequent hospital visits and check-ups, which may bring a sense of security during the treatment period. Therefore, transitioning from the treatment to follow-up period may give space for negative emotions regarding the cancer diagnosis. At least two earlier studies that used the Hospital Anxiety and Depression scale at pre-treatment and post-treatment follow-ups have shown that depressive and anxiety symptoms are highest at the diagnostic phase but are already decreasing by the treatment period (27, 28). Avis et al. (9) also reported that among women aged 24 to 54 years diagnosed with breast cancer, depressive symptom levels were highest at baseline but decreased during the follow-up of 24 months. However, the depressive symptom levels were higher compared to women aged ≥ 55 years (9). In the 20-year follow-up of the Women Health Initiative (WHI) observation study by Jones et al. (13), depressive symptoms increased, peaking at 1-year post-diagnosis compared with pre-diagnostic levels, and continued to be higher until after 10 years post-diagnosis, when the levels returned to pre-diagnosis levels.

When exploring all 25 items of HSCL individually, individuals diagnosed with breast cancer more often reported a lack of strength or energy compared with controls at the 46-year follow-up, which is consistent with previous studies of fatigue among breast-cancer patients (4, 29). This finding highlights that somatic symptoms, such as fatigue, should be considered as an important factor when evaluating the mental health of females diagnosed with breast cancer. Seventy-one percent who reported lack of strength or energy is a relatively high proportion, which further highlights the clinical importance of this symptom. However, this excess may also be explained by the

dichotomous variable setting, where a mild (“a little”) experience of symptom is considered as a positive symptom. Nevertheless, these single question in this part of the HSCL cannot be considered as a straightforward comparison of cancer-related fatigue, which can be assessed using specific instruments (29, 30). Consistent with the life-threatening nature of breast cancer, when compared with individuals who did not have the feeling that the future is hopeless at the 31-year follow-up, significantly more individuals diagnosed with breast cancer reported this feeling at the 46-year follow-up compared with controls. As discussed above, breast cancers in younger women are usually more aggressive subtypes (24), and young breast-cancer survivors often experience the uncertainty of the future while having a crucial role not only as a caretaker but also being in a critical phase of career progression (31).

To the best of our knowledge, only two previous studies prospectively examined the mental health of women diagnosed with breast cancer (including the pre-diagnostic phase when there is no suspicion of cancer) (8, 13). Kroenke et al. (8) compared pre- and post-diagnostic levels of general mental health and revealed that general mental health declined more among survivors aged <40 years compared to older survivors. However, the status of mental health was not thoroughly explored (8). Jones et al. (13) examined levels of depressive symptoms at pre-diagnosis phase in the WHI observation study from 1993 to 2013. According to a systematic review, anxiety peaked after completing treatment among young breast-cancer survivors and in those who had previous mental health problems, but this also included the mental health status at baseline and was not limited to the pre-diagnosis period (32). Like our study, some of the previous studies did not specify the treatment types the patients received (13, 27) or the treatment types were not adjusted with the results (23, 28). The mean age of breast-cancer patients in the studies described above was 47.2 to 56.9 years (4, 27, 28, 33). However, the study of WHI was limited to postmenopausal women (mean age 62.7 years) (13). Similar to our study, none of the studies focused on prospectively assessed depression and anxiety symptoms before and after breast-cancer diagnosis specifically in younger breast-cancer patients.

Some limitations of the study should be acknowledged. Due to the small number of individuals diagnosed with breast cancer between the follow-ups, we were not able to interpret all results due to the requirement to ensure anonymity. The small sample size of breast-cancer survivors may lead to higher variability of reported results and further to bias. While most previous studies focused on disease-free survivors, the recurrence or treatment status of individuals with breast cancer at the 46-year follow-up was not known in this study. Survival bias may also be present as we excluded those who died between the follow-ups. Fewer than five individuals who died between the follow-ups had breast cancer as a cause of death. However, due to Finnish legislation, we were not able to classify which time period the deaths occurred in and if the individuals had breast-cancer diagnosis at the 31-year follow-up. We were also not able to adjust for possible confounders, such as characteristics of breast-cancer biological subtype, staging, administered treatments, or characteristics of an individual (age at diagnosis, marital status, family income, body mass index). When exploring somatic symptoms, we could not exclude those who had other somatic diseases besides breast cancer. However, this effect is likely very small, as Bekhuis et al. (22) did not find any chronic somatic diseases as a confounder while showing significant independent associations of multiple somatic symptom clusters among individuals with depression, anxiety disorders, or both.

The HSCL questionnaire asks individuals to report symptoms during the past week, which may lead to recall bias. The somatic subscale of HSCL was aggregated empirically for this study to explore changes in reported somatic symptoms between the follow-ups and therefore have not been validated in a clinical arrangement. It is also important to acknowledge that many other factors such as childhood traumas and other adverse life-events, current financial, psychological and social burdens and different levels of mental resources may play a role in mental health of a female with breast-cancer diagnosis at the follow-ups. Although it was not possible to conduct due to small sample size in this study, these should be considered as potential confounders in future studies.

The study also has multiple strengths. This study used prospective and structured information on psychiatric symptoms collected in the pre-diagnosis period, particularly before suspicion of breast cancer, and compared these data to post-diagnosis data. HSCL is a reliable tool for comprehensive symptom assessment and screening of depression and anxiety disorders (17). The combination of a socioeconomically and demographically diverse population of NFBC1966 and universal healthcare allowed for conditions similar to real life, at least in high-income regions. Moreover, the amount of reported cancer cases is highly reliable due to the accurate registry data (34).

This study prospectively examined collected pre- and post-diagnosis psychiatric symptoms of young females diagnosed with breast cancer. To the best of our knowledge, such a study has not been conducted before. Individuals diagnosed >8 years or <3 years before the 46-year follow-up had no differences in anxiety, depression, or somatic symptom subscales of HSCL between the follow-ups, while individuals diagnosed 3–8 years before reported significantly more depression and somatic symptoms. The occurrence of somatic symptoms, such as headache, lack of strength or energy, lack of sexual interest, and poor appetite during long-term follow-up of breast-cancer patients may be related to depression, which should be considered as potential indicators of mental health problems and may be a way to identify and provide targeted psychosocial intervention for those who need it the most. Although we explored a broad selection of psychiatric symptoms prospectively before and after breast-cancer diagnosis, apart from the feeling that the future is hopeless, individual symptoms of HSCL reported before breast-cancer diagnosis did not significantly predict the psychiatric symptomatology at the post-diagnosis follow-up. However, most of the symptoms were censored due to the low event count. Therefore, more research with larger study populations is needed to assess how previous psychiatric symptoms of young breast-cancer survivors may play a role in their mental health after breast cancer.

Ethics Committee Approval: Study was approved by the Ethical Committee of Oulu University Faculty of Medicine on 17 June 1996 and the 46-year study on 17 September 2012 (EETTMK 94/2011) by the Northern Ostrobothnia Hospital District Ethical Committee.

Informed Consent: All individuals have provided a written consent for participation in this study.

Authorship Contributions

Concept: A.T., A.J., P.K., J.M., S.R.; Design: A.J., P.K., J.M., S.R.; Data Collection and/or Processing: A.T., A-E.A., T.N.; Analysis and/or Interpretation: A.T.; Literature Search: A.T.; Writing: A.T., A.J., A-E.A., T.N., P.K., J.M., S.R.

Conflict of Interest: The authors have no conflicts of interest to declare.

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Supplemental Table 1. Symptoms of Hopkins Symptom Checklist (HSCL) at the 31-year and the 46-year follow-ups

HSCL symptoms	Follow-up	Asymptomatic (1 = not at all)		Symptomatic (2 = a little bit, 3 = quite a bit, 4 = extremely)		Comparison between symptomatic and asymptomatic stratified by BC status
		Controls, n (%)	Individuals with BC, n (%)	Controls, n (%)	Individuals with BC, n (%)	
1. Headache (A, S)	31-y	1181 (38.5)	9 (29.0)	1887 (61.5)	22 (71.0)	0.28
	46-y	1307 (42.6)	10 (32.3)	1760 (57.4)	21 (67.7)	0.25
2. Difficulties in falling asleep (D, S)	31-y	2073 (67.4)	22 (71.0)	1002 (32.6)	9 (29.0)	0.67
	46-y	1890 (61.6)	18 (58.1)	1178 (38.4)	13 (41.9)	0.69
3. Feeling that the future is hopeless (D)	31-y	2229 (72.6)	26 (83.9)	841 (27.4)	5 (16.1)	0.16
	46-y	2222 (72.5)	19 (61.3)	844 (27.5)	12 (38.7)	0.17
4. Being strained or stressed (A)	31-y	1015 (33.1)	6 (19.4)	2056 (66.9)	25 (80.6)	0.11
	46-y	1048 (34.2)	10 (32.3)	2020 (65.8)	21 (67.7)	0.82
5. Feeling lonely (D)	31-y	2192 (71.2)	≥27 (≥87.1)	885 (28.8)	<5 (<12.9)	**
	46-y	2205 (71.9)	24 (77.4)	860 (28.1)	7 (22.6)	0.50
6. Feeling that the whole life has been continuous exertion (D)	31-y	2113 (68.8)	21 (70.0)	960 (31.2)	9 (30.0)	0.88
	46-y	1941 (63.1)	15 (48.4)	1133 (36.9)	16 (51.6)	0.090
7. Episodes of panic or anxiety (A)	31-y	2603 (84.7)	≥27 (≥87.1)	469 (15.3)	<5 (<12.9)	**
	46-y	2629 (85.8)	25 (80.6)	434 (14.2)	6 (19.4)	0.43 ^a
8. Such a strong feeling of restlessness that it has been difficult to sit still (A)	31-y	2713 (88.3)	≥27 (≥87.1)	361 (11.7)	<5 (<12.9)	**
	46-y	2766 (90.0)	≥27 (≥87.1)	309 (10.0)	<5 (<12.9)	**
9. Feeling of worthlessness (D)	31-y	2288 (74.5)	24 (77.4)	782 (25.5)	7 (22.6)	0.71
	46-y	2270 (73.9)	24 (77.4)	803 (26.1)	7 (22.6)	0.65
10. Being nervous and a feeling of restlessness (A)	31-y	1680 (54.8)	13 (41.9)	1384 (45.2)	18 (58.1)	0.15
	46-y	1940 (63.2)	21 (67.7)	1129 (36.8)	10 (32.3)	0.60
11. Dizziness or a feeling of fainting (A, S)	31-y	2433 (79.1)	23 (74.2)	643 (20.9)	8 (25.8)	0.51
	46-y	2454 (79.9)	≥27 (≥87.1)	618 (20.1)	<5 (<12.9)	**
12. Worries (D)	31-y	877 (28.6)	8 (25.8)	2191 (71.4)	23 (74.2)	0.73
	46-y	1011 (32.9)	8 (25.8)	2064 (67.1)	23 (74.2)	0.40
13. Sexual interest missing or unable to enjoy sex (D, S)	31-y	1768 (57.5)	19 (61.3)	1306 (42.5)	12 (38.7)	0.67
	46-y	1835 (59.8)	16 (51.6)	1233 (40.2)	15 (48.4)	0.35
14. Lack of strength or energy (D, S)	31-y	1268 (41.2)	12 (38.7)	1807 (58.8)	19 (61.3)	0.78
	46-y	1440 (46.9)	9 (29.0)	1630 (53.1)	22 (71.0)	0.047*
15. Suicidal thoughts (D)	31-y	2984 (97.0)	≥27 (≥87.1)	93 (3.0)	<5 (<12.9)	**
	46-y	2955 (96.1)	≥27 (≥87.1)	119 (3.9)	<5 (<12.9)	**
16. Trembling (A, S)	31-y	2933 (95.3)	31 (100)	144 (4.7)	0 (0)	0.40 ^{ab}
	46-y	2912 (94.8)	≥27 (≥87.1)	161 (5.2)	<5 (<12.9)	**
17. Poor appetite (D, S)	31-y	2795 (90.9)	25 (80.6)	281 (9.1)	6 (19.4)	0.060 ^a
	46-y	2821 (91.9)	≥27 (87.1)	249 (8.1)	<5 (<12.9)	**
18. Crying easily (D)	31-y	2137 (69.5)	22 (71.0)	937 (30.5)	9 (29.0)	0.86
	46-y	2287 (74.6)	22 (71.0)	780 (25.4)	9 (29.0)	0.65

Supplemental Table 1. Continued

HSCL symptoms	Follow-up	Asymptomatic (1 = not at all)		Symptomatic (2 = a little bit, 3 = quite a bit, 4 = extremely)		Comparison between symptomatic and asymptomatic stratified by BC status
		Controls, n (%)	Individuals with BC, n (%)	Controls, n (%)	Individuals with BC, n (%)	
19. Feelings of being locked up or trapped (D)	31-y	2863 (93.1)	≥27 (≥87.1)	212 (6.9)	<5 (<12.9)	**
	46-y	2924 (95.2)	31 (100)	147 (4.8)	0 (0)	0.40 ^{ab}
20. A sudden feeling of restlessness without a good reason (A)	31-y	2701 (87.8)	≥27 (≥87.1)	375 (12.2)	<5 (<12.9)	**
	46-y	2748 (89.4)	≥27 (≥87.1)	325 (10.6)	<5 (<12.9)	**
21. Self-reproach (D)	31-y	2318 (75.4)	25 (80.6)	757 (24.6)	6 (19.4)	0.50
	46-y	2368 (77.0)	23 (74.2)	706 (23.0)	8 (25.8)	0.71
22. Low spirits (D)	31-y	1783 (58.0)	18 (58.1)	1290 (42.0)	13 (41.9)	1.00
	46-y	1847 (60.1)	20 (64.5)	1227 (39.9)	11 (35.5)	0.62
23. Lack of interest (D)	31-y	1954 (63.5)	19 (61.3)	1121 (36.5)	12 (38.7)	0.80
	46-y	1971 (64.2)	19 (61.3)	1100 (35.8)	12 (38.7)	0.74
24. Anxiety (A)	31-y	2609 (84.8)	31 (100)	466 (15.2)	0 (0)	0.010 ^{*ab}
	46-y	2612 (85.4)	25 (80.6)	448 (14.6)	6 (19.4)	0.44 ^a
25. Palpitation (A, S)	31-y	2565 (83.4)	26 (83.9)	511 (16.6)	5 (16.1)	0.94
	46-y	2330 (76.3)	≥27 (≥87.1)	725 (23.7)	<5 (<12.9)	**

^a: More than 20% of cells in this subtable have expected cell counts less than 5 and Fisher’s test is conducted.

^b: The minimum expected cell count in this subtable is less than one.

^{*}: Statistical significancy ($p < 0.05$, Benjamini-Hochberg)

^{**}: p -value is censored due to cell count being less than five, non-cancer controls total = 3071 and individuals with breast cancer (BC) total = 31. A = A symptom of anxiety subscale, D = a symptom of depression subscale and S = a symptom of somatic subscale

Supplemental Table 2. Symptoms of Hopkins Symptom Checklist (HSCL) occurring at the 46-year follow-up among individuals diagnosed for breast cancer (BC group) and non-cancer controls

HSCL symptoms	Group	Did not have the symptom at the 31-year follow-up			Had the symptom at the 31-year follow-up		
		Total, n	Occurs at the 46-year follow-up, n (%)	p	Total, n	Occured at the 46-year follow-up, n (%)	p
1. Headache (A, S)	Controls	1180	493 (41.7)	**	1878	1264 (67.0)	0.93
	BC group	9	≥5 (≥55.6)				
2. Difficulties in falling asleep (D, S)	Controls	2067	654 (31.5)	0.99	999	522 (52.1)	**
	BC group	22	7 (31.8)				
3. Feeling that the future is hopeless (D)	Controls	2222	454 (20.4)	0.0062*	2048	1493 (72.6)	0.73
	BC group	26	11 (42.3)				
4. Being strained or stressed (A)	Controls	2184	456 (20.8)	0.94	959	542 (56.5)	**
	BC group	28	6 (21.4)				
6. Feeling that the whole life has been continuous exertion (D)	Controls	2111	590 (27.9)	0.30	9	≥5 (≥55.6)	0.13 ^a
	BC group	21	8 (38.1)				
7. Episodes of panic or anxiety (A)	Controls	2593	293 (11.3)	**	1382	699 (50.5)	0.61
	BC group	29	6 (20.7)				
8. Such a strong feeling of restlessness that it has been difficult to sit still (A)	Controls	2711	202 (7.4)	**	18	8 (44.4)	0.94
	BC group	29	<5 (<13.8)				
9. Feeling of worthlessness (D)	Controls	2285	444 (19.4)	**	1304	673 (51.5)	**
	BC group	24	<5 (<20.8)				
10. Being nervous and a feeling of restlessness (A)	Controls	1674	425 (25.3)	**	1806	1145 (63.4)	0.35
	BC group	13	<5 (<30.8)				
11. Dizziness or a feeling of fainting (A, S)	Controls	2430	390 (16.0)	**	2189	1634 (74.6)	0.94
	BC group	23	<5 (<17.4)				
12. Worries (D)	Controls	877	424 (48.3)	**	23	17 (73.9)	0.60 ^{ab}
	BC group	8	≥5 (≥62.5)				
13. Sexual interest missing or unable to enjoy sex (D, S)	Controls	1761	559 (31.6)	0.64	12	≥8 (≥66.7)	1.00 ^{ab}
	BC group	19	7 (36.8)				
14. Lack of strength or energy (D, S)	Controls	1262	484 (38.2)	**	19	14 (73.7)	**
	BC group	12	≥8 (≥66.7)				
15. Suicidal thoughts (D)	Controls	2981	95 (3.2)	1.00 ^{ab}	281	55 (19.6)	0.60 ^{ab}
	BC group	30	0 (0)				
16. Trembling (A, S)	Controls	2929	125 (4.3)	**	6	0 (0)	0.17 ^a
	BC group	31	<5 (<12.9)				
17. Poor appetite (D, S)	Controls	2788	194 (6.9)	**	934	362 (38.6)	**
	BC group	25	<5 (<16.0)				
18. Crying easily (D)	Controls	2130	417 (19.5)	0.17 ^a	9	<5 (<44.4)	0.63 ^a
	BC group	22	7 (31.8)				
19. Feelings of being locked up or trapped (D)	Controls	2858	113 (3.9)	0.63 ^a	30	0 (0)	
	BC group	30	0 (0)				

Supplemental Table 2. Continued

HSCL symptoms	Group	Did not have the symptom at the 31-year follow-up			Had the symptom at the 31-year follow-up		
		Total, n	Occurs at the 46-year follow-up, n (%)	p	Total, n	Occured at the 46-year follow-up, n (%)	p
20. A sudden feeling of restlessness without a good reason (A)	Controls	2697	212 (7.8)	**			
	BC group	27	<5 (<14.8)				
21. Self-reproach (D)	Controls	2315	395 (17.0)	**			
	BC group	25	<5 (<16.0)				
22. Low spirits (D)	Controls	1781	494 (27.7)	1.00 ^a	1289	732 (56.7)	0.44
	BC group	18	5 (27.8)		13	6 (46.2)	
23. Lack of interest (D)	Controls	1950	513 (26.3)	0.30	1119	585 (52.2)	0.46
	BC group	19	7 (36.8)				
24. Anxiety (A)	Controls	2593	287 (11.0)	0.15 ^a			
	BC group	31	6 (19.4)				
25. Palpitation (A, S)	Controls	2547	519 (20.2)	**			
	BC group	26	<5 (<15.4)				

^a: More than 20% of cells in this subtable have expected cell counts less than 5 and Fisher's test is conducted.

^b: The minimum expected cell count in this subtable is less than one.

*: Statistical significancy ($p < 0.05$, Benjamini-Hochberg)

**^c: p -value is censored due to cell count being less than five, non-cancer controls total = 3071 and individuals with breast cancer (BC) total = 31.

A = A symptom of anxiety subscale, D = a symptom of depression subscale and S = a symptom of somatic subscale



Effect of Flaxseed on Pain Relief and Quality of Life in Patients With Mastalgia: A Single Arm Interventional Study

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ABSTRACT

Objective: Mastalgia, a common complaint among women, denotes breast discomfort that can manifest as cyclical or non-cyclical. Reassurance, mechanical support and various non-pharmacological treatments, like flaxseeds, have been seen to have a good effect in treating mastalgia. Thus, the aim of this study was to investigate the efficacy of flaxseed in alleviating pain associated with mastalgia and its impact on the overall health-related quality of life among female patients.

Materials and Methods: Conducted at a tertiary care center in Northern India over 18 months, it employed a single-arm interventional design. The participants included females aged 18 years and older presenting with breast pain at the Department of General Surgery. The intervention involved daily consumption of 30 g of milled flaxseed for each participant, administered over a period of six months. Pain severity was assessed using the visual analogue scale (VAS) before supplementation and at follow-up intervals up to six months. Concurrently, the Short Form-12 (SF-12) items Health Survey measured health-related quality of life, encompassing both physical and mental health domains. Statistical analysis employed parametric (paired t-test) and non-parametric tests (chi-square, McNemar) where appropriate, with statistical significance set at $p < 0.05$.

Results: Two hundred women with mastalgia were included with a significant reduction in mean VAS scores from 6.03 ± 0.83 at baseline to 2.19 ± 0.66 at six months post-intervention ($p = 0.0001$). This reduction in pain intensity demonstrated a positive correlation with duration of flaxseed supplementation, notably declining after the initial three months. The mean difference in physical and mental SF-12 score at first visit and at 6 months after intervention was significant ($p = 0.0001$).

Conclusion: This study underscores the potential of flaxseed as a therapeutic option for managing mastalgia and enhancing health-related quality of life among affected individuals.

Keywords: Flaxseed; mastalgia; pain measurement; quality of life; SF-12

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Key Points

- Mastalgia, a common complaint among women, denotes breast discomfort that can manifest as cyclic or non-cyclic. Reassurance, mechanical support and various non-pharmacological treatments like flaxseeds have been seen to have a good effect in treating mastalgia. So, the aim of this study was to investigate the efficacy of flaxseed in alleviating pain associated with mastalgia and its impact on the overall health-related quality of life among female patients.

Introduction

Mastalgia, a common complaint among women, is the term used to describe breast discomfort. Mastalgia may be cyclical or non-cyclical (1). While the exact etiology of mastalgia remains multifactorial and often elusive, hormonal fluctuations, particularly in relation to the menstrual cycle, are frequently implicated. Furthermore, lifestyle factors, such as stress, caffeine intake, and diet have been suggested as potential contributors to its prevalence (2). The complex interplay of physiological, psychological, and environmental factors underscores the need for tailored approaches in understanding and managing this prevalent breast-related symptomatology (3).

Reassurance, mechanical support and various non-pharmacological treatments, like flaxseeds, have been seen to have a good effect in treating mastalgia (4, 5). The seeds of flax (*Linum usitatissimum*) have garnered attention for their potential impact on various aspects of human health. Rich in alpha-linolenic acid (ALA), a plant-based omega-3 fatty acid, flax seeds have been associated with cardiovascular benefits, such as a reduction in blood pressure and improvement in lipid profiles (6). In addition, the lignans present in flax seeds, particularly secoisolariciresinol diglucoside, exhibit antioxidant properties and may contribute to anti-inflammatory effects within the body (7). The soluble fibre content of flax seeds, primarily in the form of mucilage

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gums, has been linked to gastrointestinal health by promoting regular bowel movements and potentially mitigating constipation (7, 8). Thus there is evidence that flaxseed helps in overall improvement in quality of life of an individual. There is a paucity of literature concerning the use of flaxseed for treating mastalgia and its effect on overall health. Therefore, the aim of this study was to measure the effect of flaxseed in reducing pain in mastalgia and the role of daily flaxseed intake in overall health related quality of life in patients with mastalgia.

Materials and Methods

Study Design and Setting

This was a single arm, interventional study conducted at the Department of General Surgery of a tertiary care centre in Northern India over a period of 18 months.

Study Participants

Any female patient, aged 18 years or above, coming to the Department of General Surgery with breast pain was eligible as a subject for this study. Those who were pregnant, who had not yet achieved menarche, skipped medication for three consecutive days or five periodic days, or with a history of breast cancer or congenital anomalies were excluded from the study. Those unwilling to participate were also excluded from the study.

Sample Size: For the purpose of sample size estimation, two studies were used (9). The sample size formula used was:

$$X = (Z_{1-\alpha/2} + Z_{1-\beta})^2 * 2 \sigma^2 / d^2,$$

$Z_{1-\alpha/2}$ - critical value of the normal distribution at $\alpha/2$ (for a confidence level of 95%, $\alpha = 0.05$ and the critical value was 1.96).

$Z_{1-\beta}$ - critical value of the normal distribution at β (for power of 80%, $\beta = 0.2$ and the critical value was 0.84).

σ^2 - Pooled variance calculated using the change in mean visual analogue scale (VAS) score before and after taking flaxseed (value was 1.25).

d - hypothesized difference (difference in the mean in the intervention group from baseline) (value was 0.6) (9).

To detect a hypothesized difference of 0.6 units in the outcome measure, at 80% power and 95% confidence interval, the required minimum sample size was 171. Taking an estimated 10% drop out rate, the final sample size was a minimum of 188 patients.

Study Procedure

Any female patient presenting with the complaint of breast pain and aged over 18 years was eligible. After applying exclusion criteria the remaining women were instructed in the use of the VAS, and written and informed consent was obtained. After that a detailed history was taken, including breast pain history, followed by a thorough physical examination. Investigations, such as breast ultrasonography (USG) including axilla USG if indicated, mammography and fine needle aspiration cytology was advised as per patient's symptoms and signs.

Mechanical support and reassurance were given to all the patients by counselling her that symptoms are not associated with any major or serious breast conditions, especially cancer. Reassurance was also supported by normal findings on investigation. Each woman received

30 g of milled flaxseed, which was taken with a glass of water, juice, milk, soup or yogurt daily. Severity of pain was assessed before supplementation of flaxseed and every follow-up up to 6 months after starting supplementation of flaxseed.

Flaxseed used in this study was milled and consumed by dissolving it into a glass of water using a tablespoon (1 tbs-15g x2) per day. It should be noted that we did not measure the composition of the flaxseed used in our study. Instead, we obtained this information from the literature. A measure of 10 g ground flaxseed supplement was reported to provide approximately 50 kcal, 2.4 g of protein, 3.6 g of fat (50–60% α -linolenic acid), 2.4 g of carbohydrate, and 2.2 g of dietary fibre (including 1.2 g of soluble fibre) (8). Each of these measures should be increased three-fold for the daily doses received by the participants in our study.

Tablet Paracetamol 650 mg was given for patient on SOS if the pain was of severe intensity. Quantity of tablet Paracetamol consumed was noted.

Health-related quality of life was measured using the The Short Form-12 (SF-12) Health Survey. SF-12 items Health Survey is a condensed version of the Short Form-36 (SF-36) items Health Survey, designed to gauge an individual's subjective perception of health as biopsychosocial well-being. The SF-12 addresses various aspects of physical health (e.g., "Have you experienced difficulties, such as climbing flights of stairs, in your work or daily activities due to your physical health?") and mental health (e.g., "Have you felt down-hearted and blue?"). The overall scores generate a physical health index (PSF-12) and a mental health index (MSF-12), with lower scores indicating higher levels of disability. In the current sample, both subscales demonstrated adequate internal consistency (PSF-12: $\omega = 0.80$; MSF-12: $\omega = 0.85$) (10).

A predesigned proforma, especially designed for this study, was used to record relevant information for each individual patient.

Statistical Analysis

The effect of flaxseed was defined by either a reduction in the severity of pain to lower pain or a decrease in pain duration (days) based upon the VAS scale. In the statistical analysis, parametric or non-parametric tests were used, as appropriate. The parametric tests used was the paired sample t-test and the non-parametric test was chi-square and the McNemar test. A value of $p < 0.05$ was considered to be statistically significant. R statistical software, version 4.2.1 used for statistical analysis.

Informed consent was obtained from all the participants. Ethical approval for the study was obtained from the Dr. Ram Manohar Lohia Institute of Medical Sciences Ethical Committee (approval number: 96/22, date: 15.09.2022). Confidentiality in respect of participating patients was maintained.

Results

A total of 200 women with mastalgia were treated with flaxseed. The mean age of the study population was 34.3 ± 4.7 years. Most of the study participants lived in urban areas (70.5%), a quarter were illiterate (25.5%) followed by intermediate level of education (23%). Moreover, 60% were unemployed and 90.5% were married. Most of the study participants were of lower middle socio-economic status (28%) followed by middle socioeconomic status (22.5%). Of 200

patients, 104 (52%) were in the normal weight body mass index (BMI) category 18.5–24.9 kg/m² (Table 1).

Most of the study participants were multiparous (94%) and 93% had breastfed their babies. With respect to menstrual history, 82.5% had normal bleeding, 85% had normal regularity and 73% were

painless (Table 2). The mean VAS score at first visit was 6.03±0.83. At one month after flaxseed administration mean VAS was 4.00±0.79 and at three and six months after flax seed administration, VAS was 2.72±0.63 and 2.19±0.66, respectively. This difference in mean VAS scores at various intervals was significant (*p* = 0.0001) (Table 3).

Table 1. Baseline characteristics of the study participants (n = 200)

Variable		n	%
Residence	Rural	59	29.5%
	Urban	141	70.5%
Education	Illiterate	51	25.5%
	Primary	41	20.5%
	Secondary	30	15%
	Intermediate	46	23%
Employment	Graduate and above	32	16%
	Employed	78	39%
	Unemployed	122	61%
Marital status	Married	181	90.5%
	Unmarried	19	9.5%
Socioeconomic status	Lower	42	21%
	Lower middle	56	28%
	Middle	45	22.5%
	Upper middle	31	15.5%
	Upper	26	13%
Body mass index	<18.5 kg/m ²	11	5.5%
	18.5–24.9 kg/m ²	104	52%
	25–29.9 kg/m ²	76	38%
	>30 kg/m ²	9	4.5%

Table 2. Distribution of study participants on the basis of menstrual and birth history

Variable		n	%
Parity	Nulliparous	12	6.0%
	Multiparous	188	94.0%
Breast feeding	No	14	7%
	Yes	186	93%
Menstrual bleeding	Scanty	8	4%
	Normal	165	82.5%
	Heavy	27	13.5%
Menstrual regularity	Polymenorrhea	8	4%
	Normal	170	85%
	Oligomenorrhea	22	11%
Pain during or before menstruation	Painless	146	73.0%
	Painful	54	27.0%

The mean difference of VAS score from baseline to one month after flax seed administration was 2.03 ± 0.78 . This difference in mean VAS score had a positive and strong correlation ($r = 0.646$; $p = 0.0001$). The mean difference of VAS score from first visit to 3 months after flax seed administration was 3.31 ± 0.96 . This difference in mean VAS score had a moderate positive correlation ($r = 0.542$; $p = 0.0001$). The mean difference in VAS score from first visit to six months was 4.12 ± 0.95 , again with a moderate positive correlation ($r = 0.565$; $p = 0.0001$) (Table 4).

Changes in mean VAS scores among the 200 women with mastalgia, categorized by BMI grouping (underweight, normal, overweight and obese) were compared (Table 5). At the first visit, mean VAS scores were slightly higher in participants with higher BMI, but the differences were not significant. At three months, pain levels decreased across all BMI categories, with higher BMI groups still reporting slightly higher pain, yet without significant differences between the

groups. By six months, pain reduction was sustained, and VAS scores were similar across all BMI groups, showing no significant differences. Overall, pain levels decreased over time regardless of BMI, indicating that BMI did not significantly influence the change in pain levels. The mean improvement in physical and mental SF-12 score at first visit and at six months after intervention was significant (Table 6).

Discussion and Conclusion

Our study prospectively assessed women with mastalgia and advised intake of 30 g of flaxseed daily for six months to assess its role in relieving mastalgia. During the study period 74 females with mastalgia with no underlying cause were enrolled.

The mean age of women in our cohort with mastalgia was 34.3 ± 4.7 years which was similar to the age reported by Fakhravar et al. (11), and Mohammed (12), in their studies, suggesting that the most

Table 3. Descriptive statistics VAS score of study participants at first visit and at follow-up after intervention

Visual analogue scale	Mean ± standard deviation	Greenhouse geisser value	p
First visit	6.03±0.83	0.613	0.0001
1 month	4.00±0.79		
3 months	2.72±0.63		
6 months	2.19±0.66		

Table 4. Change in VAS at various follow-up from baseline

VAS	Mean difference ± standard deviation	Correlation coefficient (r)	t-value	p
VAS First visit & VAS 1 month	2.03±0.78	0.646	9.480	0.0001
VAS First visit & VAS 3 month	3.31±0.96	0.542	22.474	0.0001
VAS First visit & VAS 6 month	4.12±0.95	0.565	21.726	0.0001

VAS: Visual analogue scale

Table 5. Change in mean VAS at each follow-up based on the BMI of the study participants

VAS	BMI (kg/m ²)				p
	<18.5	18.5–24.9	25–29.9	≥30	
First visit	6.01±0.27	6.11±0.21	6.32±0.56	6.66±0.41	0.414
3 months	2.45±0.13	2.96±0.71	3.61±0.84	3.74±1.01	0.312
6 months	2.11±0.49	2.28±0.68	2.35±0.77	2.37±0.61	0.992

VAS: Visual analogue scale; BMI: Body mass index

Table 6. Effect of flaxseed on overall quality of life of study participants

SF-12 score	First visit	After 6 months of treatment	p
Physical SF-12 score	56.03±15.83	83.36±7.61	0.0001
Mental SF-12 score	64.71±11.79	84.27±5.32	0.0001

SF-12: Short Form-12

common occurrence of mastalgia was seen around 35 years of age and this was statistically significant. Moreover, the majority of the patients with mastalgia in our cohort were married and this was in agreement with Fakhraev et al. (11) and Sunil Krishna and Shenoy (13).

Flax is notable as a major source of lignans, one of the phytoestrogens. Lignans can act as both agonists and antagonists to estrogen and also have antioxidant properties. As a result, flaxseed and its lignans can produce strong anti-estrogenic effects on estrogen receptors (14). In addition, flaxseed is rich in other phytoestrogens, which are effective in reducing symptoms of premenstrual syndrome, such as headaches and premenstrual breast tenderness (15). Research by Goss et al. (16) found that consuming 25 g of flaxseed daily significantly alleviates cyclical breast pain. Similarly, Rosolowich et al. (17) recommended flaxseed as the primary treatment for cyclical breast pain.

There was a significant reduction in mean VAS score from first visit to six months of flax seed intake. We also observed that there was a positive correlation between VAS score reduction from baseline to the first, third and six months of flax seed intake. Studies have shown the positive effects of phytoestrogens such as soy phytoestrogens (18, 19) in alleviating cyclical breast pain. Phytoestrogens have structural similarities to 17-estradiol and selectively influence estrogen receptors (20). Traditionally, flaxseed has been used to relieve cyclical breast pain and menopausal symptoms in humans and these authors proposed the hypothesis that the hormonal effects of flaxseed might improve symptoms of cyclical breast pain and tenderness.

Vaziri et al. (9) investigated the effects of flaxseed and omega-3 fatty acids on mastalgia. They demonstrated that flaxseed significantly reduced the mean score of cyclical breast pain compared to omega-3 fatty acids. In their study, 61, 60, and 60 women, respectively, were given flaxseed used to make bread, omega-3 fatty acids as pearls, and wheat bread as part of their diet for two menstrual cycles. Participants could consume the bread slices in one or three meals as preferred. Flaxseed and wheat bread were produced by the same companies, and the intervention method for wheat bread was identical to that of flaxseed. The results indicated that a flaxseed bread diet effectively reduced cyclical mastalgia and could be recommended to women as a straightforward treatment with minimal complications (9). Similarly, Godzandeh et al. (21) observed a significant reduction in VAS score ($p < 0.001$) after using flaxseed oil to treat mastalgia from baseline to two months.

Flaxseed contains essential unsaturated fatty acids that stimulate the synthesis of omega-3 fatty acids. This process results in a decrease in the production of certain arachidonate metabolites, leading to the generation of eicosanoids with reduced pro-inflammatory effects. Eicosanoids derived from omega-3, which is present in flaxseed, demonstrate anti-inflammatory properties, contrasting with the inflammatory nature of omega-6 found in evening primrose. Furthermore, flaxseed is rich in lignan, an antioxidant that inhibits aromatase enzyme activity. This inhibition reduces estrogen production, thus playing a role in preventing estrogen-related cancers like breast cancer (22). The chemical structure of lignans is akin to estrogen receptor selective modulators like tamoxifen, a hormonal drug treatment for periodic breast pain (23).

Flaxseed is gaining recognition as a crucial functional food ingredient due to its abundant content of α -linolenic acid (ALA, an omega-3 fatty acid), lignans, and fiber. Flax protein contributes to the prevention and treatment of heart disease and supports immune system function,

offering potential benefits for conditions such as osteoporosis, autoimmune disorders, and neurological conditions (24).

In the present study, flaxseed intake notably enhanced the quality of life for mastalgia patients across both physical and mental domains. Patients reported an overall health improvement, including alleviation of lower back pain, increased stamina, and reduced hair fall in many cases. In those patients who had dysmenorrhoea and irregular menstrual cycle also had improvement in their menstrual abnormalities. Prior studies had not investigated the impact of flaxseed on quality of life, though mastalgia's effect on quality of life had been examined. Kanat et al. (25) discovered that patients with mastalgia, assessed using the SF-36 questionnaire, had lower quality of life compared to a control group without mastalgia, with significant differences observed in physical function ($p = 0.04$), body pain ($p = 0.02$), general health ($p = 0.03$), and energy ($p = 0.008$). Another study compared quality of life between eastern and western populations in Turkey. Based on SF-36 results, the mean scores for physical function, physical role difficulty, and social function were significantly lower in the eastern group than in the western group ($p = 0.029$, $p = 0.002$, and $p = 0.001$, respectively). The mean scores in both groups were comparable to the baseline mean SF-36 scores in the present study (26). Although these studies didn't assess pre-post changes in SF-36 scores following intervention, they did highlight the lower quality of life scores among mastalgia patients.

The limitation of our study was that sample size was less which does not allow the generalisability of the results. Secondly, there was no control group to compare with. The results of the current study when compared with a control group would give a better insight towards the role of flaxseed in treating mastalgia. No scales were used to measure the patient's anxiety and depression, a limitation which should be addressed in further studies of the effect on quality of life with control groups to assess the effect of flaxseed intake in patients with mastalgia.

Evidence suggests that flax seed is beneficial in treating mastalgia and also has other benefits. The only drawback observed in our study participants was that, because of an intake of 30 g of roasted flax seed powder (approximately two tablespoons daily), it was difficult to swallow and prepare. Some participants also complained of increased stomach acidity due to flax seed powder intake. Other studies have used flaxseed in other forms, such as baked into bread to make it palatable. It was also observed that participants had a better digestion, lesser hair fall and reduced back ache after long term use of flax seed.

Ethics Committee Approval: Ethical approval for the study was obtained from the Dr. Ram Manohar Lohia Institute of Medical Sciences Ethical Committee (approval number: 96/22, date: 15.09.2022).

Informed Consent: Informed consent was obtained from all the participants.

Authorship Contributions: Surgical and Medical Practices: P.R., A.S., R.S.; Concept: P.R., A.S.; Design: P.R., A.S.; Data Collection and/or Processing: T.A., P.R.; Analysis and/or Interpretation: T.A., P.R.; Literature Search: R.S., S.S., V.R.G.; Writing: T.A..

Conflict of Interest: The authors have no conflicts of interest to declare.

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Rare Breast Emergency: A Case of Necrotizing Fasciitis of the Breast in a Lactating Patient

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ABSTRACT

Necrotizing fasciitis is a rare but potentially lethal infection of the skin and soft tissue, commonly seen in the perianal and gluteal regions. Concomitant diabetes is a predisposing factor. Primary necrotizing fasciitis of the breast is rare in healthy women. In this article, we present a very rare case of breast necrotizing fasciitis in the context of the literature. We report the case of a 35-year-old female patient who had given birth two months prior to admission and developed necrotizing fasciitis of the breast during lactation. The patient presented to the emergency department with sepsis. Examination revealed widespread erythema, dark discoloration, edema, and necrotic areas indicative of wet gangrene and crepitation in the left breast. Necrotizing fasciitis is a rapid and aggressive disease that can be fatal, and delayed diagnosis may unfortunately result in death. Therefore, careful evaluation of all suspected cases, especially for patients with risk factors, is crucial for early diagnosis and timely treatment. This case highlights the importance of recognizing necrotizing fasciitis of the breast in lactating women to ensure prompt and appropriate management, potentially saving lives.

Keywords: Necrotising fasciitis; lactation; bioactive wound dressings; negative pressure wound therapy; split thickness skin graft

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Key Points

- Primary necrotizing fasciitis of the breast is extremely rare in healthy women.
- The progressive infection developing due to trauma during lactation can lead to significant mortality and morbidity if diagnosed late. This underscores the need for clinicians to consider progressive infections in lactating patients in the differential diagnosis, even in the absence of common predisposing factors such as diabetes.
- The patient's breast was preserved through emergency surgical debridement, negative pressure wound therapy, and bioactive wound dressings.

Introduction

Necrotizing fasciitis (NF) is an aggressive, necrotic, and life-threatening infection of the soft tissues. It is progressive by nature and is accompanied by arterial thrombosis, leading to gangrene of the skin and subcutaneous tissues, as well as manifestations of severe sepsis, multiple organ failure, and death (1). The progressive nature of the disease is characterized by an increase in pressure caused by the infection in the closed fascial plane and its ability to spread towards low-pressure areas along the fascial plane and affect surrounding tissues in other areas (2, 3). Disease progression and local regional damage are determined by compartment syndrome and ischemic necrosis at the capillary level due to increased pressure.

Treatment of these infections is primarily surgical, and debridement, abscess drainage, and pressure reduction are necessary to prevent disease progression. Septic shock and its associated complications are linked to mortality rates of almost 90% following treatment delays (4). Primary necrotizing fasciitis of the breast (PNFB) is extremely rare and NF is most commonly observed in the extremities, perineum, and abdominal wall. In recent years, PNFB cases have been presented in the literature more often, and this increase may be related to the rising incidence of diabetes mellitus, which is considered an important comorbidity of NF (5).

In this study, we report a case of NF in a lactating patient which was thought to have developed as a result of trauma due to breastfeeding.

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The patient underwent a breast-conserving procedures and skin graft reconstruction.

Case Presentation

A 35-year-old female patient presented to the emergency department two months after giving birth to her third child. She had a nipple fissure caused by breastfeeding trauma and was unable to breastfeed for three days. One week before admission, she experienced pain, swelling, and increased breast temperature. She had a history of irregular and short-term amoxicillin and clavulanic acid use.

In the left breast, there was widespread erythema, dark color changes, edema, and necrotic areas, consistent with wet gangrene, as well as crepitation (Figure 1).

Hospitalization was recommended for this patient with a pre-diagnosis of sepsis and elevated acute-phase reactants levels [C-reactive protein (CRP) 305 mg/dL; white blood cell (WBC) count 20.4×10^3 cells/ μ L; hemoglobin A1c 5.2%]. Urgent debridement was planned during hospitalization, but the patient refused treatment. On the night of the same day, the patient was re-admitted to the emergency department because of the progression of her complaints. Based on physical examination findings, the patient was admitted to the general surgery department. The Laboratory Risk Indicator for Necrotizing Fasciitis (LRINEC) score was 8 at the time of hospitalization (6). With the diagnosis of NF, necrotic areas up to a depth of approximately 3 cm from the subcutaneous tissue were debrided under emergency conditions, and negative pressure wound therapy (NPWT) was

applied using Genadyne Silver Foam® for infection and exudate control in the wound area. The patient underwent repeated debridement and breast-conserving surgery followed by NPWT. The patient was spared from mastectomy through effective surgical debridements with clear surgical margins and the application of NPWT (Figure 2). Empirical antibiotic therapy was initiated with piperacillin tazobactam (4x4.5 mg) and teicoplanin (1x400 mg). *Streptococcus pyogenes* (group A *Streptococcus*, teicoplanin-sensitive) was detected in the tissue culture. Cabergoline (Dostinex 0.5®) was administered in tablet form (0.5 mg) based on the recommendation of the endocrinology department to stop lactation, which impairs wound healing. Hyperbaric treatment, which was deemed appropriate, could not be administered because of patient refusal. The patient, whose infection findings regressed with repeated debridements and who developed healthy granulation tissue, was planned for reconstruction with a graft (Figure 3). During outpatient follow-up, the patient received intermittent NPWT and bioactive wound dressings were applied. A collagen/laminin-based dermal matrix containing resveratrol-loaded microparticles was used to fill the tissue defects and promote further granulation (Figure 3). During outpatient follow-up, granulation in the tissue defect reached the skin level, and reconstruction via grafting was performed (Figure 3). Figure 4 (A) and (B) show pathological specimens obtained from the patient, with one sample showing severe inflammation and a duct rich in neutrophils that had broken down the breast lobules. Written informed consent was obtained from the patient.



Figure 1. A, B. There was widespread erythema, dark colour changes, oedema, and necrotic areas consistent with wet gangrene

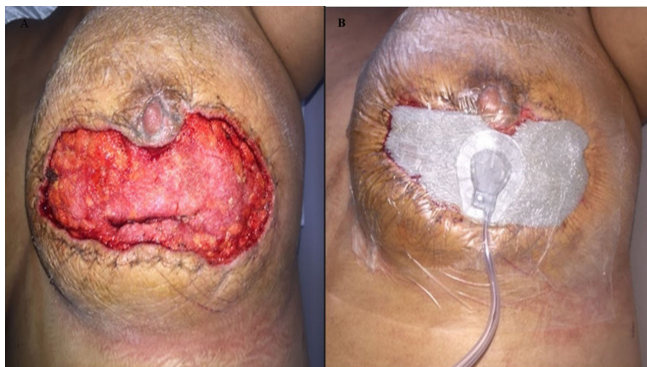


Figure 2. A, B. Application of negative pressure wound therapy after debridement

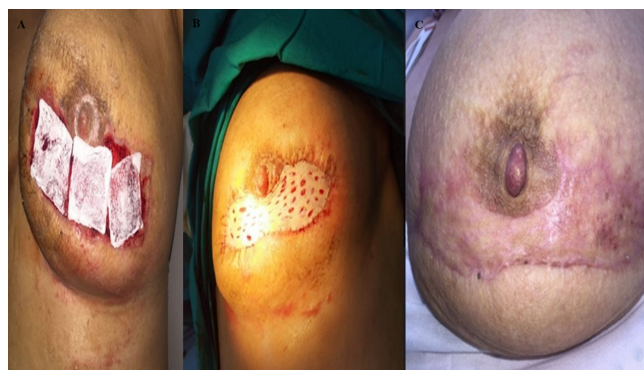


Figure 3. A. Increasing granulation with bioactive dressings; **B.** Reconstruction with a graft after the infection was brought under control; **C.** Epitelization

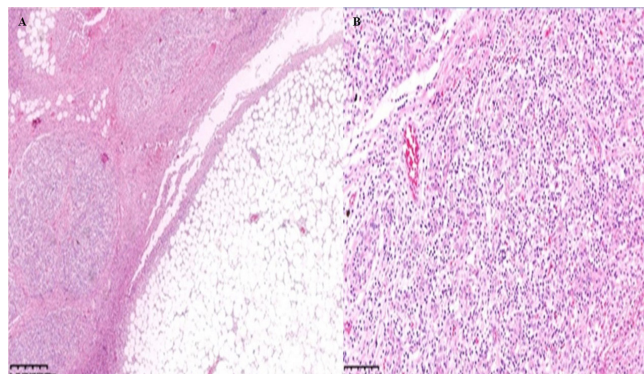


Figure 4. A. Severe inflammation that advanced into the adipose tissue disrupted the breast lobules (haematoxylin and eosin, magnification of 4x); **B.** Severe inflammation rich in neutrophils. Destruction of the duct is seen in the middle (haematoxylin and eosin, magnification of 10x)

Discussion and Conclusion

Although rare, NF is extremely aggressive. The course of the disease is characterized by different symptoms according to the area of disease involvement in the skin and subcutaneous and fascial tissues. Breast involvement is very rare, but can complicate the differential diagnosis of the disease. PNFB is often misdiagnosed and mistakenly confused with other breast diseases, such as cellulitis, mastitis, abscess, or inflammatory breast cancer (7, 8). The mortality rates reported in the literature are generally related to delayed diagnosis and treatment, as was the case for two patients in our previous series of five patients (2). However, the development of this disease remains unclear. Late diagnosis and inadequate treatment of primary breast infections may result in cellulitis, breast abscess, or the progression of an infectious disease to PNFB. If the infection in our lactating patient had been treated with an appropriate surgical method and antibiotic therapy, we might not have encountered a progressive infection. A history of fissures due to breastfeeding in our patient was associated with trauma. Post-traumatic NF of the breast tissue has been reported in the literature, but it has been described most frequently after surgical interventions (7, 9).

Advanced age, diabetes mellitus, chronic alcoholism, obesity, immunosuppression, vascular disease, malignancy, skin biopsies, and trauma are risk factors for the development of NF (2, 7, 8). Our patient was not diabetic, but was lactating, and she was referred to our hospital for a breast infection. Broad-spectrum antibiotics are preferred for the initial administration of empirical antibiotics. Definitive antibiotic therapy should be administered based on the microbial results obtained from tissue cultures after intraoperative debridement. It must be noted that the disease has a progressive nature and is a clinical entity that can be controlled surgically.

Our patient was diagnosed with type II monomicrobial infection secondary to group A beta-hemolytic *Streptococcus* (9). In such cases, treatment approaches include appropriate fluid and electrolyte administration under emergency and intensive care unit conditions, broad-spectrum antibiotic therapy until culture results are available, and timely application of aggressive surgical debridement. Very high mortality rates have been reported in cases of delayed treatment, especially in patients with comorbidities, which are related to the diagnosis time. The extent of surgery was determined based on the principle of not leaving any necrotic tissue. The circummammary ligament anchors the superficial fascia of the breast to the deep fascia of the chest at the perimeter. Cooper ligaments, which are specialized vertical cutaneous ligaments that anchor the skin, travel from the posterior lamina fascia through the breast gland to the anterior lamina. When planning treatment, these anatomical structures and fascial connections should be considered as they may influence the progression and spread of NF (10). To date, various operations have been performed in such cases, ranging from selective debridement to radical mastectomy, as reported in the literature. This wide range of treatments is due to differences in the spread of necrotic tissue and efforts to control the spread of infection. In the clinical stage at which treatment was initiated for the patient presented here, a response to infection was achieved with extensive surgical debridement, allowing the patient to be spared from radical mastectomy. NPWT is routinely used in these dressings, especially after surgical debridement of the infected tissues. NPWT products with instillation or products containing silver sponges are generally preferred after the first debridement. When the infection is brought under control, closure of

the defect becomes a priority (11, 12). NPWT creates tension, which stimulates the production of granulation tissue and reduces wound size and bacterial load by contracting the wound (13). Upon increasing the microcirculatory blood supply with NPWT, inflammatory cells migrate to the wound region, resulting in the elimination of extravascular edema (14). Compared with traditional dressings, this approach also promotes and accelerates the formation of granulation tissue by removing bacteria, end products, exudates, and debris. Furthermore, it stimulates angiogenesis and secures wound coverage, thereby facilitating wound healing (15).

Detailed physical examination is required to diagnose NF in patients with basic skin changes. Laboratory tests and imaging studies may be necessary in cases with suspicious skin findings. Wong et al. (6) developed the LRINEC scoring system. Based on serum CRP, WBC count, hemoglobin, sodium, creatinine, and glucose values, the present case scored 8 points, putting the patient in the high-risk category at the time of diagnosis. Values of ≥ 8 increase the risk of NF development by 75% (16). Additionally, based on clinical findings, the case was classified as grade 3 (late stage) due to crepitation, darkening of the skin, and tissue necrosis reaching the gangrene level (17). Since the patient had an advanced clinical stage and a high LRINEC score, diagnostic imaging was not considered necessary, and it was not performed to avoid treatment delays and disease progression. The progressive nature of the disease, septic status, and related risks should be considered, and surgical consent for mastectomy and chest wall debridement should be obtained. Debridement of the surrounding tissues should also be performed as necessary when the disease spreads to the skin of the arm or abdomen (2, 18). Large tissue defects may occur after debridement, and interventions for vascular and neural structures may be required, especially in cases extending to the axillary region where vascular and neural structures are involved. If NPWT is applied in this region, barrier protectors for vascular and neural structures should be used (2).

Tissue-engineered biomaterials that play an active role in wound healing are called bioactive wound dressings. These materials, which contain natural extracellular matrix components and provide structural support for tissue repair owing to their biocompatible structures, contain polymers, such as collagen, hyaluronic acid, chitosan, and alginate.

In our patient, treatment with dipalmitoylphosphatidylcholine (DPPC)-based microparticles added to a 3-dimensional porous collagen laminin matrix was used to fill the tissue defect with granulation and prepare the wound bed for grafting after infection was controlled (19). The presence of the glycosaminoglycan derivative hyaluronic acid, collagen/hydrophilic properties, gelatine providing a 3-dimensional pore structure, laminin as a cell-binding protein, DPPC in the cell membrane, and resveratrol as an antioxidant in this wound dressing enabled the preparation of the wound bed after the infection was controlled and before grafting (20). Split-thickness skin grafting prevents the loss of protein by covering the granulated tissues and enables closure of the area in question to avoid infection and facilitate rapid epithelisation (21).

Although rarely reported in the literature, breast NF is an often-deadly disease that spreads rapidly and aggressively. Several confounding factors may have resulted in delayed diagnosis and mortality. For early diagnosis and timely treatment, it is essential that all suspected cases be evaluated carefully and thoroughly, regardless of the patient's

age. This is particularly important for patients with risk factors and comorbidities.

Informed Consent: Written informed consent was obtained from the patient.

Authorship Contributions

Surgical and Medical Practices: G.G.A., S.A., D.B., S.G., İ.B.B., M.T., H.E.G., M.A.G., K.B.Y.; Concept: S.A., İ.B.B., M.T.; Design: G.G.A., S.G., H.E.G.; Data Collection and/or Processing: D.B.; Analysis or Interpretation: S.G., M.A.G.; Literature Search: D.B., M.T.; Writing: İ.B.B., M.A.G., K.B.Y.

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Pseudoaneurysm in the Axillary Tail of the Breast After A Core Needle Biopsy

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ABSTRACT

We present the case of a forty-year-old asymptomatic female with no personal or family history of breast cancer, who underwent a core needle biopsy (CNB) following the identification of a focal asymmetry in the right breast on screening mammography. Eight months later, a prominent adjacent vascular structure with a round outpouching was detected on breast ultrasound, confirmed as a post-biopsy pseudoaneurysm. Breast pseudoaneurysms, although exceedingly rare, result from inadvertent vessel puncture during core needle biopsies, particularly when larger gauge needles are used. They present as palpable, throbbing lumps in the breast and are well-defined heterogeneous structures that exhibit turbulent flow with a feeding artery on color Doppler imaging. This swirling sign showing a to-and-fro waveform is also known as the “yin-yang” sign on Doppler ultrasound. Post-CNB pseudoaneurysms in the breast, while rare, should be considered as potential complications following core needle biopsy. Understanding their characteristic imaging features, risk factors, and available management options is essential for early diagnosis and appropriate treatment. This case underscores the importance of vigilance in biopsy procedures and the need for prompt recognition and intervention in case of such complications.

Keywords: Ultrasound-guided core needle biopsy; vascular mass; pseudoaneurysm; yin-yang sign; CT angiogram; arterial phase hyperenhancement; thrombin

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Key Points

- Although rare, breast pseudoaneurysms can occur as complications following core needle biopsies, especially when using larger gauge needles. Clinicians should be aware of this possibility and consider it in the differential diagnosis of palpable lumps or unusual vascular structures detected during follow-up imaging.
- Understanding the characteristic imaging features of breast pseudoaneurysms is crucial for accurate diagnosis. These features include well-defined heterogeneous structures with turbulent flow, often exhibiting the “yin-yang” sign on Doppler ultrasound.
- Management of breast pseudoaneurysms typically involves a multidisciplinary approach.

Introduction

Core needle biopsy (CNB) is a commonly performed procedure referred to as the gold standard for sampling suspicious lesions to obtain an accurate diagnosis (1). Given that CNB is both less invasive and less costly while maintaining accuracy in establishing a pathological diagnosis for suspicious breast lesions, it has the potential to effectively replace excisional biopsy (2). Complications following CNB of the breast are generally rare, occurring in less than 1% of cases. Some reported minor complications following CNB's of the breast include bruising, pain, and vasovagal reactions. More severe complications include severe bleeding, infection requiring antibiotics, and hematomas requiring treatment all occurring in less than 1% of cases (3).

Case Report and Discussion

A forty-year-old asymptomatic female with no personal or family history of breast cancer was sent for additional breast imaging after screening mammography identified a focal asymmetry in the right breast. Findings of a breast ultrasound included an indeterminate oval circumscribed hypoechoic solid mass in the right breast axillary tail region with an adjacent vessel (Figure 1). An ultrasound-guided CNB was recommended and performed with a 14-gauge needle. A biopsy marker was placed at the biopsy site and no complications occurred (Figure 2). The biopsy yielded benign lymphoid tissue.

Eight months later, the axillary mass appeared similar in appearance but a prominent adjacent vascular structure with a round outpouching was detected on breast ultrasound. It demonstrated classic “yin-yang” flow on color Doppler imaging consistent with a post-biopsy pseudoaneurysm (Figure 3).

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A breast pseudoaneurysm is a full thickness interruption of an arterial wall that typically results from an iatrogenic process (4). Breast pseudoaneurysms are very rare following CNBs and result from the accidental puncture of a vessel causing blood to leak into surrounding tissue. The latest The Breast Imaging Reporting and Data System lexicon categorizes pseudoaneurysms as a special case of vascular abnormality within its ultrasound section (5). The use of larger gauge needles increases the risk of a post-biopsy breast pseudoaneurysm typically presenting as a hematoma or palpable lump at the biopsy site.

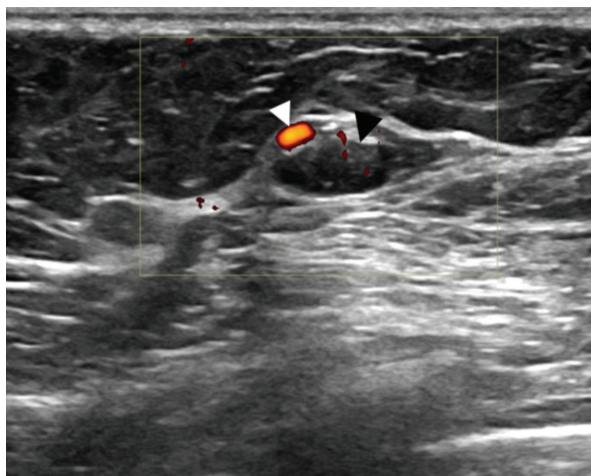


Figure 1. Baseline imaging showed an oval, parallel, circumscribed hypoechoic mass (black arrowhead) in the right axillary tail with evidence of internal vascular flow and peripheral flow versus vessel (white arrowhead) on power Doppler US imaging

US: Ultrasonography

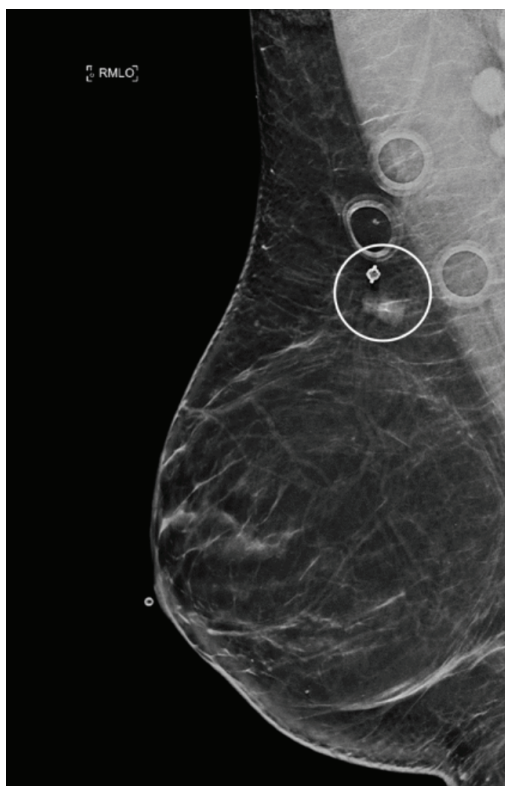


Figure 2. Right MLO mammogram demonstrates a postbiopsy clip placement in the right lower axillary tail (white circle)

MLO: The mediolateral oblique

Pseudoaneurysms are classically documented on ultrasound as a well-defined heterogenous structure that exhibits turbulent flow with a feeding artery on color Doppler imaging. This swirling sign showing a to-and-fro waveform is also known as the “yin-yang” sign (6). Computed tomography angiography can show pooled contrast within a breast mass focally dilated vascular structure in the region of the biopsy (4).

Computed tomography angiogram of the chest with intravenous contrast noted an approximately 0.4 x 0.6 cm soft tissue attenuation structure with evidence of mild contrast enhancement immediately adjacent to a small vessel in the lateral aspect of the right breast which could represent a small pseudoaneurysm, possibly with partial thrombosis (Figure 4).

Patients with a pseudoaneurysm following a CNB present with a palpable, and throbbing lump at the site of the biopsy in the breast (4). Some risk factors for developing a pseudoaneurysm include atherosclerosis, hypertension, anticoagulant therapy use, female sex, and older age (1).

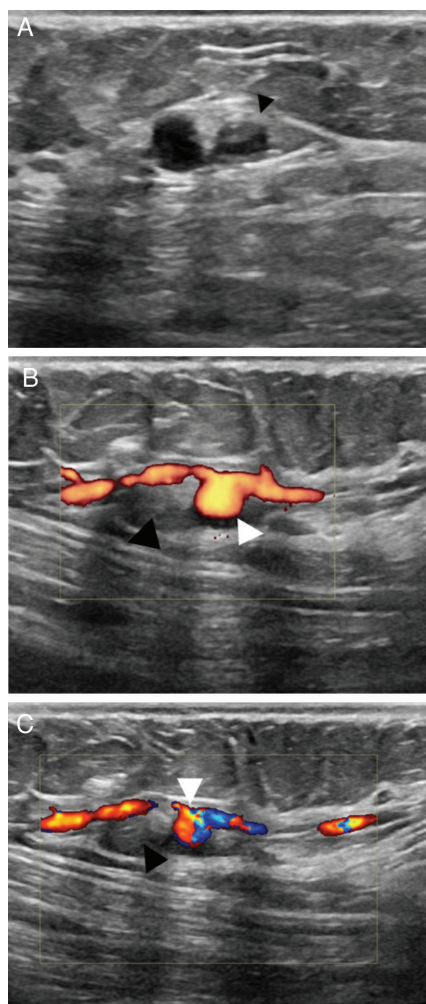


Figure 3. Grayscale ultrasound images **A.** In the right axillary tail reveal post-biopsy changes in the oval hypoechoic right axillary tail mass (black arrowhead). Follow-up ultrasound color Doppler images **B.** and **C.** In the right axillary tail demonstrate interval rounded outpouching of a vessel (white arrowhead) within the previously biopsied hypoechoic mass (black arrowhead). The outpouching demonstrates a characteristic internal “yin-yang” sign on color Doppler

The interventional radiology service was consulted, and the decision was made to deliver percutaneous thrombin injection directly into the pseudoaneurysm. The first line of treatment uses the ultrasound for manual compression. Delivery of percutaneous thrombin injections directly into the pseudoaneurysm or placement of an intravascular coil can also be done (4). Surgical access may be performed to ligate the affected vessel or excise the mass as well (6). However, considering that breast pseudoaneurysms don't result in significant morbidity or mortality, a conservative approach has also been proposed in the management of low-risk patients (1).

Subsequent follow-up with breast ultrasound demonstrated an interval decrease in size of the right axillary tail pseudoaneurysm along with stable status of the axillary mass which was previously biopsied (Figure 5).

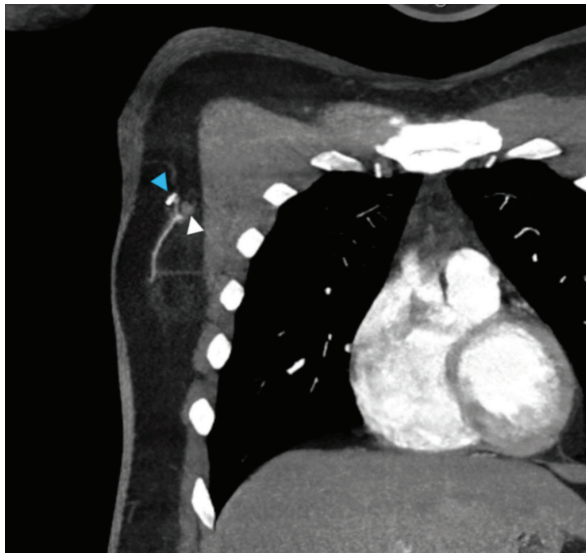


Figure 4. CT chest angiogram in arterial phase of contrast bolus and coronal MIP reconstruction demonstrates a saccular outpouching from a right axillary arterial vessel (white arrowhead) and adjacent hyperdense body, likely corresponding to post biopsy clip (blue arrowhead) at the site of previous biopsy

CT: Computed tomography; MIP: Maximum intensity projection

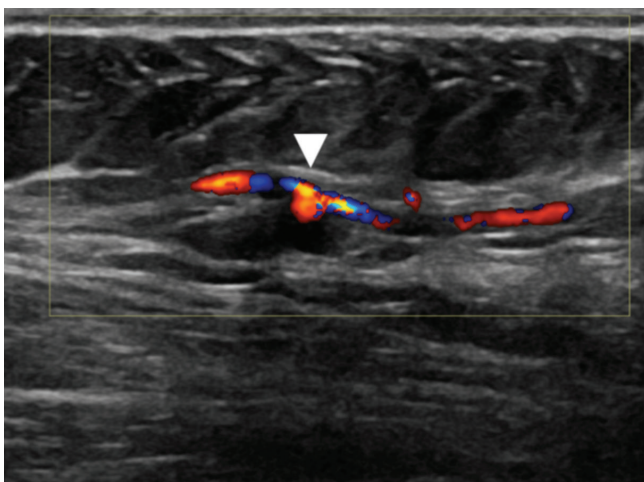


Figure 5. Additional follow-up with right axillary tail ultrasound with color Doppler technique demonstrates interval decreased size of the vascular outpouching (white arrowhead) after direct thrombin injection 5 months ago

Informed Consent: This manuscript does not involve experimental research on humans. This adult patient was consented for medical treatment and consented to the use of their non-identifiable medical data and photographs for educational purposes.

Authorship Contributions

Surgical and Medical Practices: C.P-T., Y.Z.F.; Concept: C.P-T., C.D.T., Y.Z.F.; Design: C.P-T., C.D.T.; Data Collection and/or Processing: C.P-T., C.D.T., N.B., Y.Z.F.; Analysis or Interpretation: C.P-T., C.D.T.; Literature Search: C.P-T., C.D.T., N.B., Y.Z.F.; Writing: C.P-T., C.D.T.

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